

# Anemia and Cardiovascular Disease: The Good, The Bad, The Ugly

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# Disclosures

Dr. Solomon receives research grant support from Amgen, Alteon, Novartis, Genzyme, Genentech, Guidant, Medtronic, Kai, National Cancer Institute, National Institute for Diabetes, Digestive and Kidney Diseases, National Heart Lung and Blood Institute

# The NEW ENGLAND JOURNAL of MEDICINE

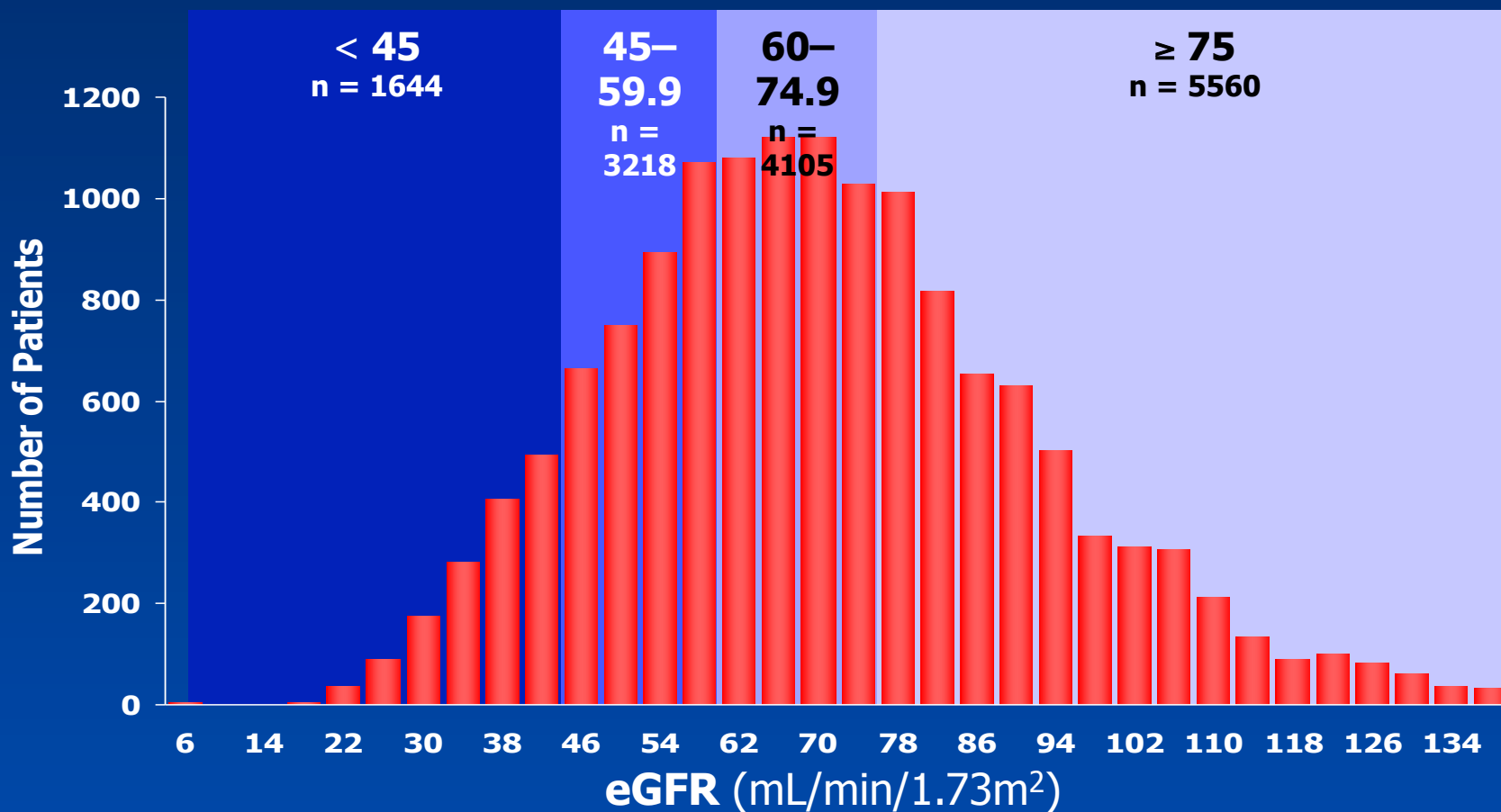
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SEPTEMBER 23, 2004

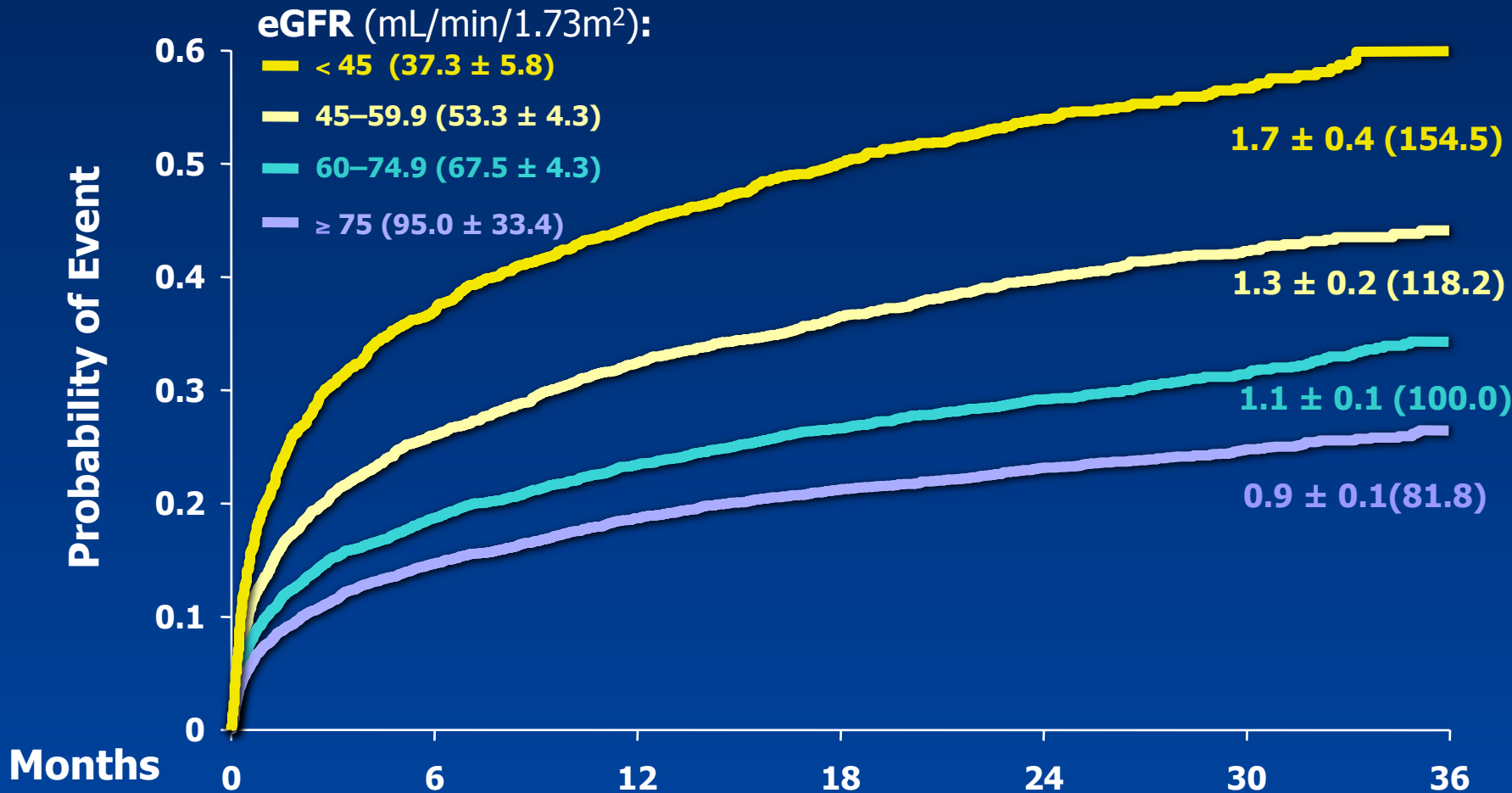
VOL. 351 NO. 13

## Relation between Renal Dysfunction and Cardiovascular Outcomes after Myocardial Infarction

Nagesh S. Anavekar, M.D., John J.V. McMurray, M.D., Eric J. Velazquez, M.D., Scott D. Solomon, M.D., Lars Kober, M.D., D.Sc., Jean-Lucien Rouleau, M.D., Harvey D. White, D.Sc., Rolf Nordlander, M.D., Aldo Maggioni, M.D., Kenneth Dickstein, M.D., Steven Zelenkofske, D.O., Jeffrey D. Leimberger, Ph.D., Robert M. Califf, M.D., and Marc A. Pfeffer, M.D., Ph.D.



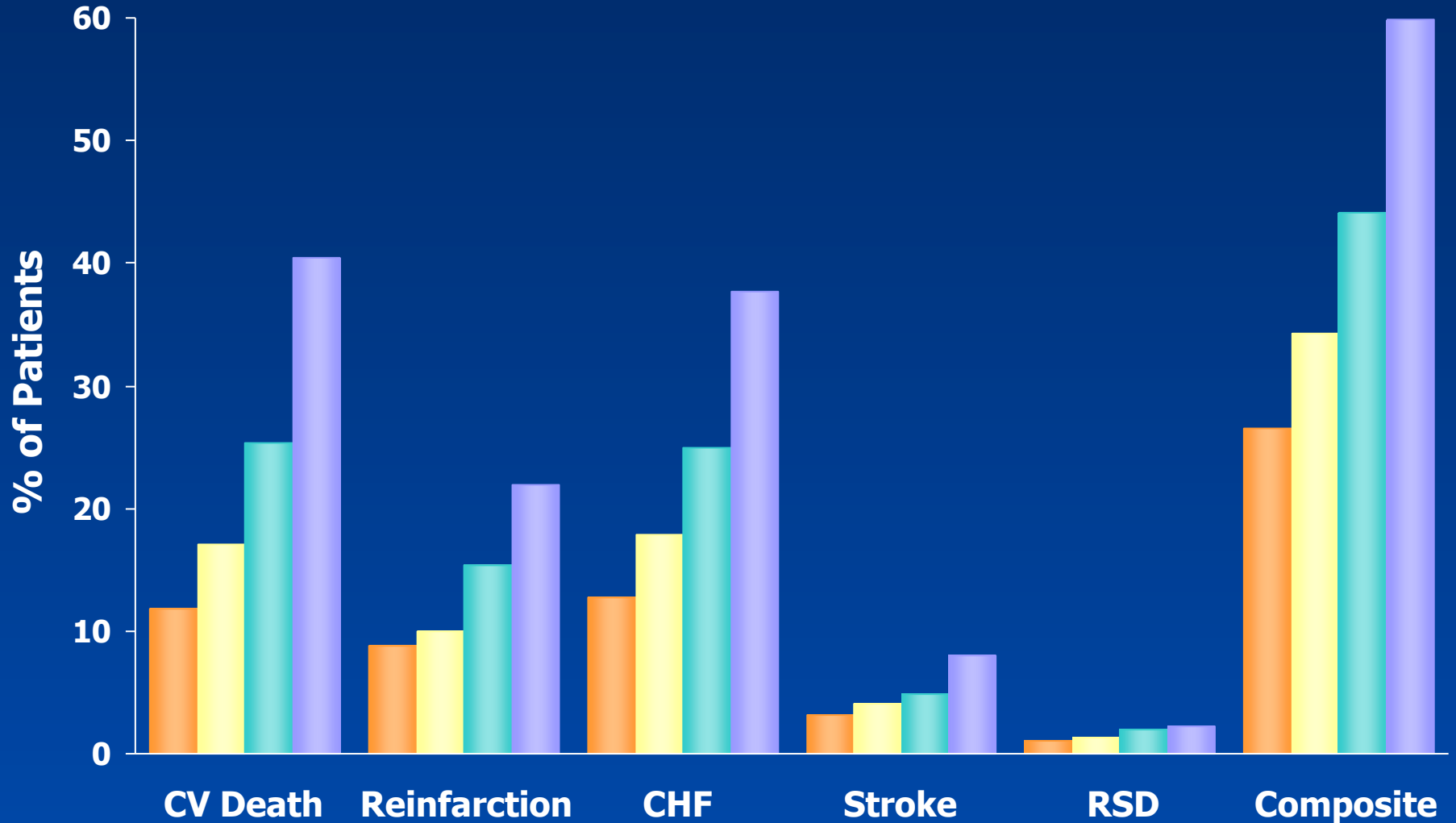
# CV Death, MI, HF, RSD, or Stroke by Renal Function



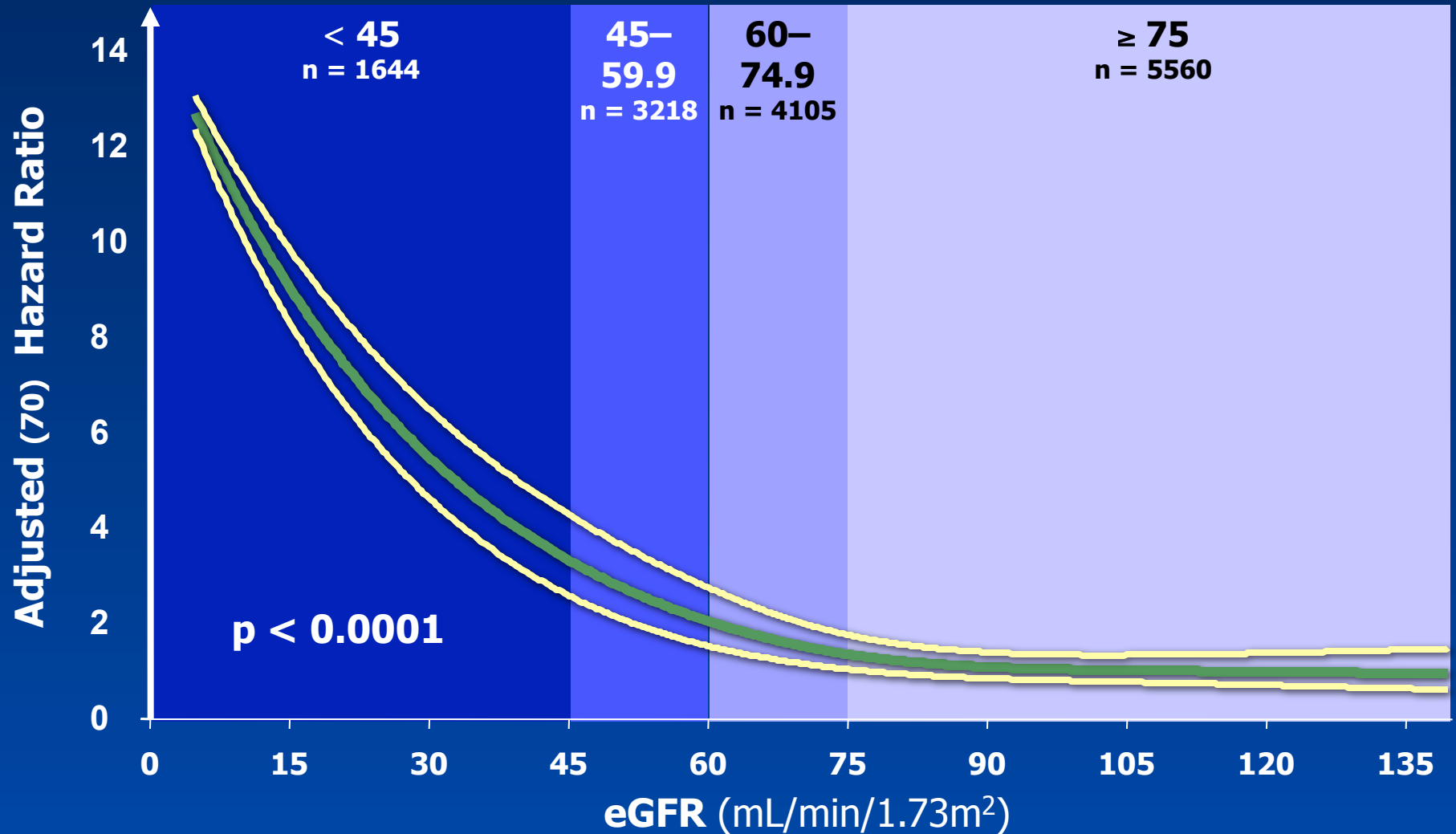
	0	6	12	18	24	30	36
<b>≤ 45</b>	<b>1644</b>	<b>1029</b>	<b>894</b>	<b>776</b>	<b>469</b>	<b>220</b>	<b>40</b>
<b>45–59.9</b>	<b>3218</b>	<b>2365</b>	<b>2143</b>	<b>1953</b>	<b>1177</b>	<b>646</b>	<b>148</b>
<b>60–74.9</b>	<b>4105</b>	<b>3314</b>	<b>3106</b>	<b>2893</b>	<b>1900</b>	<b>973</b>	<b>233</b>
<b>≥ 75</b>	<b>5560</b>	<b>4719</b>	<b>4472</b>	<b>4200</b>	<b>2804</b>	<b>1593</b>	<b>438</b>

# Cardiovascular Events

eGFR (mL/min/1.73m<sup>2</sup>): ■ >75    ■ 60-74.9    ■ 45-59.9    ■ <45



# Spectrum of Risk (CV events)



# VALIANT CKD

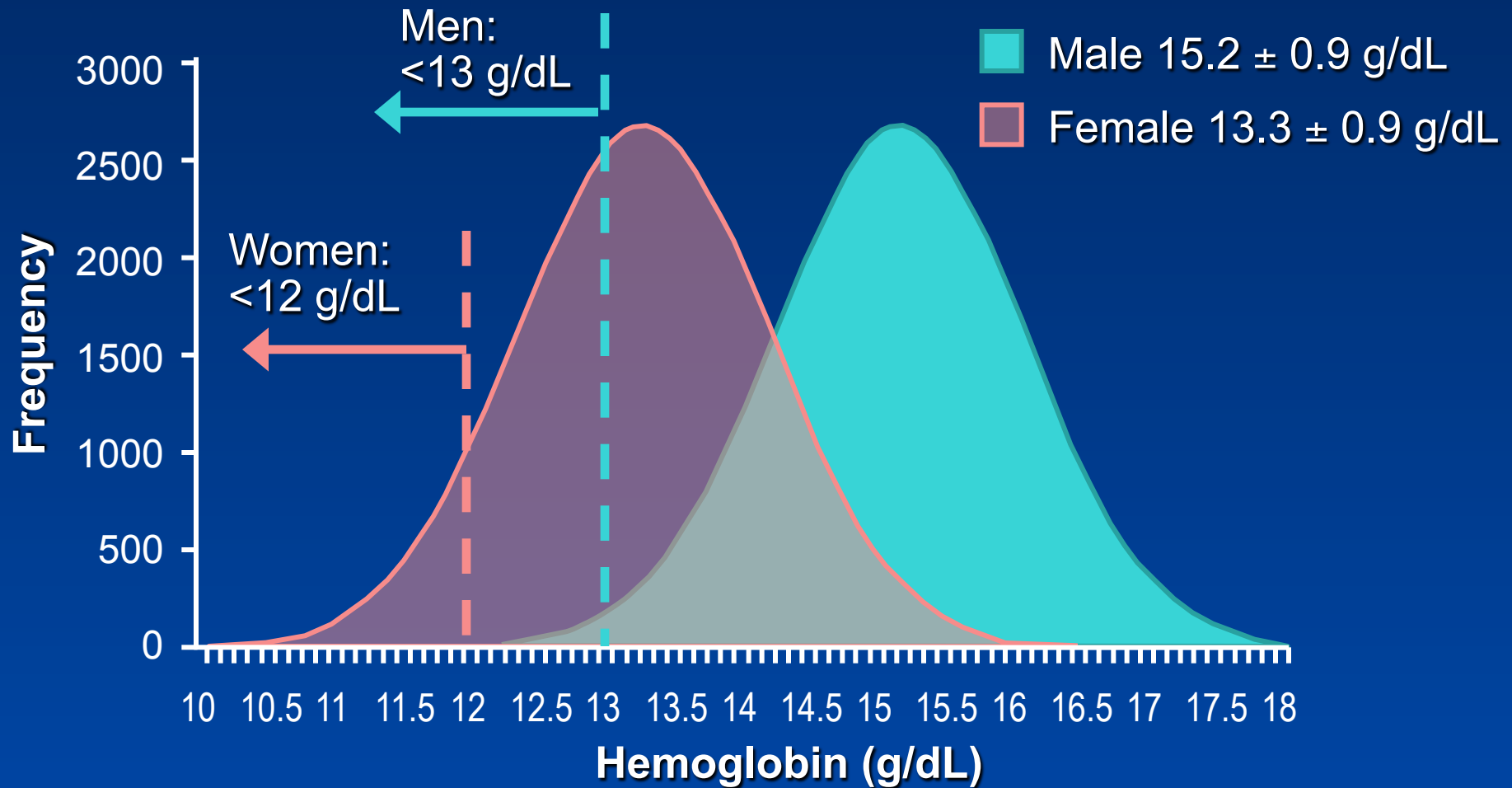
- Mean eGFR in VALIANT:  
67
- % of patients with eGFR < 60:  
33%
- Total # of patients going on to ESRD:  
14

**/14,703 = < 0.1%**

# Anemia and CV Risk



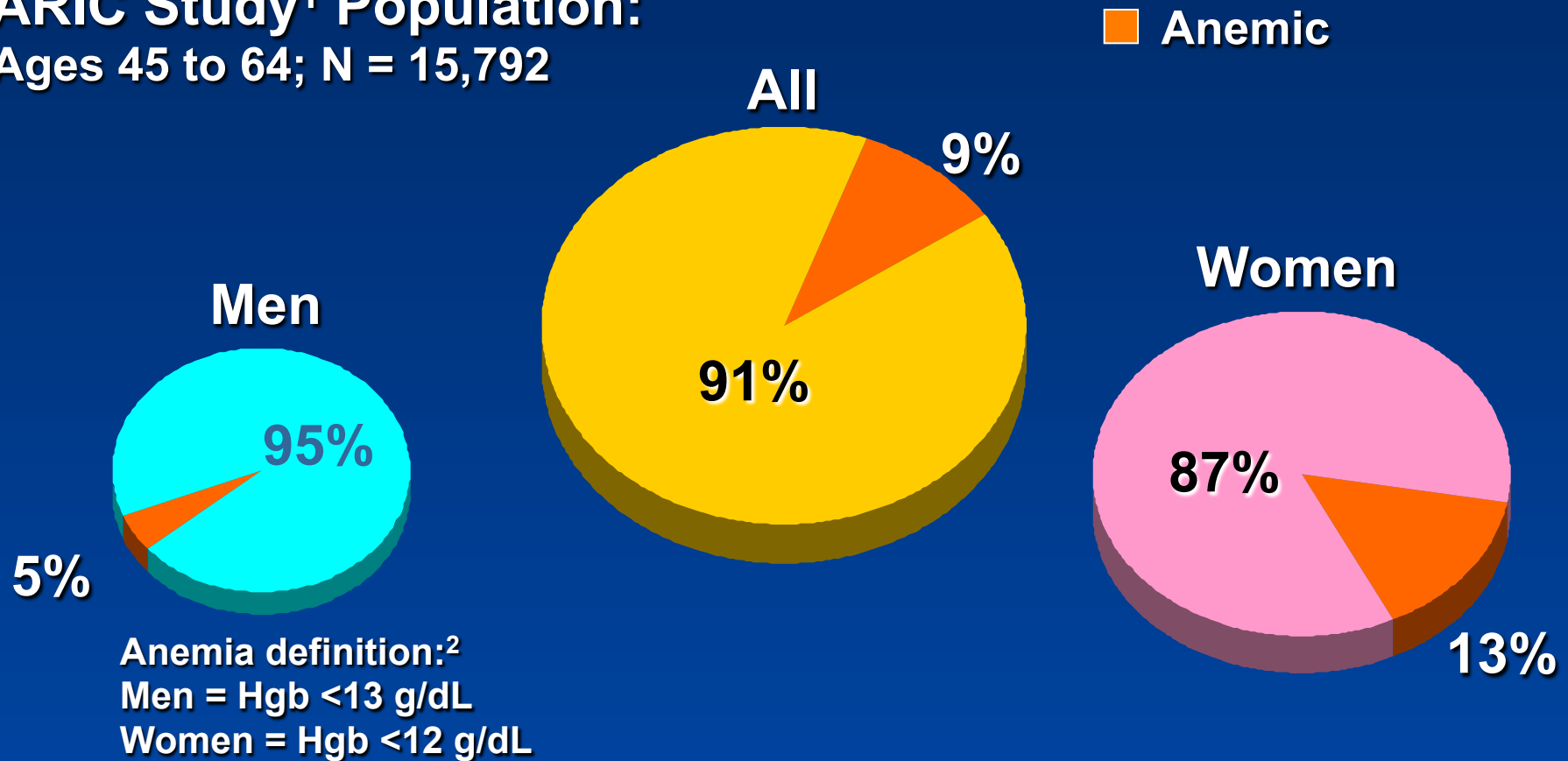
# World Health Organization (WHO) Anemia Definition<sup>1</sup>



1. World Health Organization. Geneva, Switzerland; 2001. 2. Dallman et al. In: *Iron Nutrition in Health and Disease*. London, UK: John Libbey & Co; 1996:65-74.

# Based on WHO Definition, 9% of Adults Have Anemia: ARIC Study\*

**ARIC Study<sup>1</sup> Population:**  
Ages 45 to 64; N = 15,792



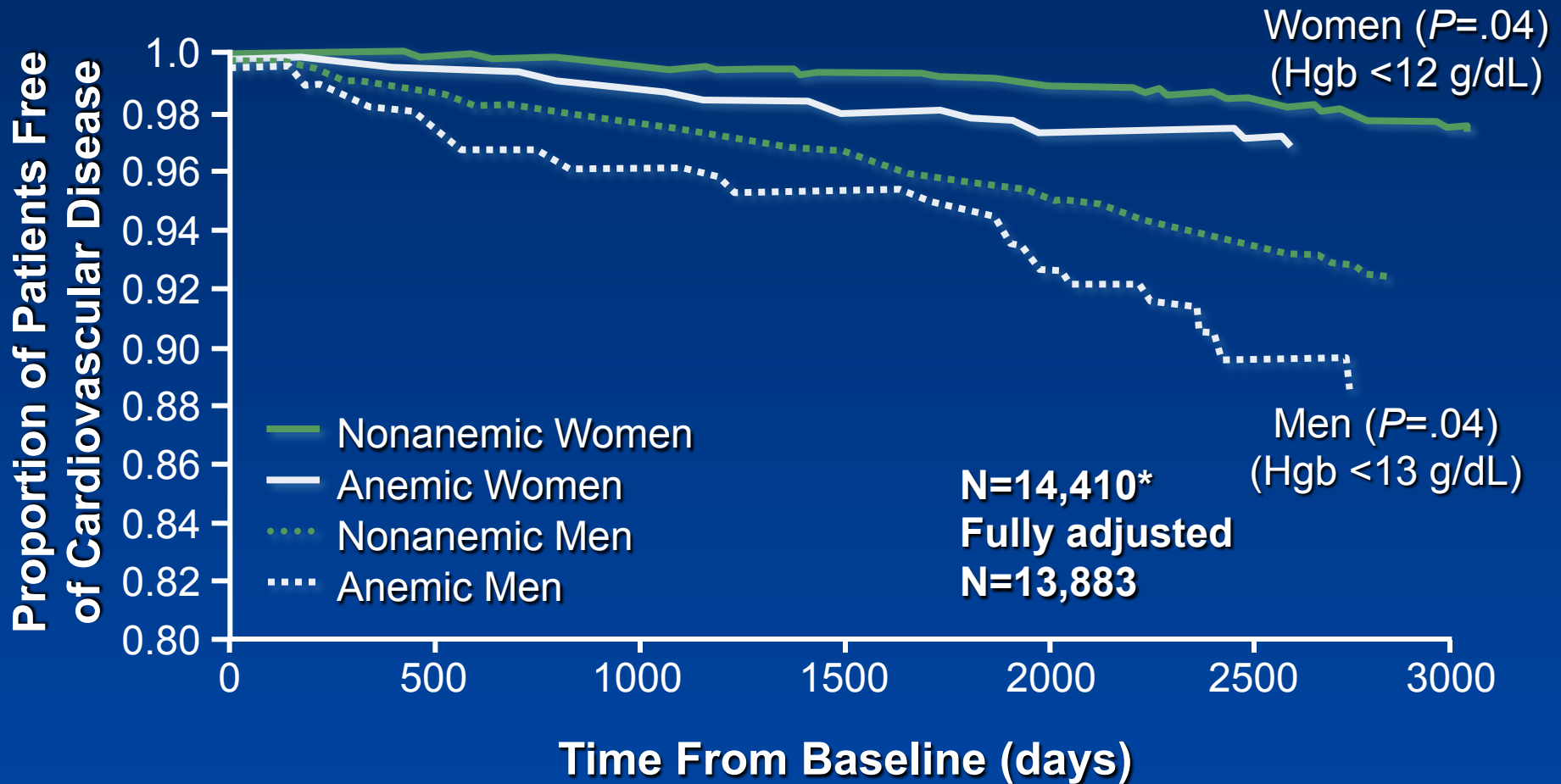
\*The Atherosclerosis Risk in Communities (ARIC) study enrolled subjects in 4 US communities: Forsyth County, NC; Jackson, Miss; Minneapolis, Minn; and Washington County, Md.

1. Sarnak et al. *J Am Coll Cardiol*. 2002;40:27-33. 2. World Health Organization. Geneva, Switzerland; 2001.

# Causes of Anemia in CVD

- Normally, bone marrow generates ~ 200 billion new cells per day to match the cells lost or removed from circulation.
- The expected compensatory response to anemia is a heightened rate of erythropoiesis.
- Failure to demonstrate a compensatory response signifies slowed or defective erythropoiesis.
- Most common cause of defective erythropoiesis in CAD population is chronic kidney disease (even mild CKD).

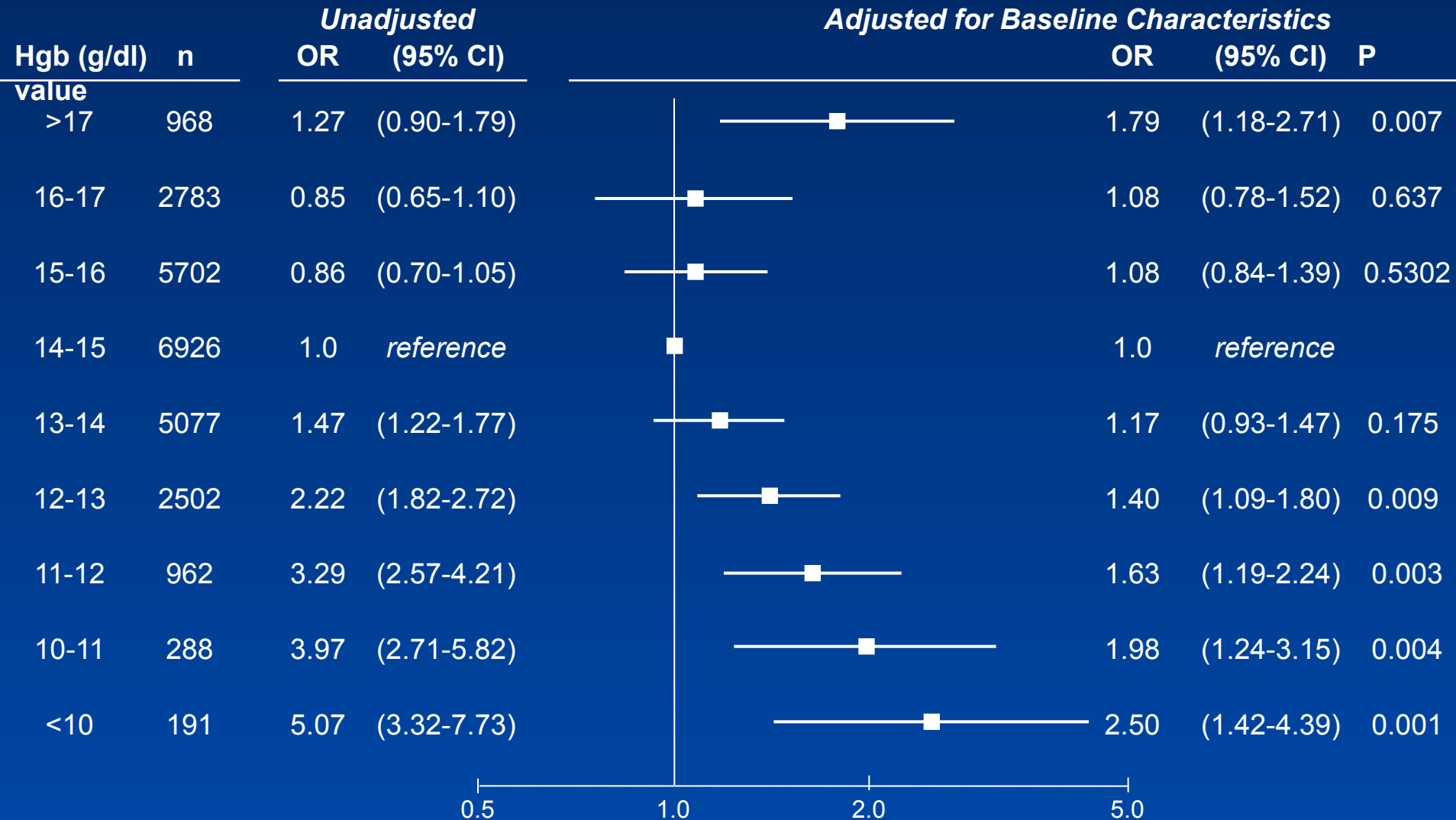
# Anemia and Increased Cardiovascular Disease ARIC Study



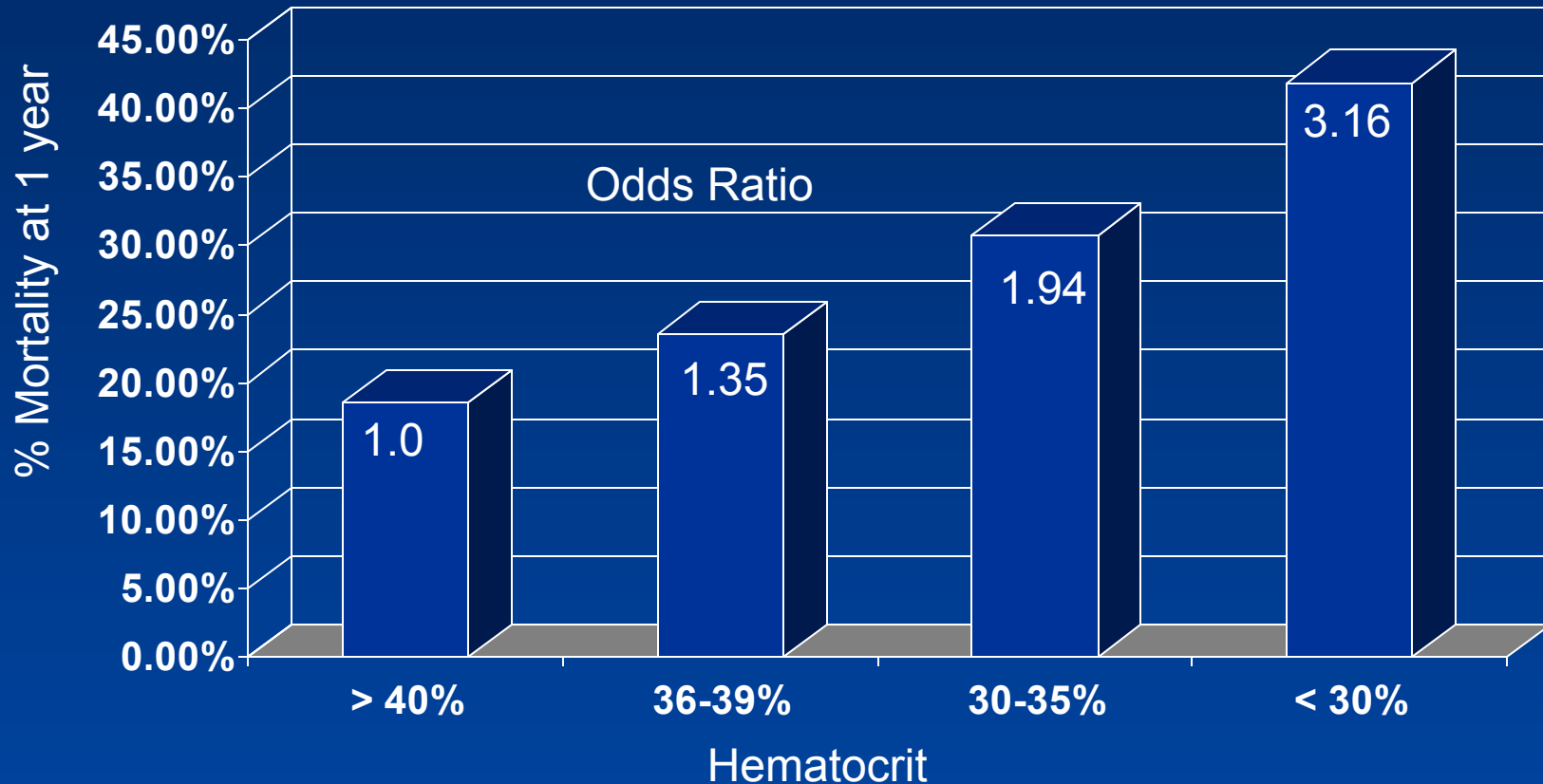
\*Patients with hemoglobin levels. Sarnak et al. *J Am Coll Cardiol*. 2002;40:27-33.

# Anemia and CV Death in ACS

OR & 95% CI for CV Death by 30 d



# Acute MI: Higher Hematocrit is Associated with Lower Risk of Death



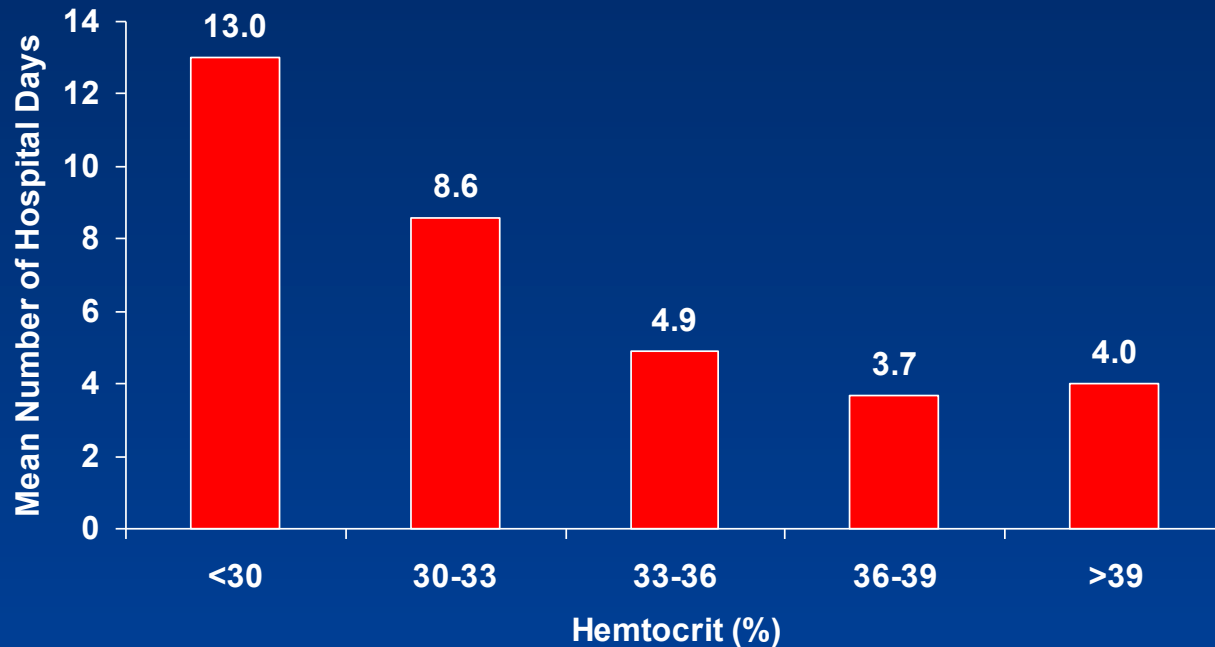
Langston, Kid Int 2003, 64:1398-1405

Retrospective cohort of 709 Medicare patients admitted to community hospitals for acute MI

Odds Ratio Adjusted for age, sex, race, kidney function and cardiovascular co-morbidities

4% decrease in one year risk of death per 1% increase in hematocrit

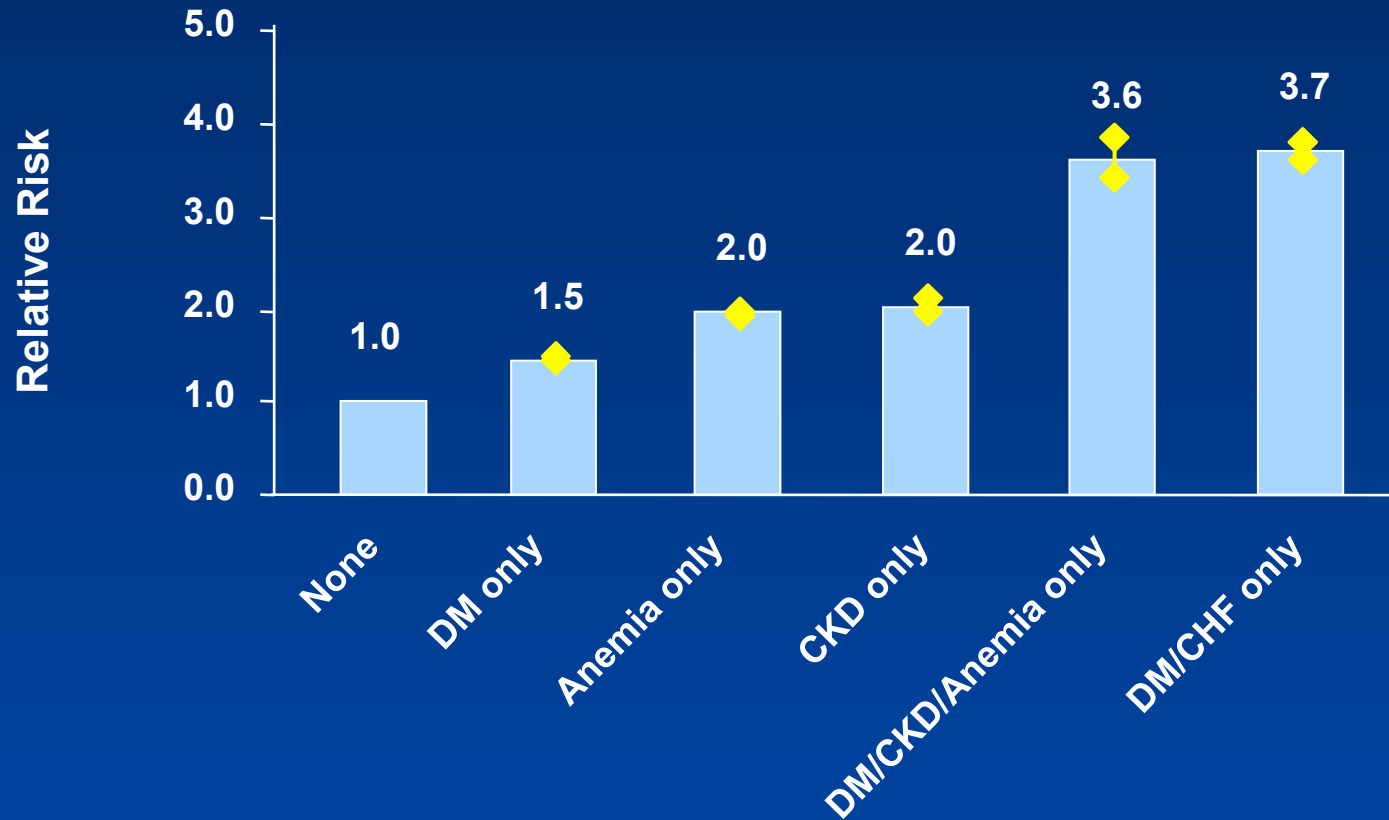
# ESRD - USRDS: Higher Hematocrit is Associated with Fewer Hospital Days



N	4,308	11,558	22,192	10,265	2,256
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Li & Collins, Kid Int 2004, 65:626-633  
50,579 incident HD patients in the US between Jan 98 – Dec 1999  
Follow-up 2.5 yrs (hospitalization) and 3.0 yrs (mortality)  
Unadjusted data

# Anemia, Diabetes and CKD Have Similar Impact on Mortality





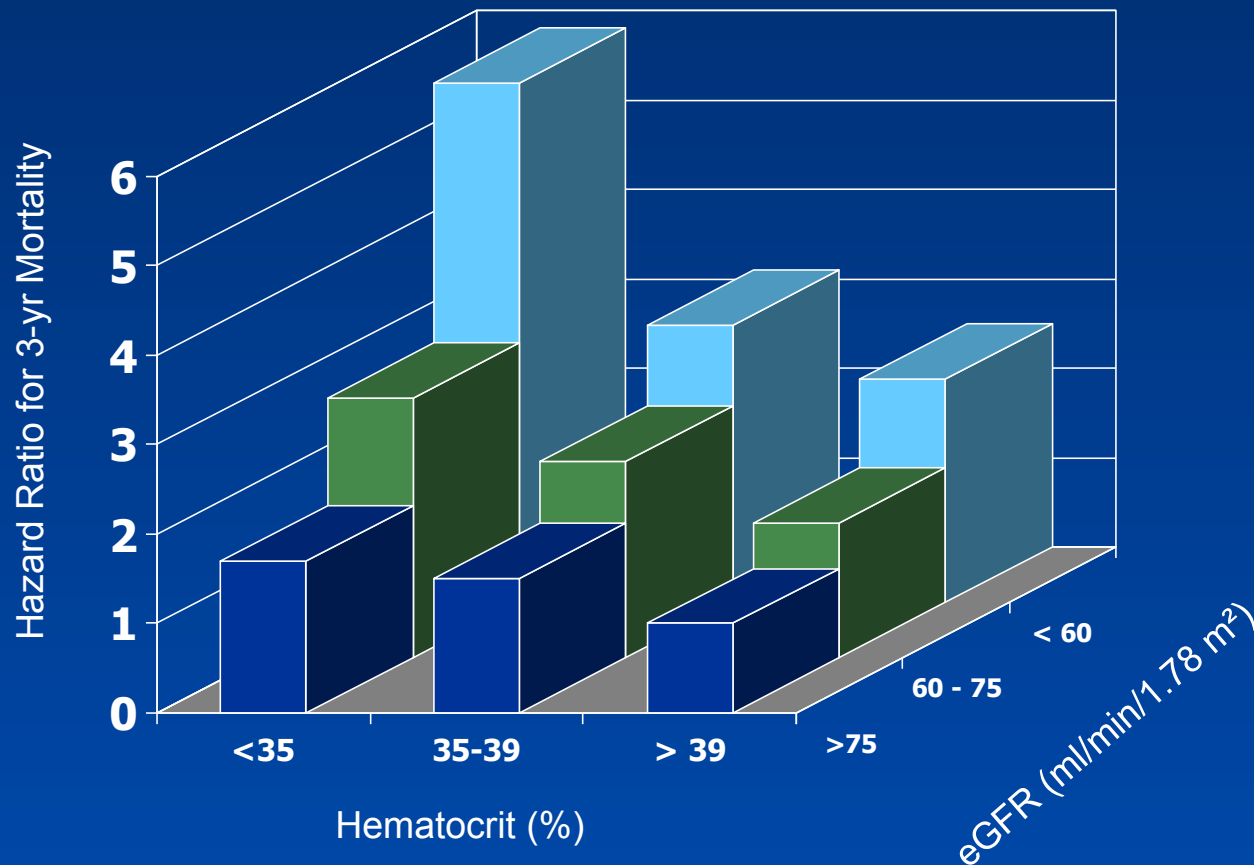
# Anemia and CKD are Risk Factors for Mortality

	Unit Change	RR (95% CI)
Hematocrit	1% decrease	1.06 (1.04–1.08)
GFR	10 mL/min decrease	1.06 (1.03–1.10)

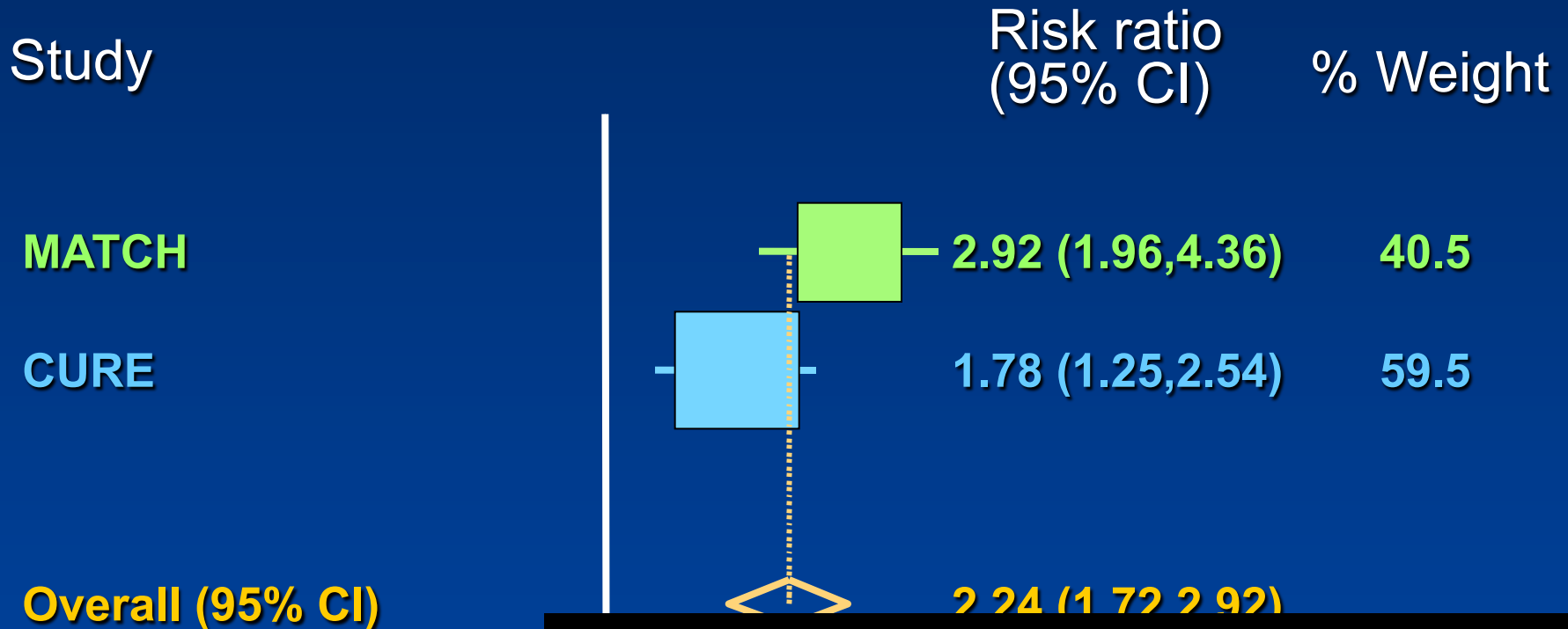
Retrospective analysis of 6,635 patients – SOLVD database

# Double Jeopardy of Renal Insufficiency and Anemia in Patients Undergoing Percutaneous Coronary Interventions

Hitinder S. Gurm, MD, A. Michael Lincoff, MD, Neil S. Kleiman, MD, Dean J. Kereiakes, MD, James E. Tchong, MD, Herbert D. Aronow, MD, MPH, Arman T. Askari, MD, Danielle M. Brennan, MS, and Eric J. Topol, MD



# Dual Antiplatelet Agents Increase Risk of GI Bleeding in Cardiac Patients



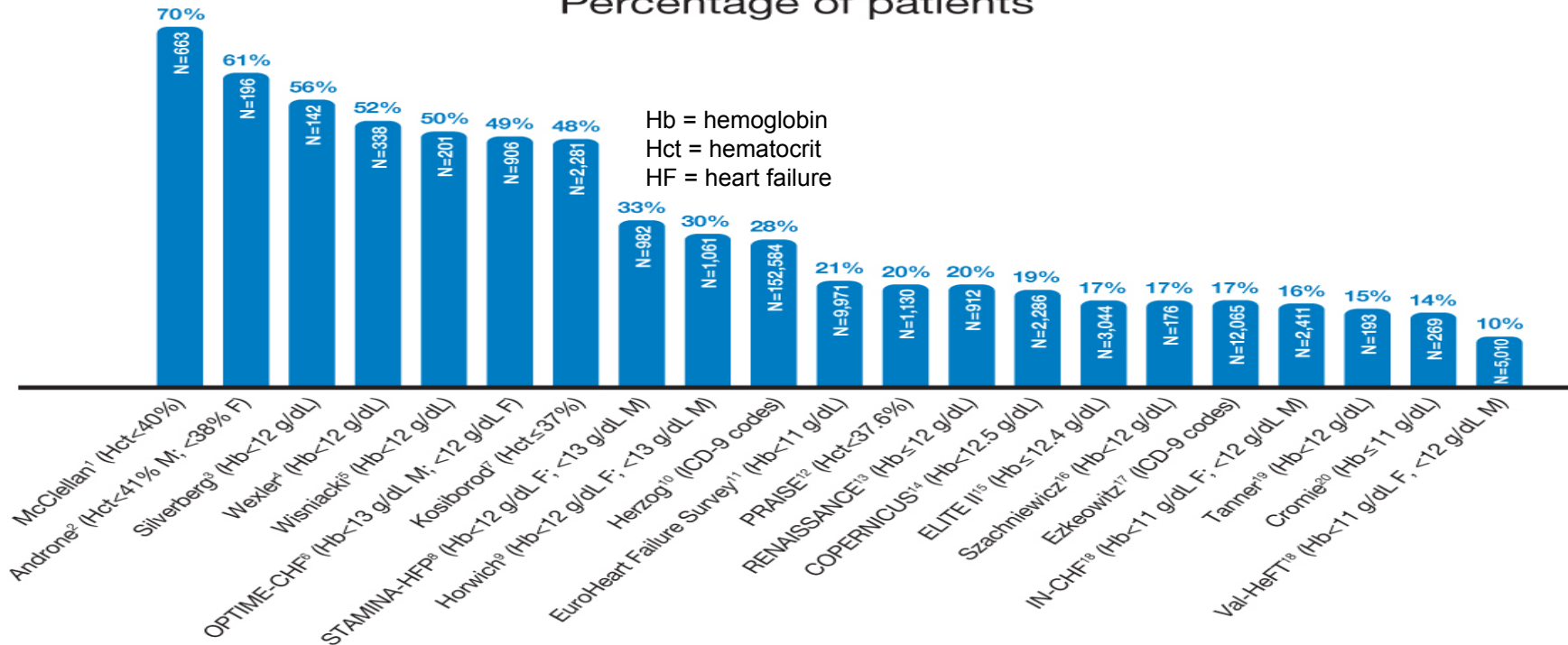
.229503

In VALIANT, dual antiplatelet agent use was associated with an 85% increased adjusted risk of GI bleeding (each 10 points of reduced eGFR increased GI bleeding risk by 20%)

# ANEMIA IN HF

# Anemia In Patients With Heart Failure

Percentage of patients



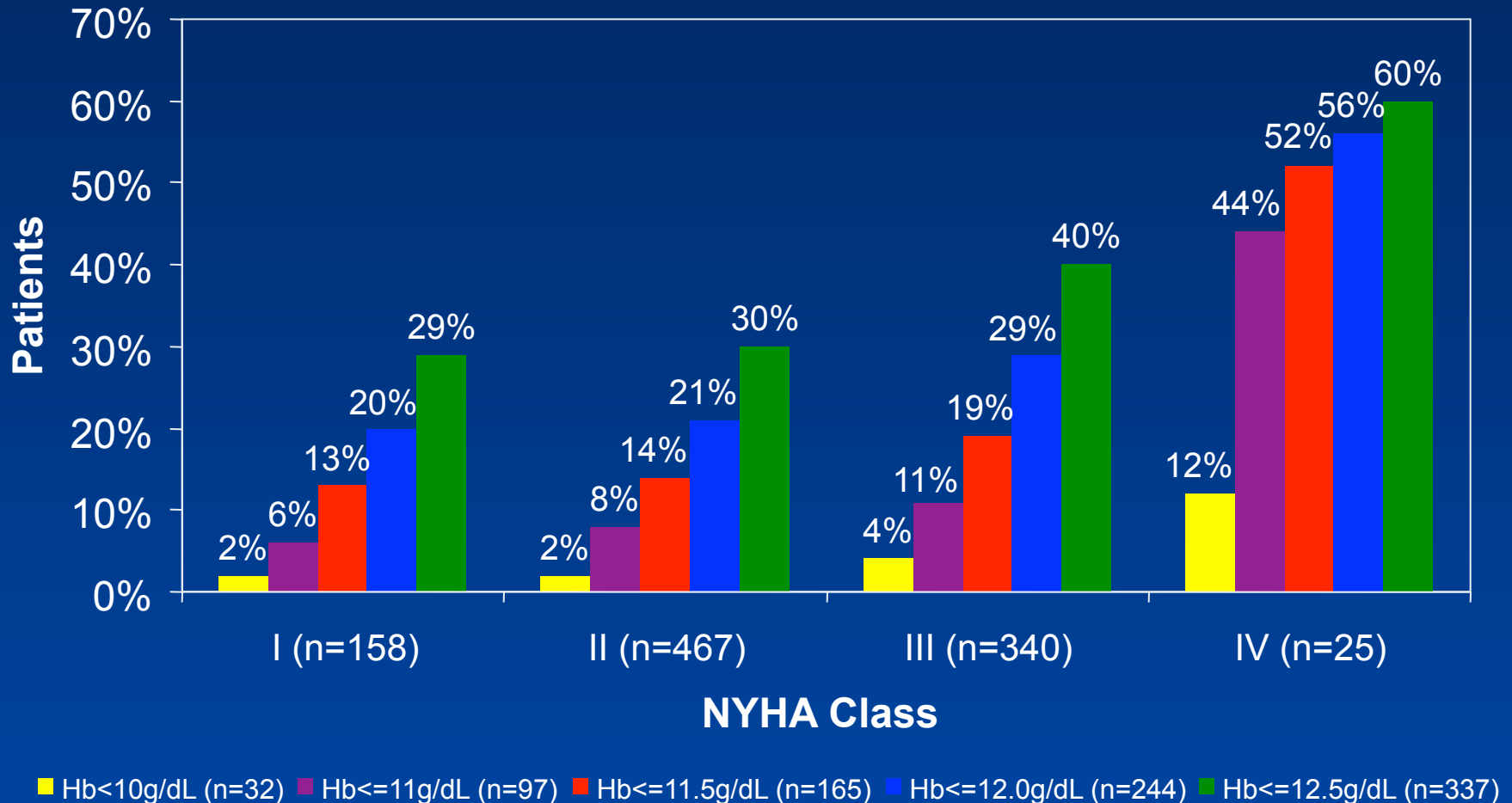
Hb = hemoglobin  
Hct = hematocrit  
HF = heart failure

Hb and Hct values in HF patients in a range of prospective and retrospective studies.

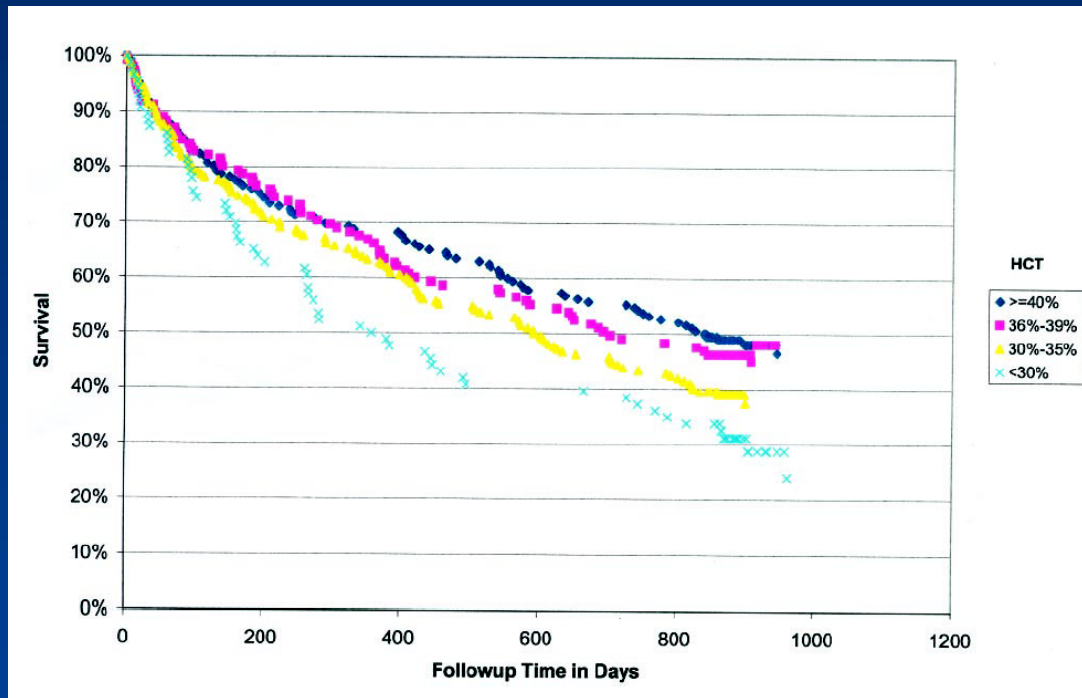
The prevalence of anemia in heart failure patients is approximately:

- 30% for Inpatients
- 20% for Outpatients

# The Prevalence of Anemia and The Severity Of Heart Failure



# Heart Failure: Higher Hematocrit is Associated with Lower Risk of Death



Hematocrit	%	1 Year Mortality	OR
> 40%	30.3%	31.3%	1.0
36 - 39%	22.9%	33.8%	1.08
30 - 35%	33.2%	36.7%	1.17
< 30%	13.6%	50.0%	1.60

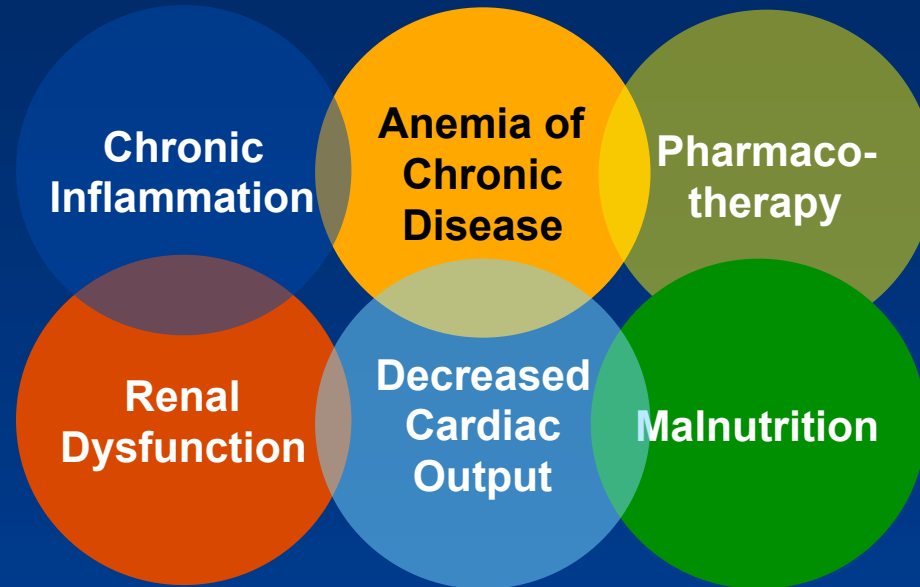
McClellan, JASN 2002, 13:1928-36

Retrospective cohort of 655 Medicare patients admitted to community hospitals for heart failure

Adjusted for age, sex, race, kidney function and cardiovascular co-morbidities

2.4% decrease in one year risk of death per 1% increase in hematocrit

# The Etiology of Anemia in Heart Failure is Likely Multifactorial



Bone marrow dysfunction  
Abnormal iron homeostasis (uptake, release, utilization)  
Intravascular fluid imbalance (hemodilution)  
EPO deficiency or resistance



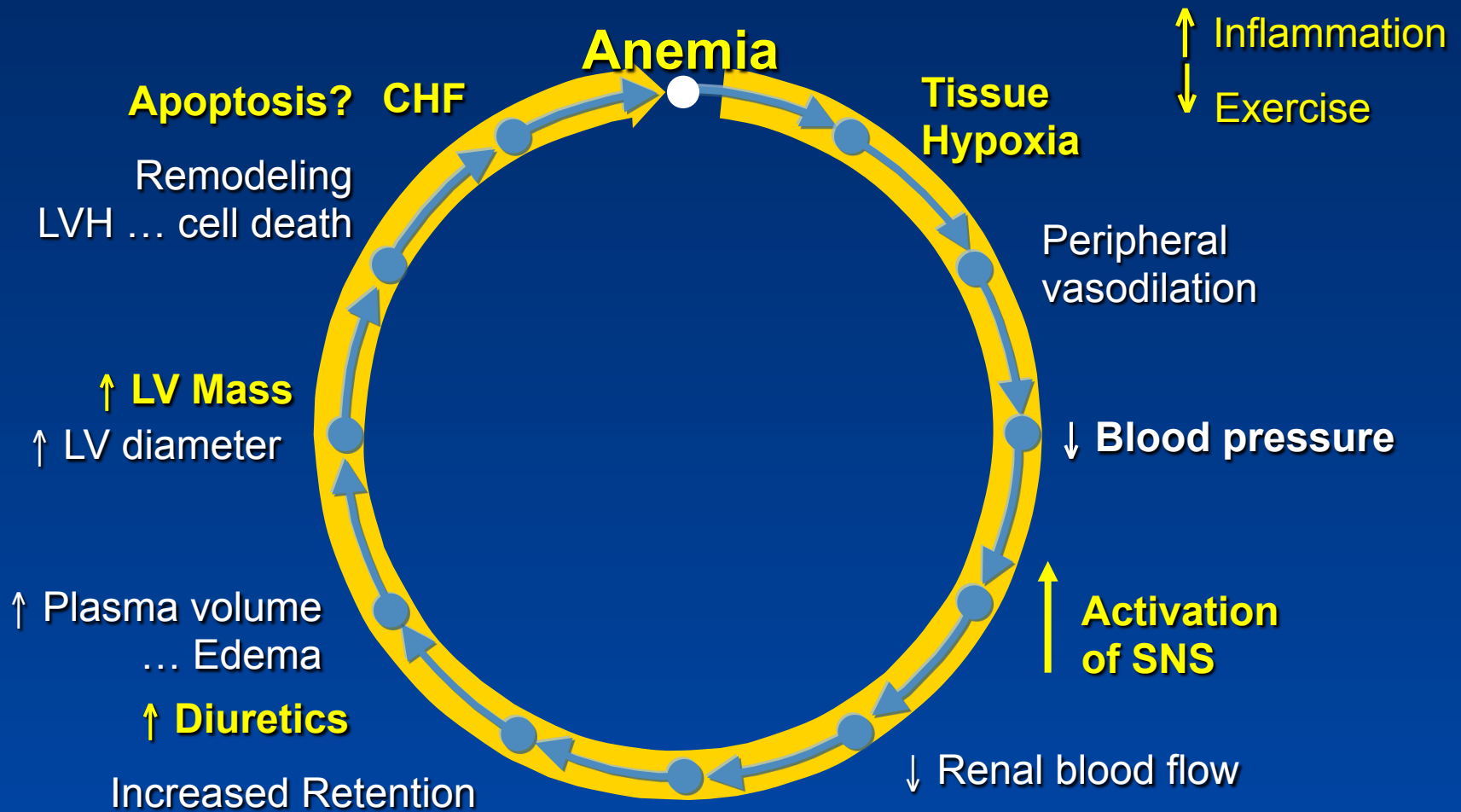
# Causes of Anemia in HF

↓ Cardiac Output	<ul style="list-style-type: none"><li>• Impaired renal perfusion, leading to impaired renal function, decreased EPO production and anemia<sup>1</sup></li><li>• Impaired bone marrow perfusion leading to impaired function and anemia<sup>1</sup></li></ul>
Cytokines	<ul style="list-style-type: none"><li>• TNF and other inflammatory cytokines may cause bone marrow suppression, interfere with the action of EPO and the cellular release and utilization of iron<sup>2</sup></li></ul>
Iron Deficiency	<ul style="list-style-type: none"><li>• Edematous GI may diminish absorption of iron</li><li>• Chronic aspirin therapy may lead to blood loss</li></ul>
ACE inhibitors	<ul style="list-style-type: none"><li>• Down-regulation of EPO by angiotensin-converting enzyme (ACE) inhibitors<sup>3</sup></li></ul>
Dilutional	<ul style="list-style-type: none"><li>• Plasma volume expansion<sup>4</sup></li></ul>

<sup>1</sup>Chatterjee et al. *Eur J Heart Fail.* 2000;2:393-398. <sup>2</sup>Silverberg et al. *J Am Coll Cardiol.* 2000;35(7):1737-44.

<sup>3</sup>Volpe et al. *Am J Cardiol.* 1994;74:468-473. <sup>4</sup>Androne et al. *Circulation.* 2003;107:226-229.

# Pathophysiology of Anemia in CHF



Adapted from Okonko & Anker.  
*J Cardiac Failure*. 2004;10(suppl):S5-S9.

↑ Renin Angiotensin  
Aldosterone ADH

# Patients with Anemia Have Worse Heart Failure: Val-HeFT Database

Baseline Variables	No Anemia (n = 3857)	Anemia (n = 1145)	P-value
Age ≥65 yrs %	62±11	66 ±11	<0.001
NYHA III-IV %	36	45	<0.001
History of PND %	8	11	<0.001
SBP (mmHg, mean±SD)	124.2±18	122.6±18	<0.001
Edema (%)	23	38	<0.001
GFR (ml/min/1.73m <sup>2</sup> )	60±15	52 ±17	<0.001
MLHFQ score (mean±SD)	31±23	35±24	<0.001
<b>Background therapy, %</b>			
Diuretics	84	91	<0.001
Digoxin	66	70	0.02
Serum Albumin (g/L, mean±SD)	4.2±0.3	4.0±0.4	<0.001
CRP (pg/mL, mean±SD)	5.7±8.9	8.9±12.9	<0.001
BNP (pg/mL, mean±SD)	162±210	242±276	<0.001
<b>LVEF % (mean±SD)</b>	<b>27±7</b>	<b>26±7</b>	<b>0.21</b>
<b>LVIDd/BSA cm/m<sup>2</sup> (mean±SD)</b>	<b>3.6±0.5</b>	<b>3.7±0.5</b>	<b>0.09</b>

# Anemia is Associated with Increased Risk for Hospitalization in Heart Failure Patients

Study	Design	N	Anemia Risk Assessment	Limitations
<b>Alexander<sup>1</sup></b>	Retrospective cohort study of a population based HF database	90,316	Anemia was an independent risk factor of 1-year rehospitalization (RR 1.162; 95% CI: 1.134 to 1.191)	no confirmation of the HF diagnosis; undercounts of minorities and biased results.
<b>Polanczyk<sup>2</sup></b>	Prospective, single center, observational study	205	Anemia was an independent predictor of 3-month rehospitalization (p=0.002)	Too small of a population to resolve a small difference in readmission rates; role of confounding variables due to lack of control
<b>OPTIME-CHF<sup>3</sup></b>	Retrospective chart review	906	Anemia was an independent predictor of 60-day death or rehospitalization (odds ratio of 0.89 per 1 g/dL increase in hemoglobin; 95% CI: 0.82 to 0.97)	Anemia may have been caused by hemodilution in hospitalized patients
<b>Kosiborod<sup>4</sup></b>	Retrospective chart review	2,281	Patients had 2% higher risk of 1-year rehospitalization for every 1% lower hematocrit (95% CI: 1.01 to 1.03; p=0.0002)	Lack of data on transfusions or other treatments for anemia; study generalizability to non-study population
<b>COPERNICUS<sup>5</sup></b>	Randomized, double blind, placebo controlled trial	2,286	Anemia was an independent risk factor for 1-year morbidity (HF hospitalization) and mortality outcomes	-

<sup>1</sup>Alexander M, et al. *Am Heart J.* 1999;137:919-927

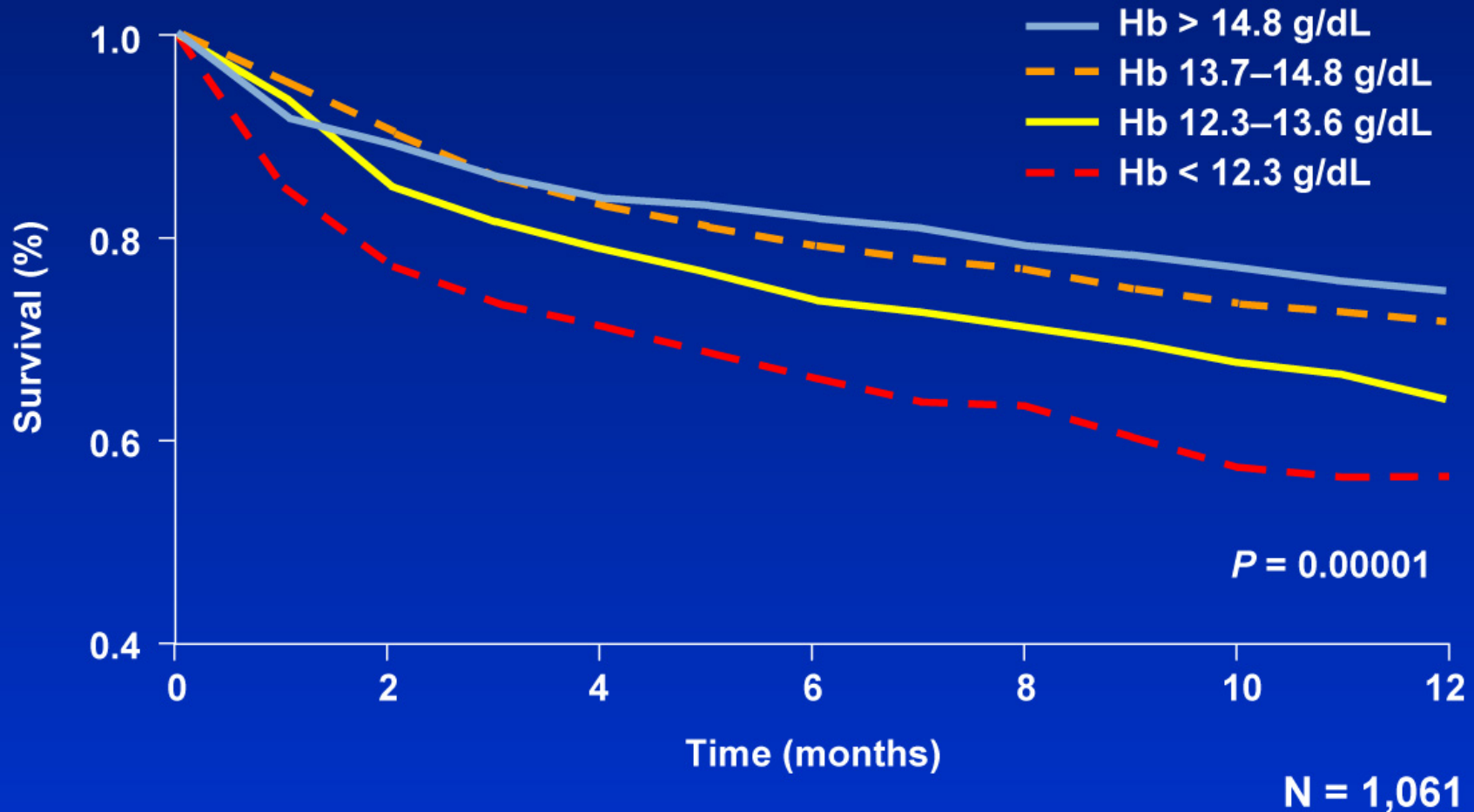
<sup>2</sup>Polanczyk CA, et al. *J Card Failure.* 2001;7:289-298

<sup>3</sup>Felker GM, et al. *Am J Cardiol.* 2003;92:625-628

<sup>4</sup>Kosiborod M, et al. *Am J Med.* 2003;114:112-119

<sup>5</sup>Anker SD, et al. *J Am Coll Cardiol.* 2004;43(suppl A):Abstract 842-2

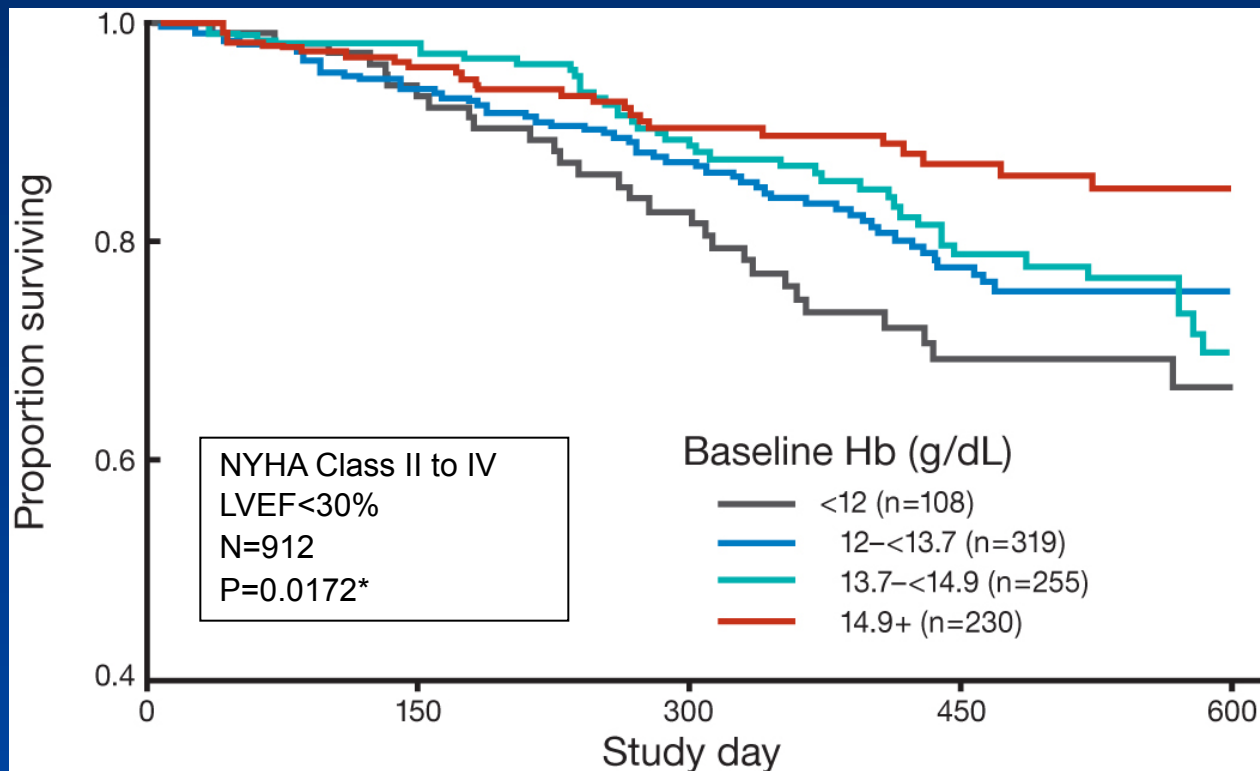
# Hemoglobin and Mortality in Heart Failure Patients



# Anemia and Mortality In Heart Failure Patients: RENAISSANCE

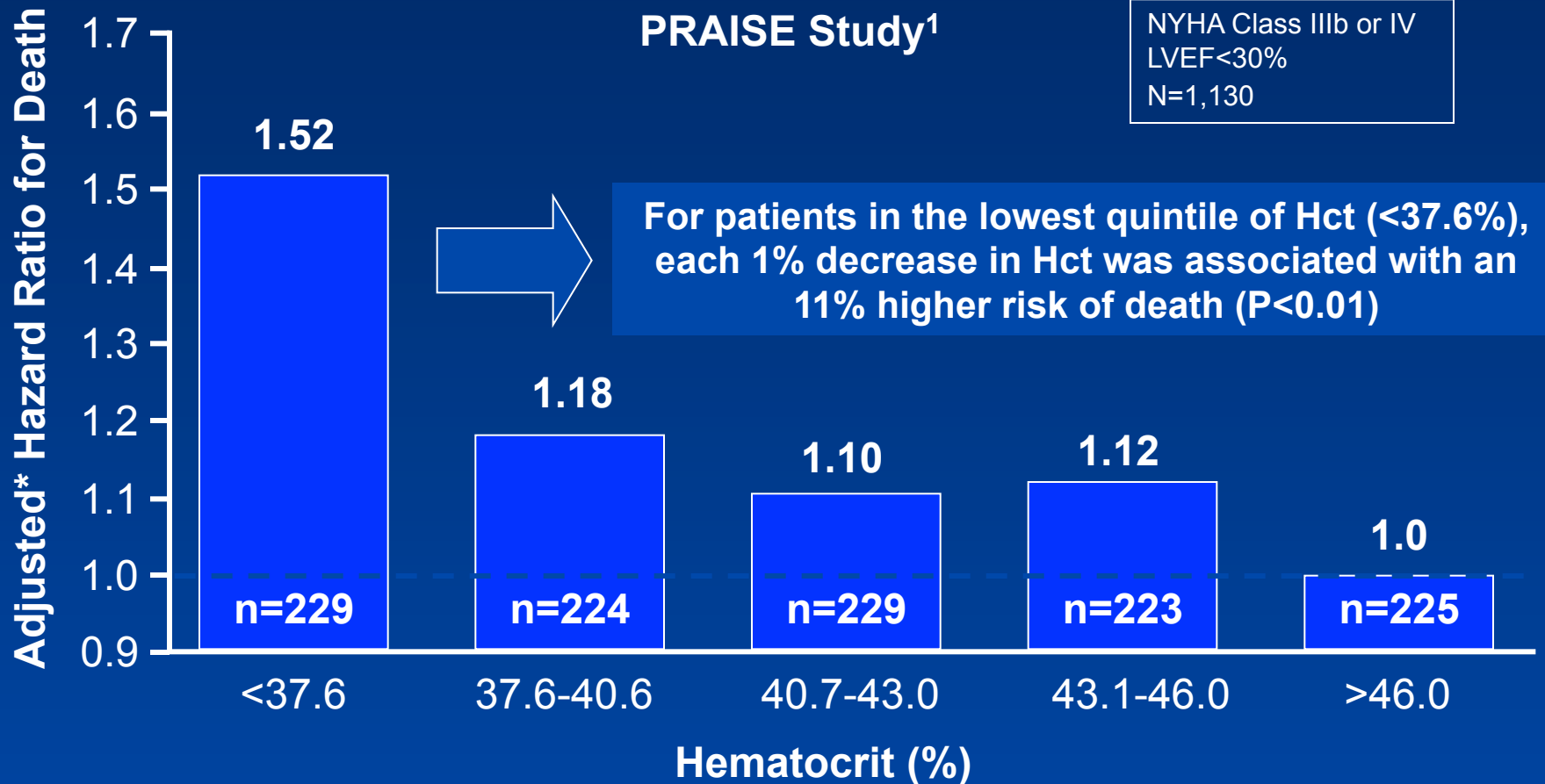
## RENAISSANCE Study<sup>1</sup>

Kaplan-Meier Survival Curve by Baseline Hb Concentration



\*Log-rank test; 1-year mortality was 28% in anemic subjects (Hb<12 g/dL) vs. 16% in non-anemic subjects

# Anemia and Mortality In Heart Failure Patients: PRAISE



\*Adjusted for age, gender, diabetes, smoking, heart failure etiology, EF, NYHA Class, systolic BP, WBC count & serum creatinine

# Severity Of Anemia and the Risk For Death Or Heart Failure Hospitalization

COPERNICUS Study<sup>1</sup>

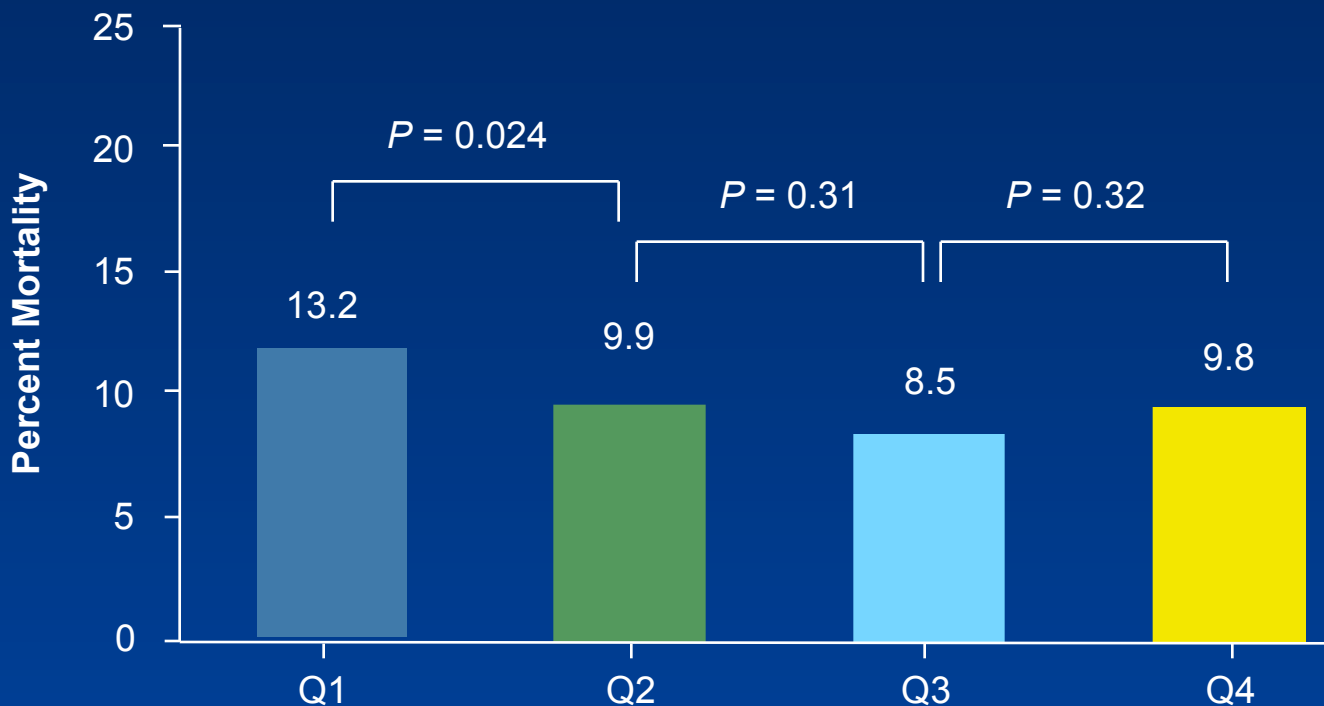
Hemoglobin (g/dL)	1-Year Death or HF Hospitalization Kaplan-Meier Event Rates (%)	N
<11	46.6	115
11 to <12.5	36.1	315
12.5 to <13.5	30.5	432
13.5 to <15	31.9	834
15 to 16.5	26.5	463
>16.5	25.5	127

N=2,286; LVEF<25%; severe HF with dyspnea or fatigue at rest or on minimal exertion

<sup>1</sup>Anker SD, et al. *J Am Coll Cardiol.* 2004;43(suppl A):Abstract 842-2



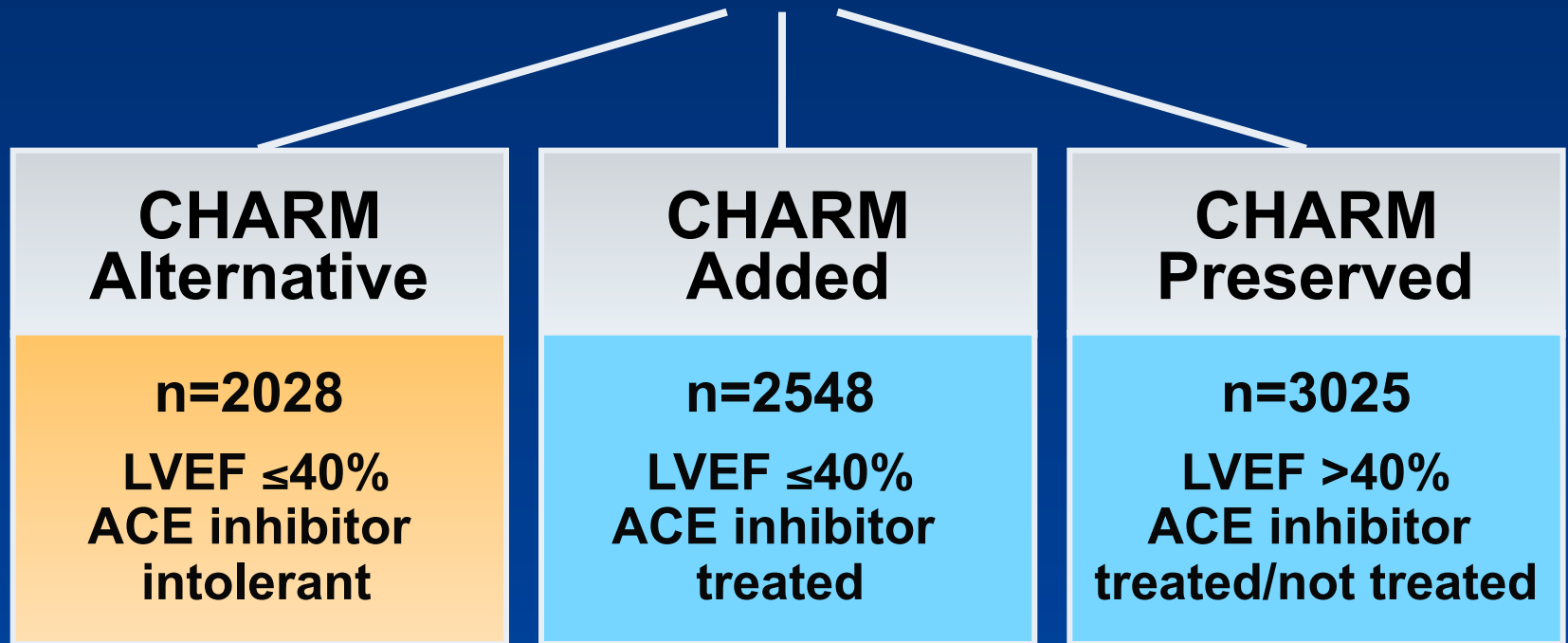
# Worsening of Hb from Baseline to 12 Months was Associated with Increased Mortality in Val-HeFT



	Q1	Q2	Q3	Q4
Quartile change in Hgb, g/dL	< - 0.8	> - 0.8 to < -0.1	> - 0.1 to < + 0.5	≥ + 0.5
Mean change in Hgb, g/dL	- 1.66	- 0.47	+ 0.15	+ 1.11
Mean BL Hgb, g/dL	14.24	13.92	13.71	13.30
Mean 12 month Hgb, g/dL	12.58	13.44	13.86	14.40
Number of patients	950	991	937	1028

# CHARM Programme

3 component trials comparing candesartan to placebo in patients with symptomatic heart failure



Primary outcome for each trial: CV death or CHF hospitalisation

Primary outcome for Overall Programme: All-cause death

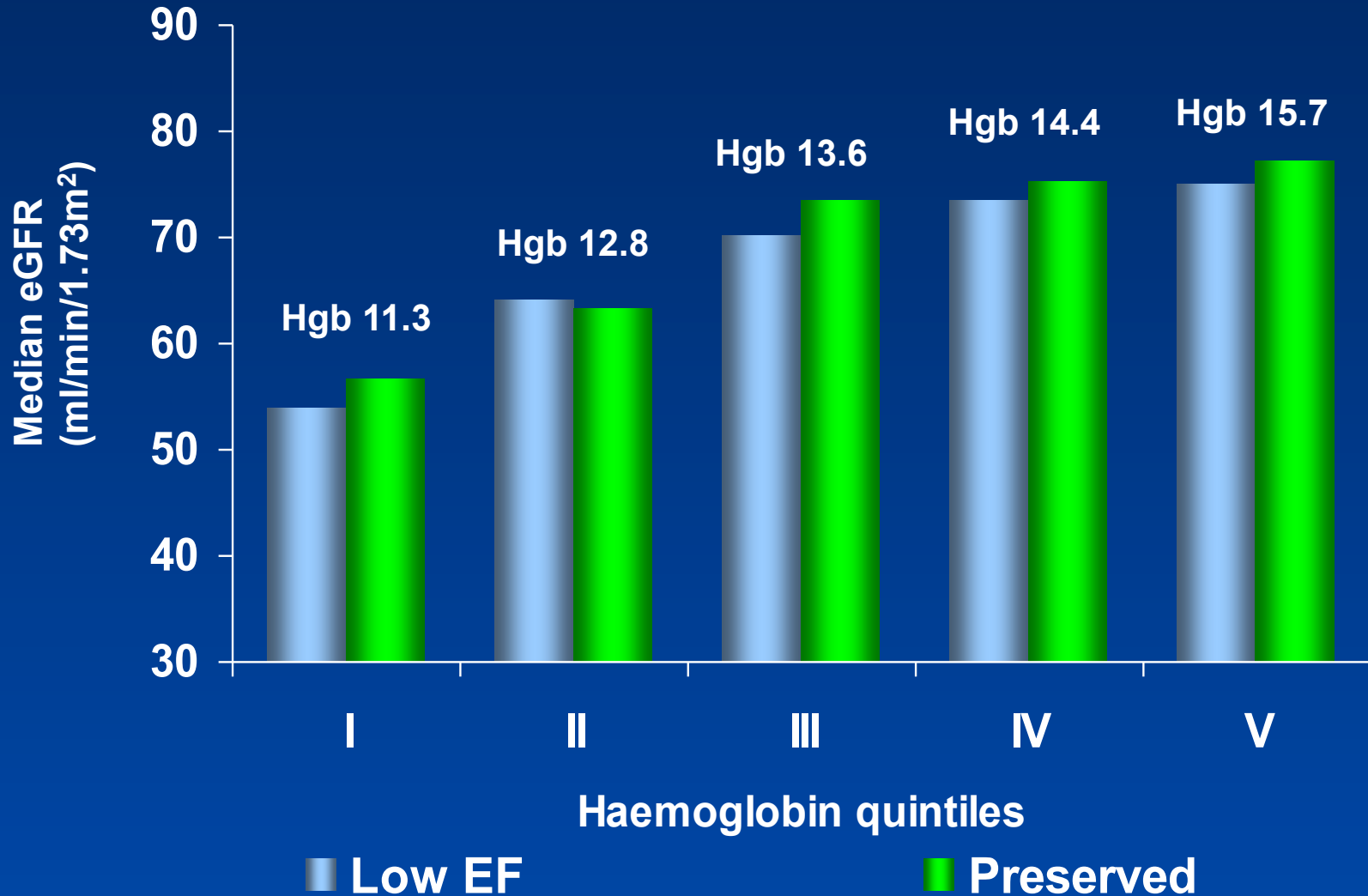
# Relevant exclusions

- Serum creatinine  $\geq 265 \mu\text{mol/l}$  (3 mg/dl)
- Known bilateral RAS
- Haemoglobin/anaemia NOT specifically mentioned

# Baseline characteristics

n=2028	Alternative n=2548	Added n=3023	Preserved n=7599	Overall
Mean age (years)	67	64	67	66
Women (%)	32	21	40	32
NYHA class (%)				
II	48	24	60	45
III	49	73	38	52
IV	3	3	2	3
Mean LVEF	30	28	54	39
Medical history (%)				
myocardial infarction	61	56	44	53
<b>diabetes</b>	<b>27</b>	<b>30</b>	<b>28</b>	<b>28</b>
hypertension	50	48	64	55
atrial fibrillation	25	26	29	27

# Median eGFR and Haemoglobin quintiles



# CHARM anemia independent of GFR

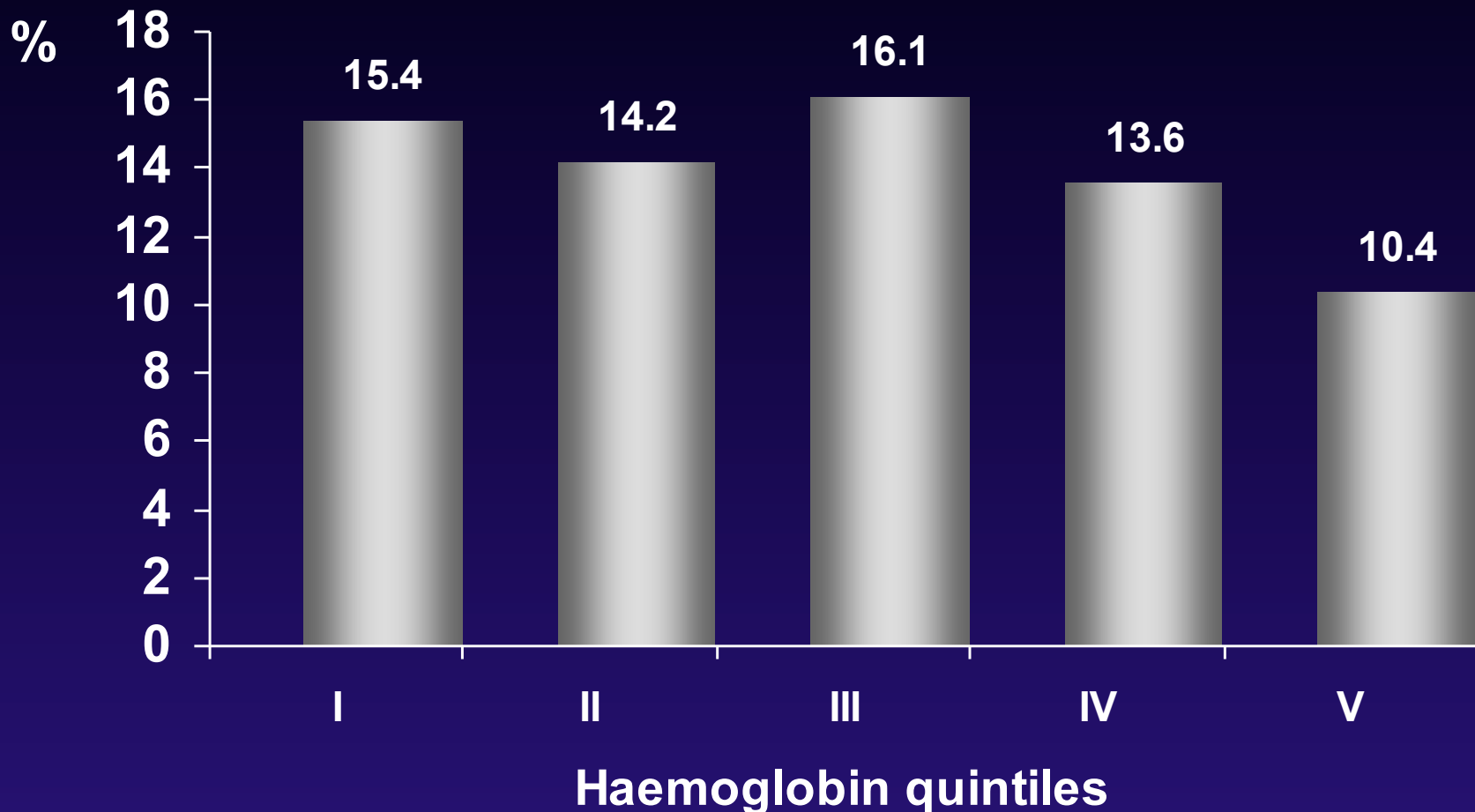
Measurement	All Patients		<i>p</i> *
	Anemia (n=677)	No Anemia (n=1976)	
eGFR, mL · min <sup>-1</sup> · 1.73 m <sup>-2</sup>			
Mean	60.5	75.0	<0.001
eGFR ≥90, %	11.7	24.8	<0.001
eGFR ≥60, %	44.9	70.5	<0.001
eGFR <30, %	9.0	1.7	<0.001



CHARM

# Relationship between haemoglobin and ECG LVH

## CHARM-Overall

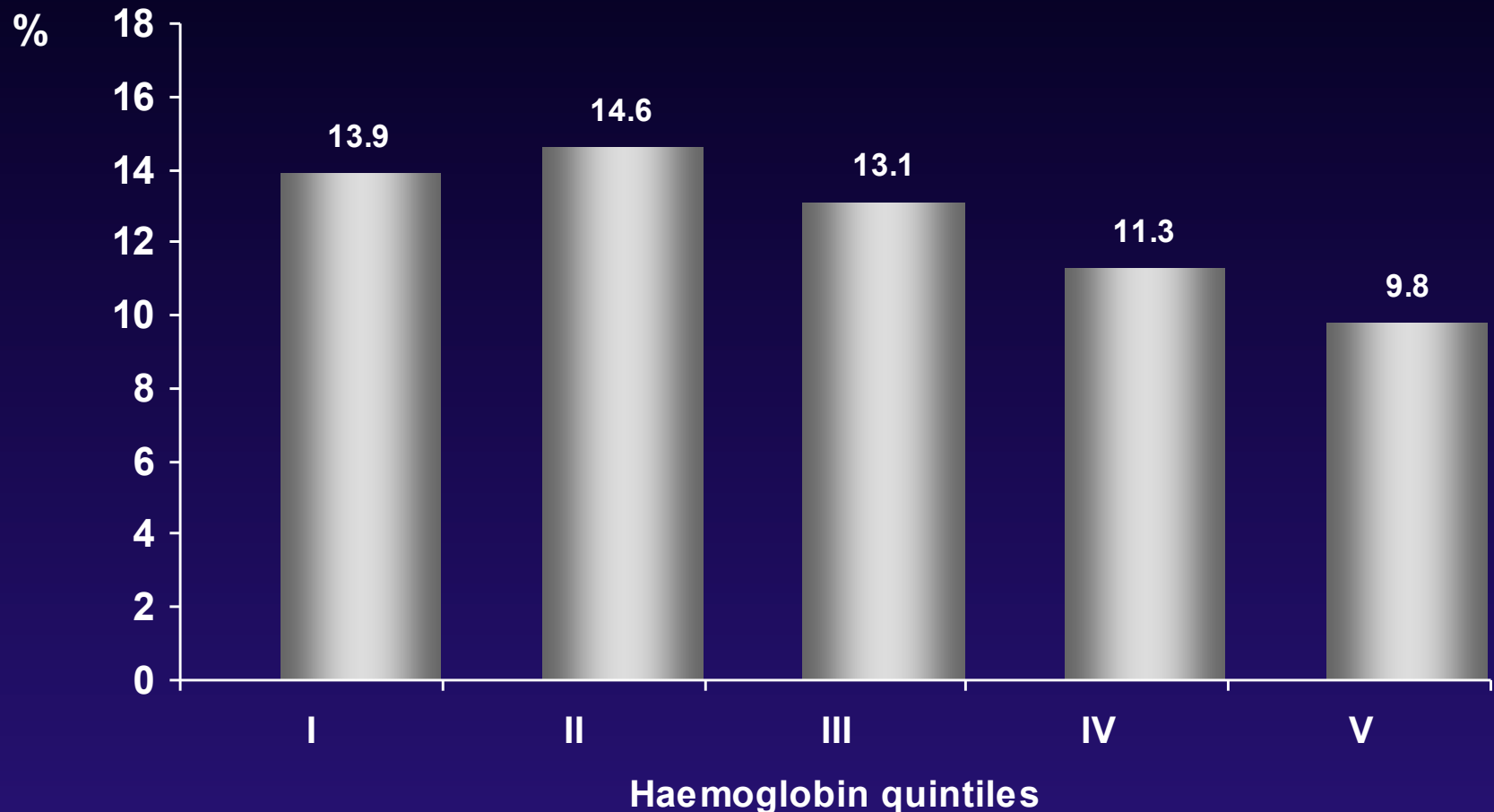




**CHARM**

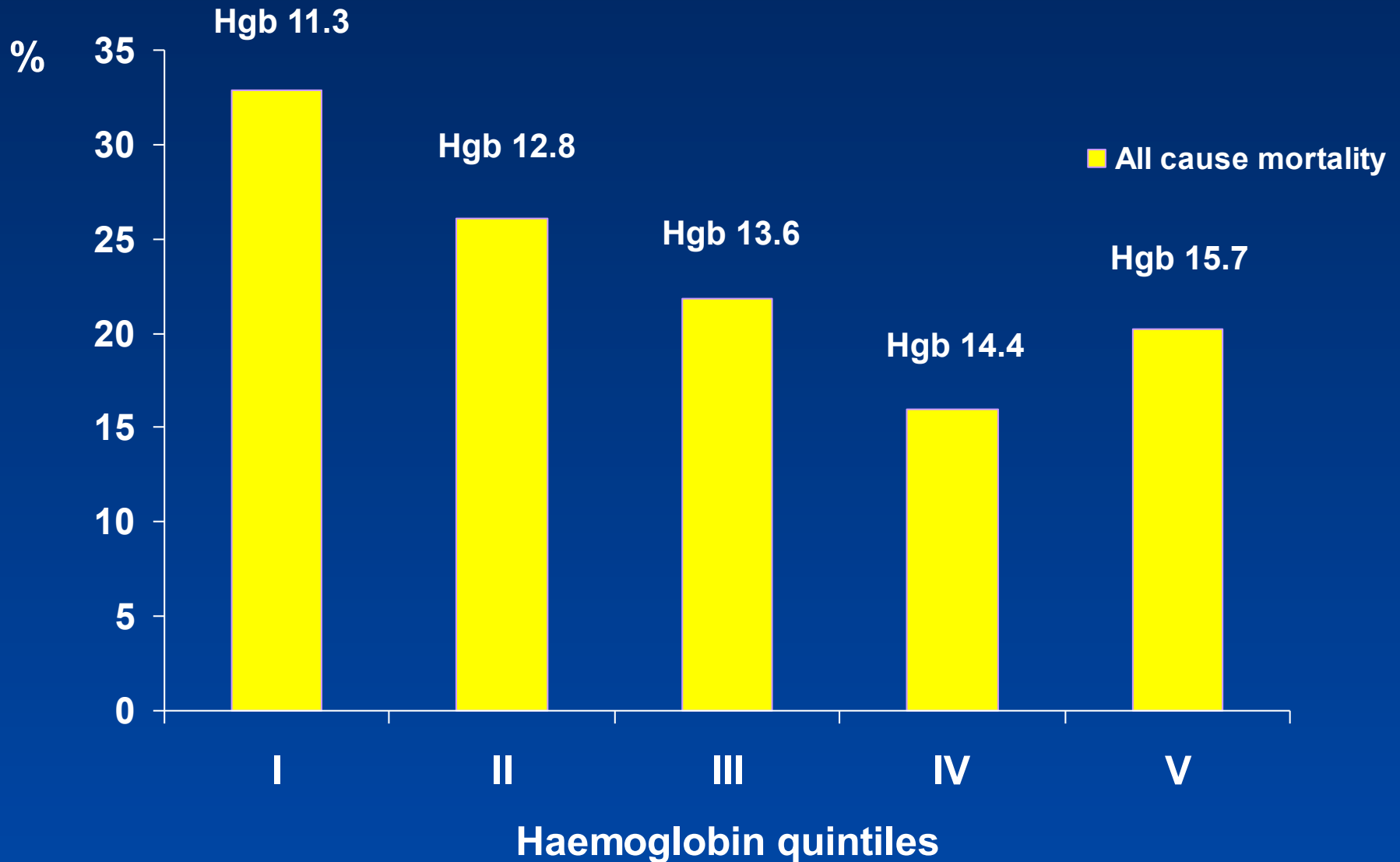
# Relationship between haemoglobin and radiological cardiomegaly

## CHARM-Overall





# Hemoglobin and Mortality

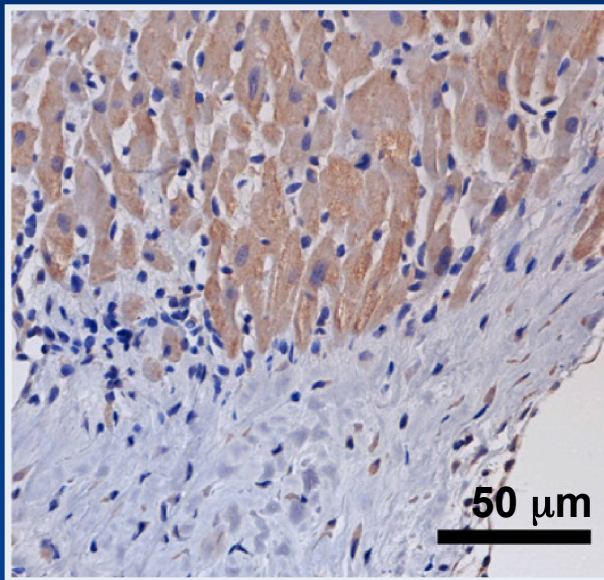


# Rationale for Anemia Correction

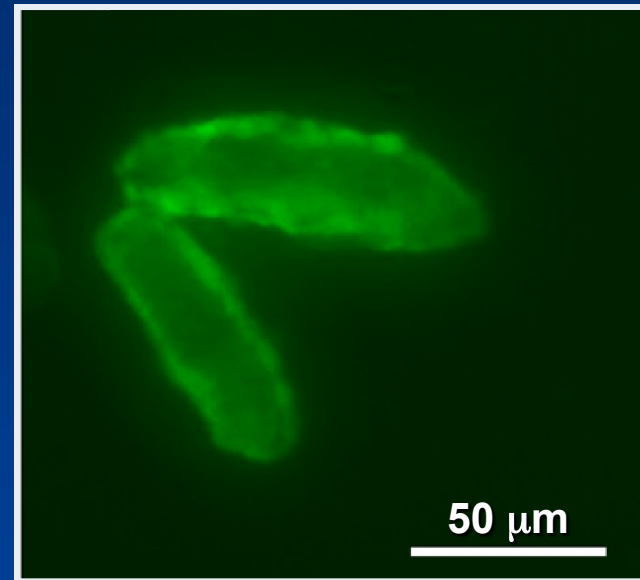
# Potential Benefits of Treating Anemia in CVD

- Improved oxygen delivery
- Improved exercise tolerance
- Attenuate adverse remodeling
- Improved QoL
- Antiapoptotic?
- Decrease in hosp./death?

# Erythropoietin Receptors are Present on Adult Cardiac Myocytes

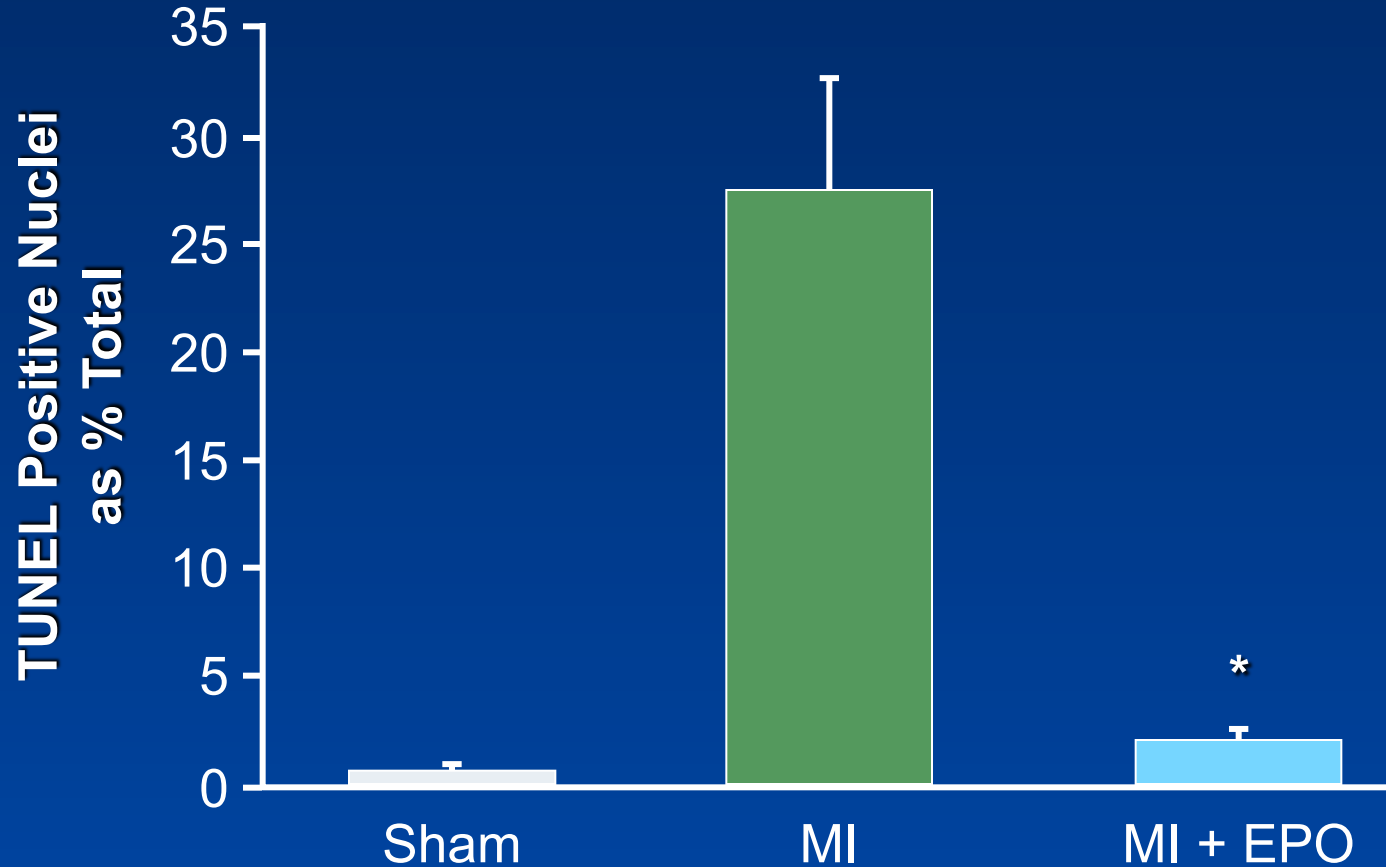


**EPOR protein in adult rat heart sections using immunohistochemistry**



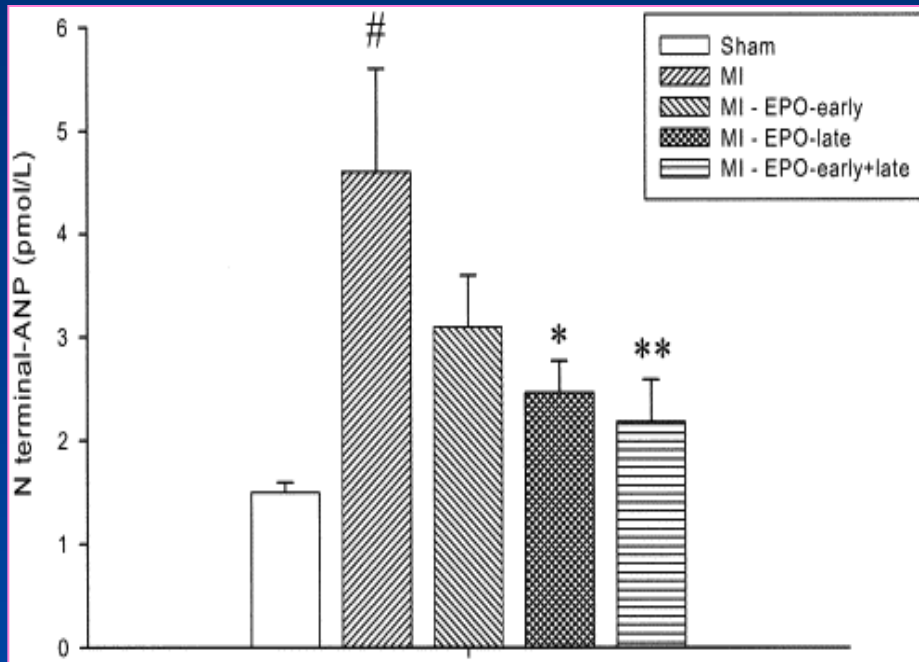
**EPOR protein in isolated adult rat cardiac myocytes visualized by fluorescence microscopy**

# EPO Administered at time of LAD Ligation Reduces Myocyte Apoptosis

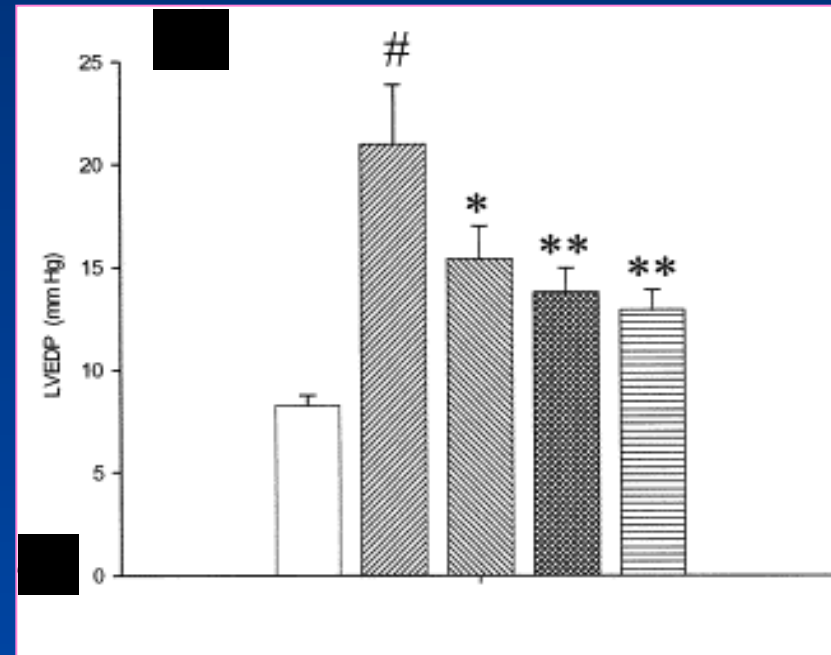


# Effect of EPO on Cardiac Function in Rats Post-MI

## N-terminal ANP plasma levels (pmol/L)



## LVEDP (mmHg)



\*p < 0.05 vs MI; \*\*p < 0.01 vs MI; #p < 0.01 vs sham

# Clinical Trials of Anemia Correction with Erythropoietin

# Studies Evaluating The Effect Of Treatment Of Anemia With Recombinant Human Erythropoietin (rHuEPO) In Heart Failure Patients

Study	N	Mean changes in selected endpoints	P Value
Silverberg et al. 2000 <sup>1</sup> • No control group • Not blinded	26	NYHA class (3.66 → 2.66) LVEF (27.7% → 35.4%) Number of hospitalizations/patient (2.72 → 0.22)	<0.05 <0.001 <0.05
Silverberg et al. 2001 <sup>2</sup> • Randomized control group • Not blinded, no placebo	32	NYHA class (3.8 → 2.2; 3.5 → 3.9 for control) LVEF (30.8% → 36.3%; 28.4% → 23.0% for control) Hospital days (13.8 → 2.9; 9.9 → 15.6 for control)	<0.0001 <0.013 <0.03
Silverberg et al. 2003 <sup>3</sup> • No control group • Not blinded	179	NYHA class (3.90 → 2.54) LVEF (34.9% → 38.7%) Number of hospitalizations/patient (2.90 → 0.12) Fatigue, shortness of breath VAS (8.76 → 2.75)	<0.05 <0.05 <0.05 <0.05
Mancini et al. 2003 <sup>4</sup> • Randomized, placebo controlled • Single blinded	23	Hb (11.0 ± 0.6 → 14.3 ± 1.2 g/dL; 10.9 ± 1.1 → 11.5 ± 1.3 g/dL for control) Peak VO <sub>2</sub> (11 ± 0.8 → 12.7 ± 2.8 ml/kg/min; 10.0 ± 1.9 → 9.5 ± 1.6 ml/kg/min for control) Exercise Duration (590 ± 107 → 657 ± 119 sec; 542 ± 115 → 459 ± 172 sec for control) 6-min walk (1187 ± 279 → 1328 ± 254 ft; 929 ± 356 → 1052 ± 403 ft for control) MLHFQ (9 point decrease for EPO; 10 point increase for control)	<0.0001 <0.05 <0.004 <0.05 <0.04
Silverberg et al. 2005 <sup>5</sup> • No control group • Not blinded	78	NYHA class (3.7 → 2.5) LVEF (33.3% → 36.9%) Number of hospitalizations/patient (2.7 → 0.7)	<0.01 <0.01 <0.01

<sup>1</sup>J Am Coll Cardiol. 2000;35(7):1737-1744

<sup>2</sup>J Am Coll Cardiol. 2001;37(7):1775-1780

<sup>3</sup>Nephrol Dial Transplant. 2003;18:141-146

<sup>4</sup>Circulation. 2003;107:294-299

<sup>5</sup>Kidney Blood Press Res. 2005;28:41-47



# Congestive Heart Failure (CHF) and CKD: Clinical Benefit of Anemia Correction

126 Anemic Patients With Resistant CHF

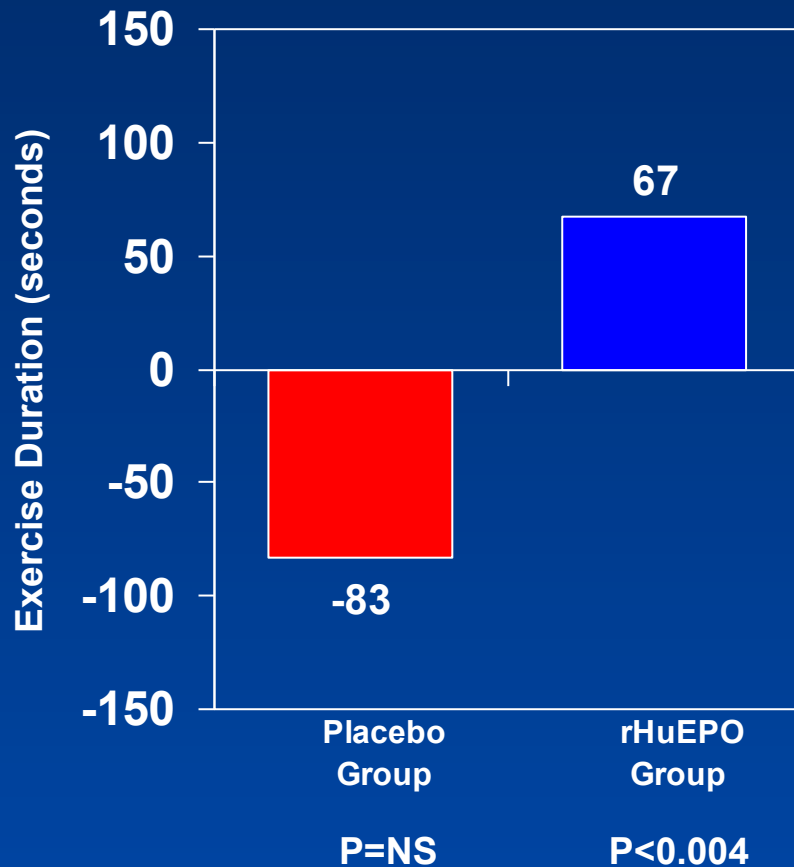
	Before	After
Hemoglobin (g/dL)*	10.3	13.1*
Serum creatinine (mg/dL)	2.4	2.3
GFR (mL/min/month)*	-0.95	0.27*
NYHA class (0–4)*	3.8	2.7*
Fatigue/SOB index (0–10)*	8.9	2.7*
Hospitalizations*	3.7	0.2*
Systolic BP (mmHg)	132	131
Diastolic BP (mmHg)	75	76

Statistical difference following anemia correction  $p < 0.05$

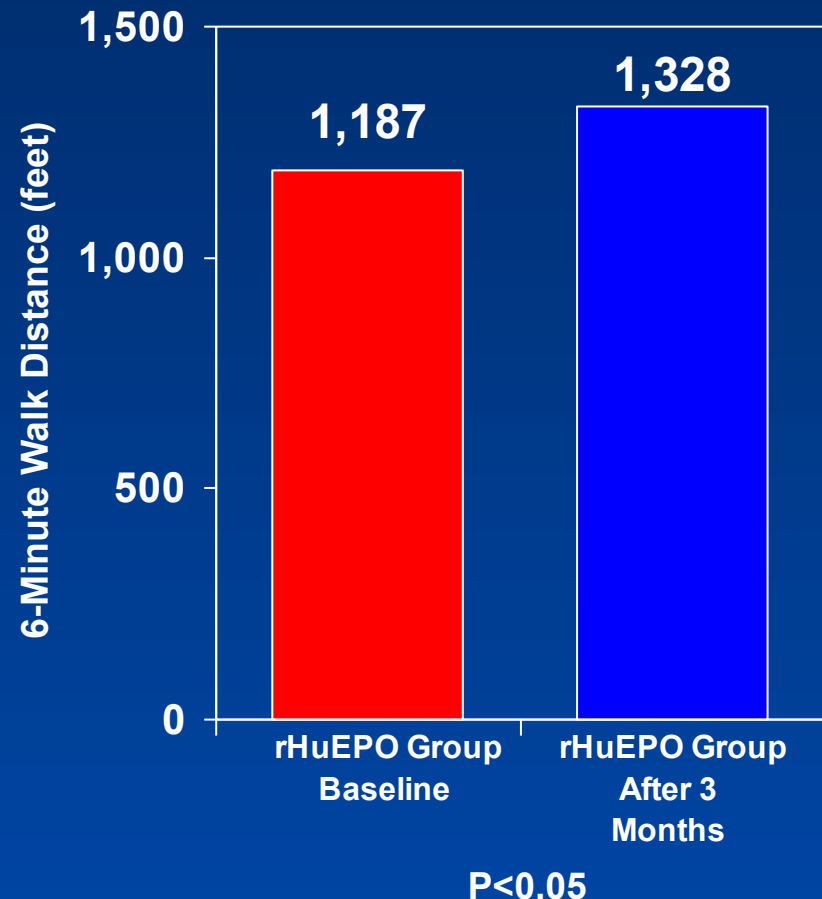
NYHA = New York Heart Association

# Effect of Treatment Of Anemia With rHuEPO On Exercise Duration And 6-Minute Walk...

## Mean Change in Exercise Duration

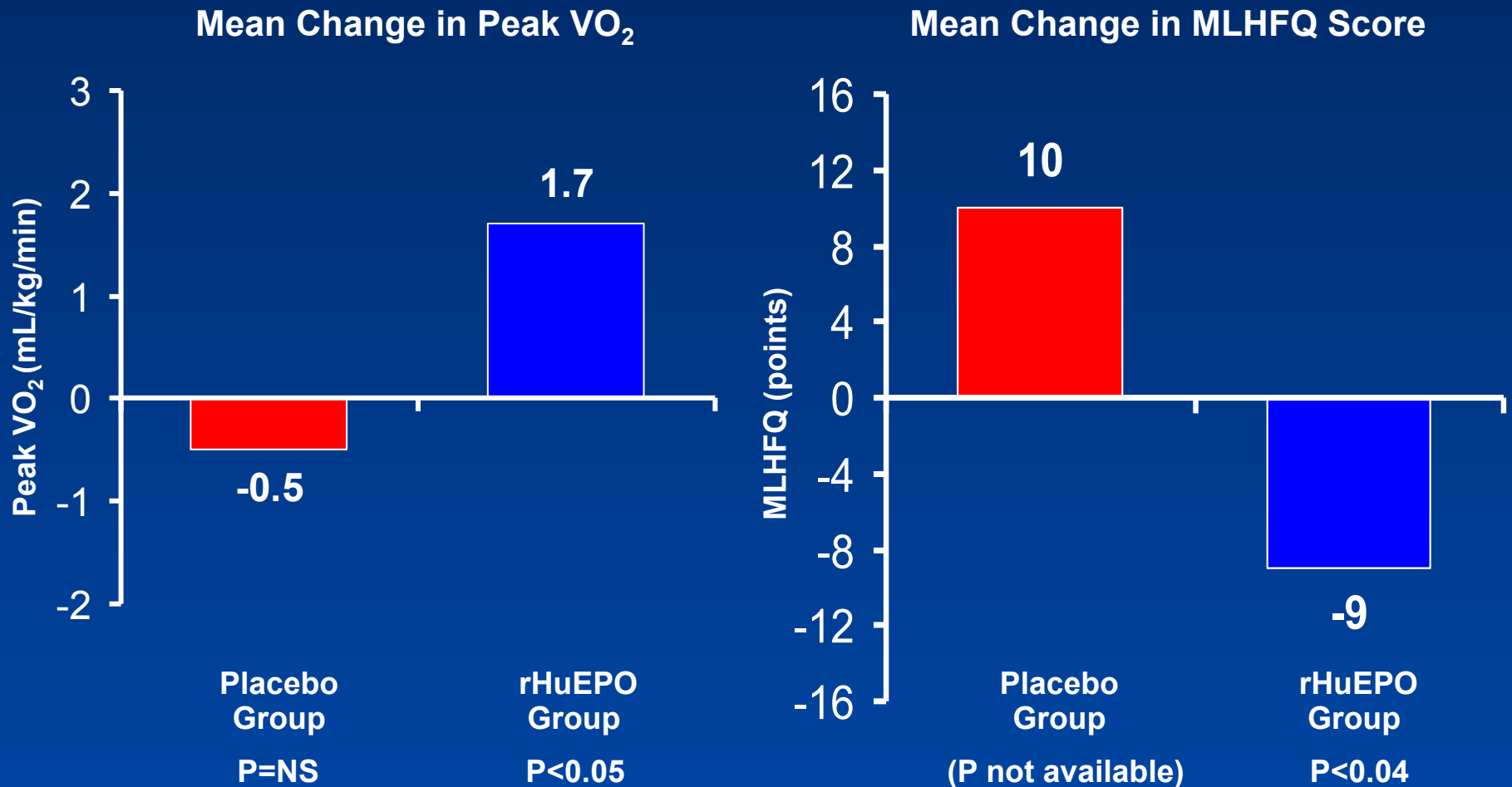


## Mean Change in 6-Minute Walk Distance



Randomized, placebo-controlled, single-blinded study; N=23 (n=8 for placebo group, n=15 for EPO group)

# ...As Well As Peak VO<sub>2</sub> And Quality Of Life In Heart Failure Patients



Randomized, placebo-controlled, single-blinded study; N=23 (n=8 for placebo group, n=15 for EPO group)

# Pooled Analysis of HF Anemia Trials

	Placebo n=209	Darbepoetin alfa n=266	
	Outcomes hazard ratio (95% CI)		p value
Composite endpoint		0.67 (0.44, 1.03)	0.064
HF-related hospitalization		0.66 (0.40, 1.07)	0.091
All-cause mortality		0.76 (0.39, 1.48)	0.418

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All-cause mortality		0.76 (0.39, 1.48)	0.418

# Potential Benefits and Risks of Treating Anemia in HF

## Potential Benefits

- Improved oxygen delivery
- Improved exercise tolerance
- Attenuate adverse remodeling
- Improved QoL
- Antiapoptotic?
- Decrease in hosp./ death?

## Potential Risks

- Increased thrombosis
- Platelet activation
- Hypertension
- Endothelial activation

# Recent Oncology Publications Raised Concern Regarding VTE Risk in EPO-Treated Patients

## Reflection and reaction

### Breast cancer trial with eryth

Many clinical studies have shown an association between tumour oxygenation, higher haemoglobin concentrations, and improved survival in patients with cancer.<sup>1-6</sup> And, in a recent prospective, randomised study, a subpopulation of anaemic patients with metastatic breast cancer were shown to survive longer if given erythropoietin to correct their haemoglobin concentration during chemotherapy than if treated with placebo.<sup>4</sup> As a consequence of these findings, a randomised, double-blind, placebo-controlled study was designed by Johnson & Johnson, in collaboration with oncologists in the academic

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months of  
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ference in r  
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of disease  
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group (6%  
in the inci  
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group (1%  
Extensiv  
explain these

placebo group). The observed difference in number of early deaths was mainly due to an increase in incidence of disease progression in the Eprex group compared with the placebo group (6% vs 3%) as well as an increase in the incidence of thrombotic and vascular events (TVEs) in the Eprex group (1% vs 0.2%).

Extensive  
explain these unexpected findings. able for several patients.

# Treatment of Anemia with Erythropoietin Stimulating Agents (ESAs): What We Know

**Dialysis**

**CKD**

## Improvements

**Hb**



**Reduces Transfusion**



**+/-**

**Quality of Life**



**+/-**

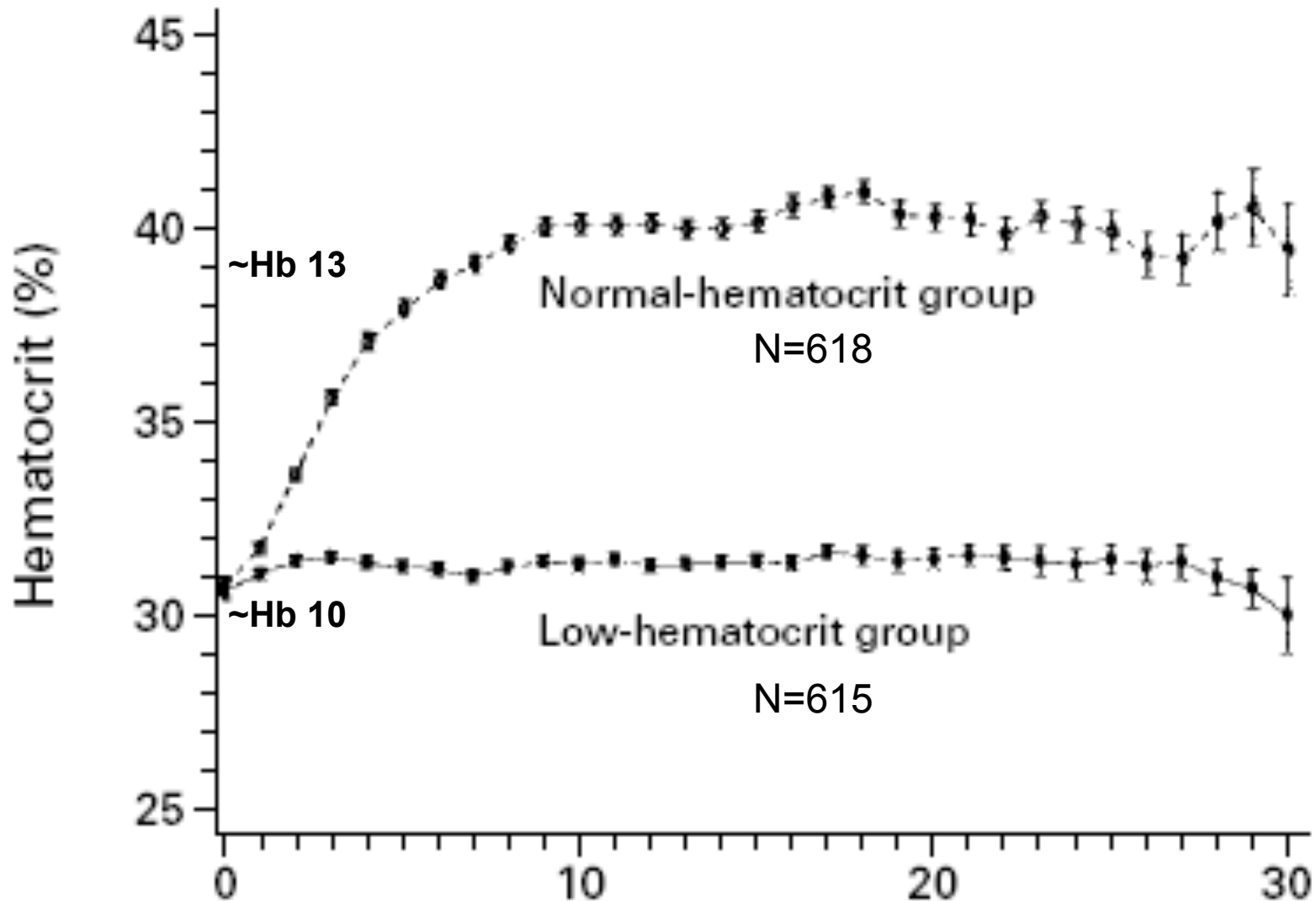
**CV Outcomes**

**no**

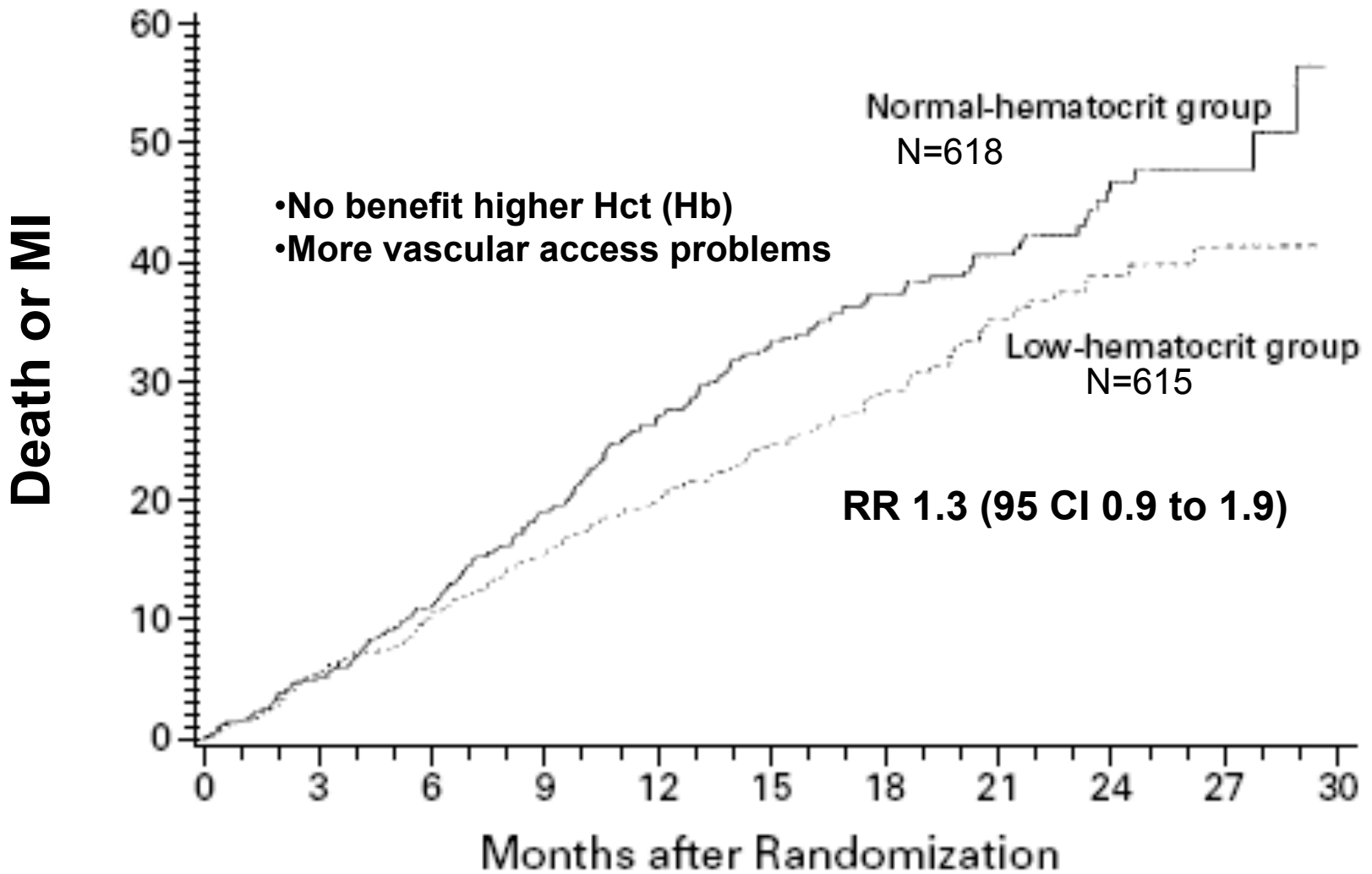
**3 RCTs**



# Normal Hematocrit Dialysis Trial



# Normal Hematocrit Dialysis Trial



# Current NKF/ KDOQI Guidelines for Anemia Correction

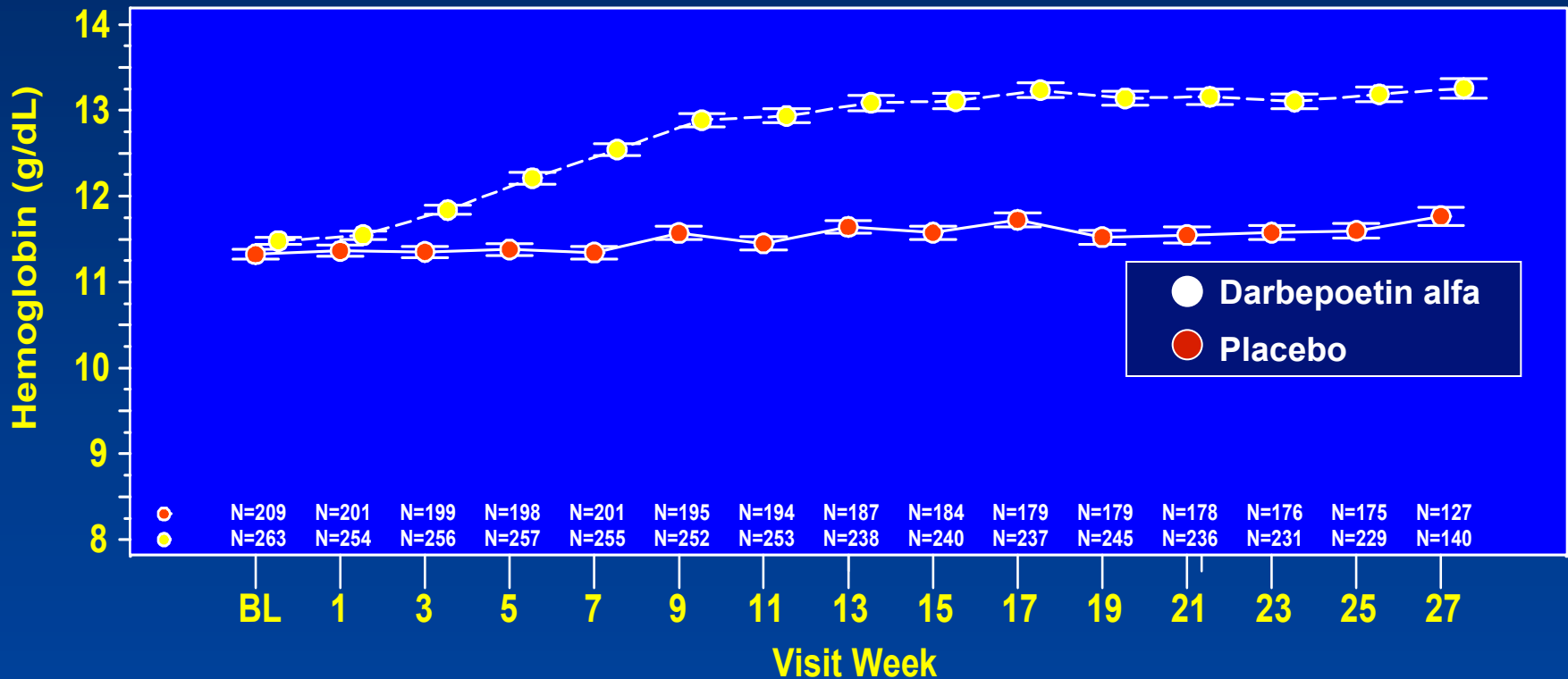
- In patients with CKD, Hb should be 11.0 g/dL or greater (**MODERATELY STRONG RECOMMENDATION**)
  - Observational data that patients with lower Hb do worse
  - Association between anemia and LVH
  - Improvement in QOL with anemia correction to 11-12 g/dL
- In the opinion of the Work Group, there is insufficient evidence to recommend routinely maintaining Hb levels at 13.0 g/dL or greater in ESA-treated patients.

## 3 RCTs Designed to Address Whether Anemia Correction in CKD May Improve CV Morbidity and Mortality

- CREATE (Cardiovascular risk Reduction by Early Anemia Treatment with Epoetin beta) - *Completed*
  - Determine the impact of early vs late anemia correction on mortality and cardiovascular morbidity in patients with CKD
- CHOIR (Correction of Hemoglobin and Outcomes In Renal insufficiency) – *Terminated Early*
  - Determine the impact of degree of anemia correction on mortality and cardiovascular morbidity in patients with CKD
- TREAT (Trial to Reduce Cardiovascular Events with Aranesp<sup>®</sup> Therapy) - *Enrolling*
  - Determine the impact of anemia therapy (yes/no) on mortality and cardiovascular morbidity in patients with CKD and type 2 diabetes

# Pooled Efficacy Results: Hemoglobin Response in Studies 170 and 171

Hemoglobin Concentrations (Mean  $\pm$  SE) Over Time\*



\*For subjects in study 170 who stayed on study longer than 27 weeks, the Hb concentration remained stable throughout the study

# General Design Differences

		CREATE	CHOIR	TREAT
Design		Randomized, <b>open-label</b>	Randomized, <b>open-label</b>	Randomized, double-blind, controlled
Sponsor / Agent		Roche / Neorecormon <sup>®</sup> (epoetin beta)	J&J / Procrit <sup>®</sup> (epoetin alfa)	Amgen / Aranesp <sup>®</sup> (darbepoetin alfa)
Dosing		2,000 QW	Initiate 10,000 QW When stable go to Q2W	0.75mcg/kg/Q2W Double dose when stable and go to QM
Dosing Frequency		De novo to QW	De novo to QW to Q2W	De novo to Q2W to QM
Hb Target(s), g/L	Arm 1	130-150	135	130
	Arm 2	105-115*	113	Rescue for Hb <90
Regions/Countries		EU, Mexico, China, Taiwan, Thailand	US	US, EU, CAN, AU, LA, RUS
# Centers		Unknown	130	~700
Censor at RRT		Unknown	Unknown	No

\* Treatment starts when Hb <10.5 g/dL

# Key Inclusion Criteria and Baseline Characteristics

	CREATE (N = 472) <sup>a, c, d</sup>	CHOIR (N = 963 - 1141) <sup>a, b</sup>	TREAT (N = 348 - 441) <sup>a</sup>
<b>Inclusion</b>			
Hb (g/L)	110 – 125	<110	≤110
eGFR/CrCl*	15-35	15-50	20-60
Diabetes	No (~20%)	No (48.5%)	Yes (100%)
<b>Baseline Characteristics</b>			
Hb (g/L)	116	101	-
eGFR/CrCl*	24.5	27.0	-

<sup>a</sup> Study population sample w/ available data

<sup>b</sup> Abstracts, 2004 ASN, St. Louis, MO

<sup>c</sup> MacDougall et al. NDT 2003;18[suppl 2]:ii13-ii16

<sup>d</sup> www.theKidney.org

\* TREAT, CHOIR: mL/min/1.73m<sup>2</sup>

\* CREATE: mL/min

# European Best Practice Guideline 4: Comments Regarding Initiation of rHuEPO

- "There is widespread agreement that symptoms usually begin when the Hb is <11 g/dL."
- "There is abundant evidence, including data from randomized studies, that quality of life, CV morbidity, exercise capacity, endocrine, immune and sexual function, and hospitalization rates, are all improved in pre-dialysis patients if the Hb is increased from lower levels to >10-11 g/dL."
- "Prospective data suggesting mortality can be diminished by increasing the Hb concentration are, as yet, lacking."

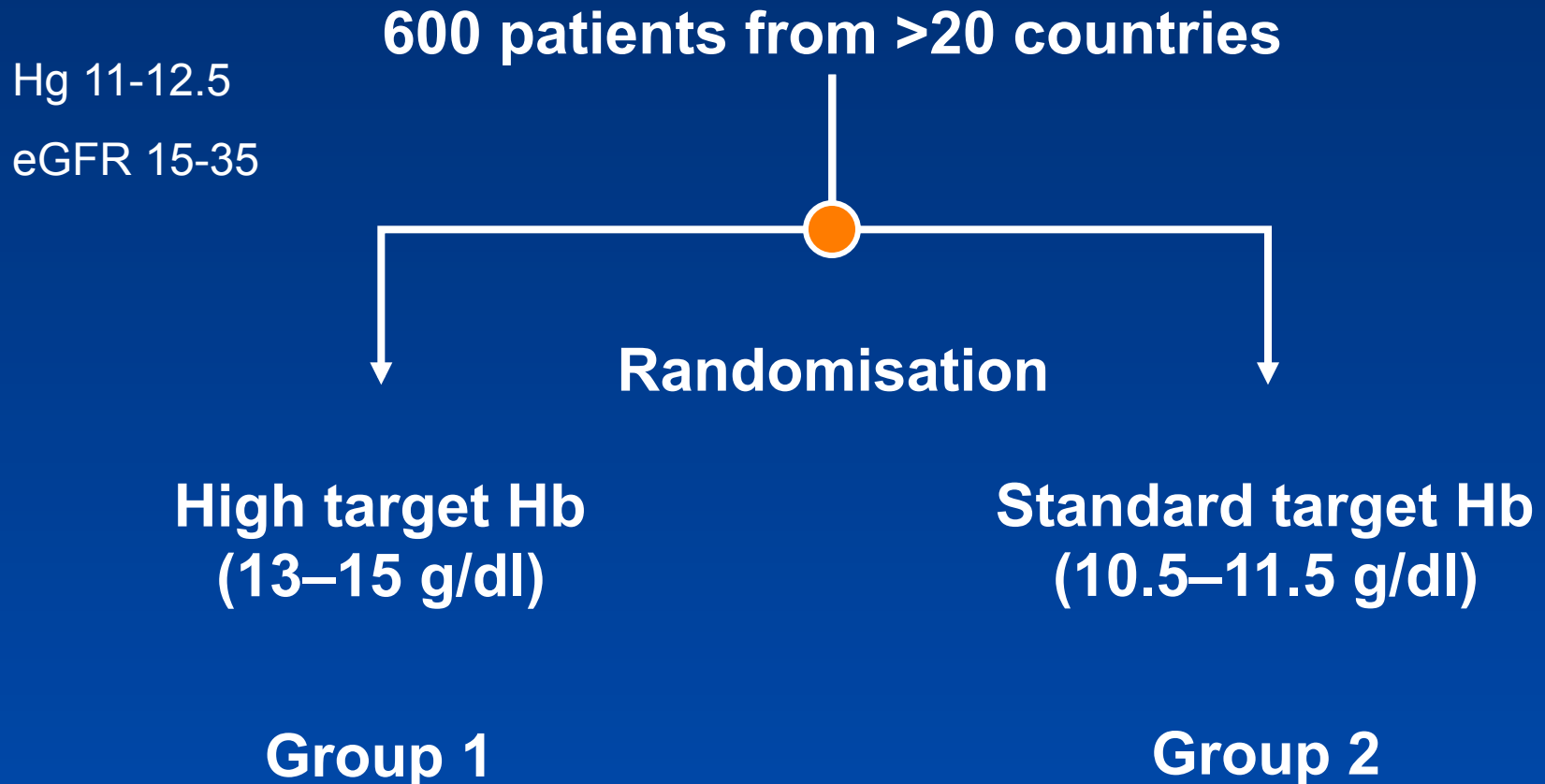


# Study Endpoints

	CREATE	CHOIR	TREAT
Primary Endpoint	<p>1. Change in LVMI: baseline to 1 year</p> <p>2. Time to:</p> <ul style="list-style-type: none"> <li>- Sudden death</li> <li>- MI (fatal, non-fatal)</li> <li>- Stroke (fatal, non-fatal)</li> <li>- Heart failure (acute)</li> <li>- Angina (hosp &gt;24 hrs)</li> <li>- Arrhythmias (hosp &gt;24 hrs)</li> <li>- PVD (necrosis, amputation)</li> </ul>	<p>Time to all-cause mortality or CV morbidity:</p> <ul style="list-style-type: none"> <li>- MI</li> <li>- Stroke</li> <li>- Heart failure Hospitalization               <ul style="list-style-type: none"> <li>-Unplanned hospitalization for heart failure [No coincident initiation of RRT] with administration of IV inotrope, diuretic, vasodilator</li> </ul> </li> </ul>	<p>Time to all-cause mortality or CV morbidity:</p> <ul style="list-style-type: none"> <li>- MI</li> <li>- Stroke</li> <li>- Heart failure</li> <li>- Hosp for acute myocardial ischemia</li> </ul>
Secondary Endpoints	<ul style="list-style-type: none"> <li>- All-cause mortality</li> <li>- CV mortality</li> <li>- CHF (change in NYHA class)</li> <li>- CV interventions</li> <li>- Hospitalization</li> <li>- LV growth and systolic fxn</li> <li>- Progression of CKD</li> <li>- Nutritional status</li> <li>- QOL</li> </ul>	<ul style="list-style-type: none"> <li>-All Cause Mortality</li> <li>-CHF Hospitalization</li> <li>-MI</li> <li>-CVA</li> <li>-RRT</li> <li>-CV Hospitalization</li> <li>-Incident CHF</li> <li>-All cause Hospitalization</li> <li>-Change from baseline for Hct/Hb, eGFR, Fe stores</li> <li>-HRQOL</li> </ul>	<p>Time to each of:</p> <ul style="list-style-type: none"> <li>- ESRD or all-cause mortality</li> <li>- ESRD</li> <li>- CV mortality</li> <li>- Components of 1<sup>o</sup> endpoint</li> </ul> <p>Change in pt-reported fatigue: baseline to wk 25</p> <p>Change in eGFR</p>

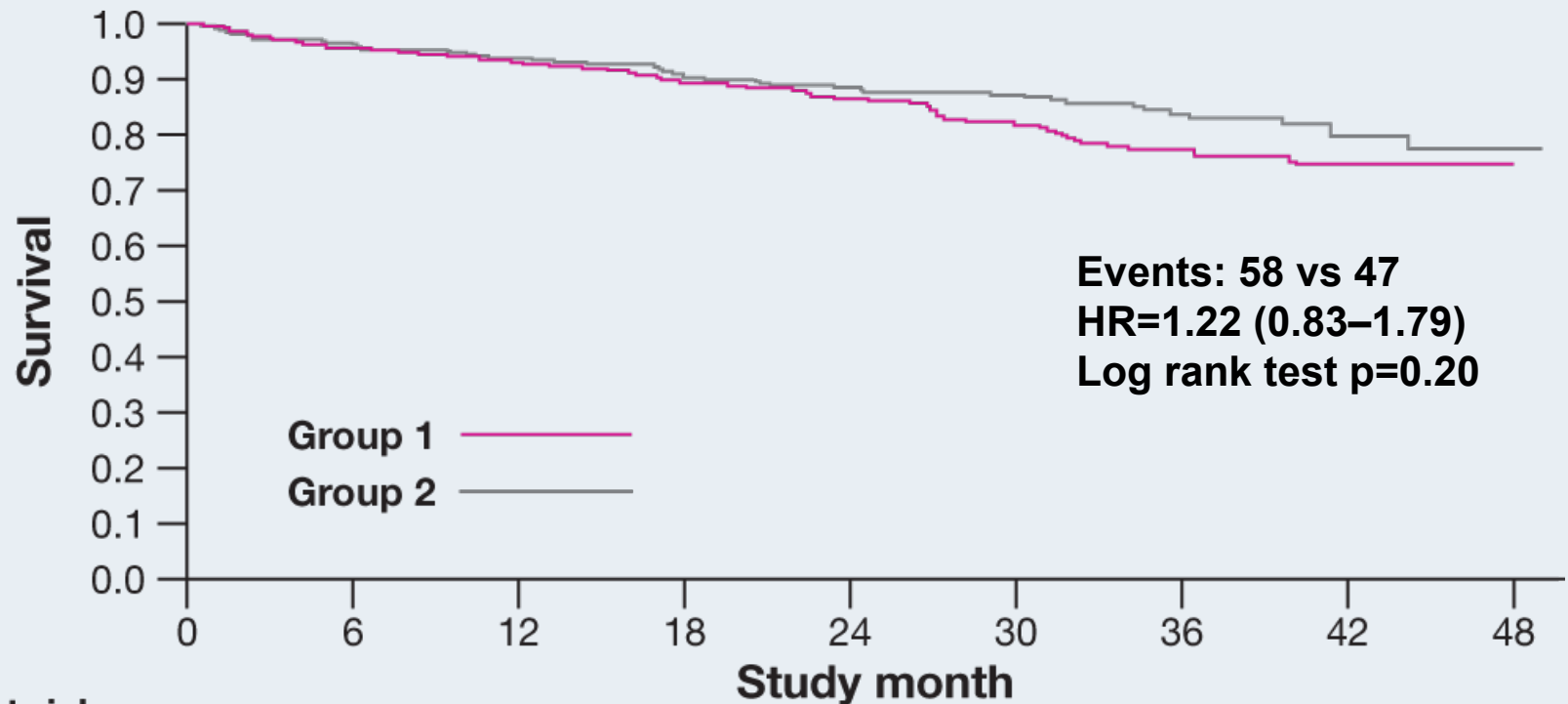
# CREATE: Study design

**Primary study objectives:** To investigate the effects of early epoetin beta treatment to normal target haemoglobin (Hb) values compared to partial anaemia correction on cardiovascular (CV) events



# Primary endpoint

Time to first CV events (105 events)



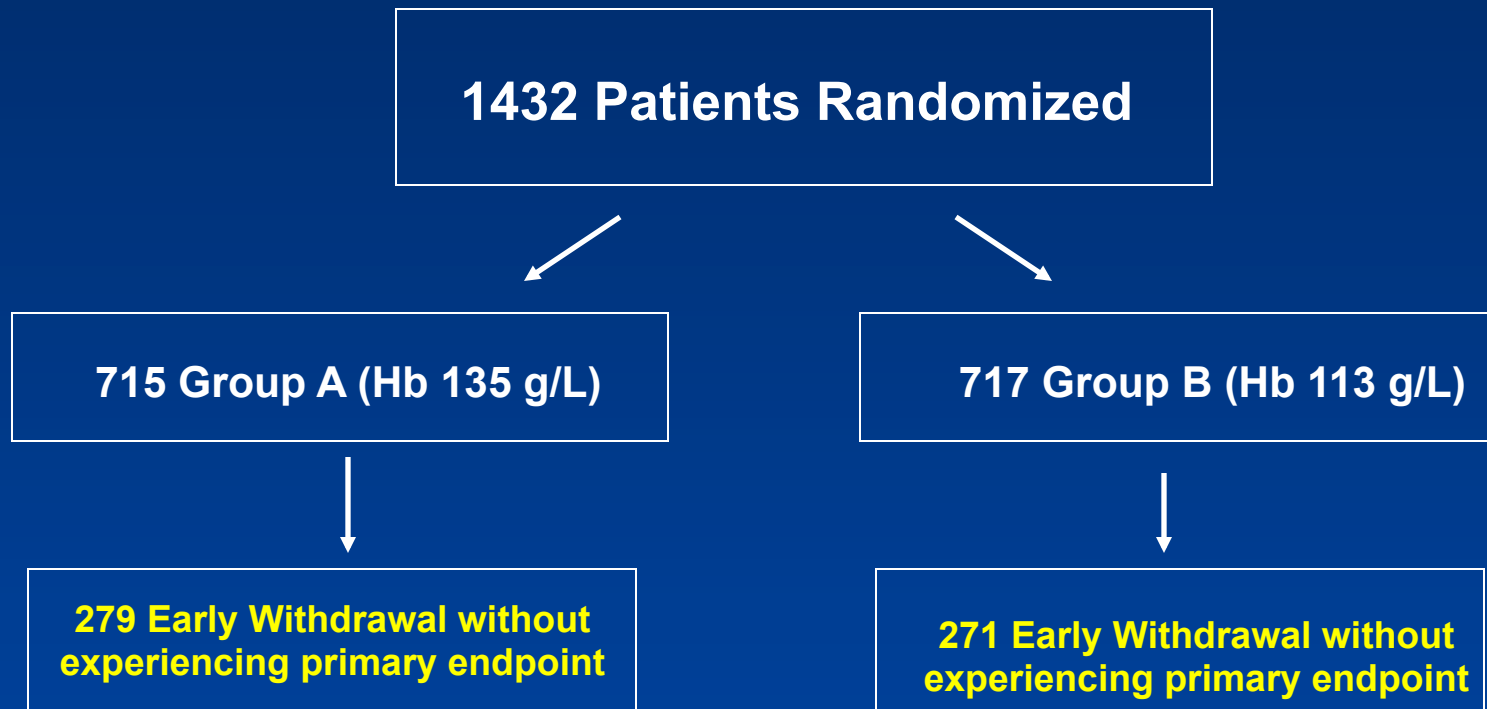
n at risk

Group 1	301	279	268	249	207	158	97	56	2
Group 2	302	286	272	257	223	177	121	61	2

# Cardiovascular Risk Reduction by Early Anemia Treatment with Epoetin beta (CREATE)

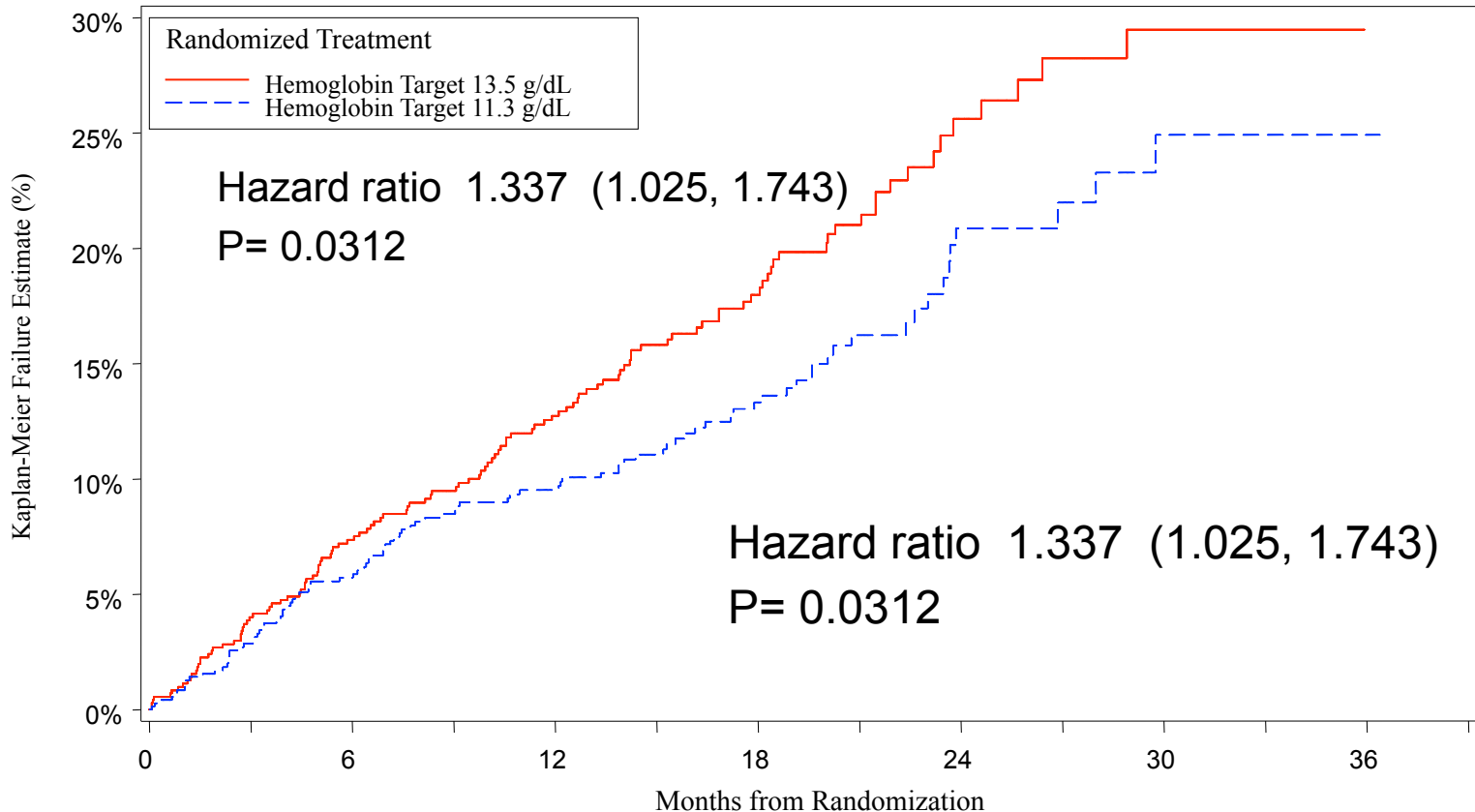
		CREATE <sup>a</sup>
Reason for Stopping		Last subject followed 2 years
Duration Enrollment (months)		Unknown
Total Study Duration (months)		48
Median Follow-up (years)		2.5
Hb Achieved (g/L)	Arm 1	135
	Arm 2	Unknown ('stable')
Composite Primary Event Rate (% per year)		5.8
<b># Composite Primary Events Observed</b>	<b>Arm 1</b>	<b>58</b>
	<b>Arm 2</b>	<b>47</b>
<b>HR (95% CI) Composite Primary Endpoint</b>		<b>1.22 (0.83, 1.79) - estimated</b>
<b># ESRD Events Observed</b>	<b>Arm 1</b>	<b>127</b>
	<b>Arm 2</b>	<b>111</b>
<b>HR (p value) Time to ESRD</b>		<b>1.32 (p = 0.034)</b>
Secondary Endpoints		Improved QOL (p = 0.003) in higher Hb arm, but clinical significance uncertain; no difference in other 2ndarys

# Correction of Hemoglobin and Outcomes in Renal Insufficiency (CHOIR)



**DSMB Stopped Study May 2005 for Futility (not a stopping rule)  
Results Released April 2006 at NKF meeting**

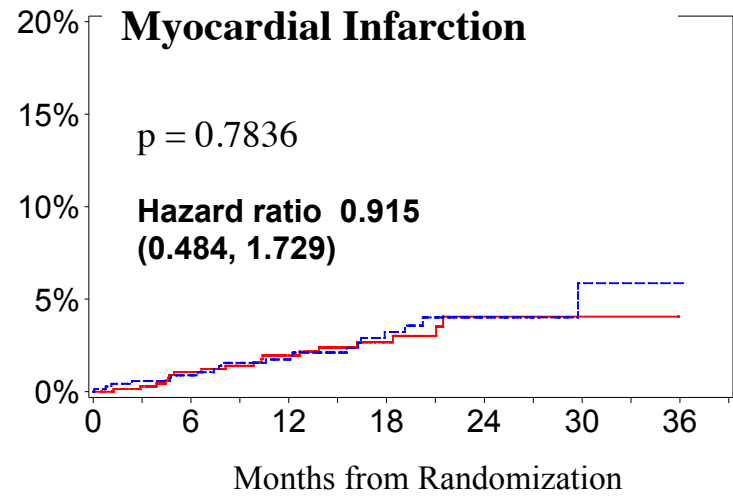
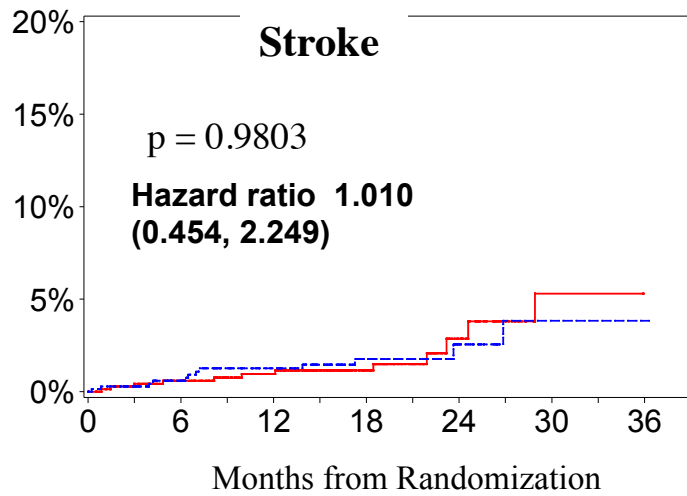
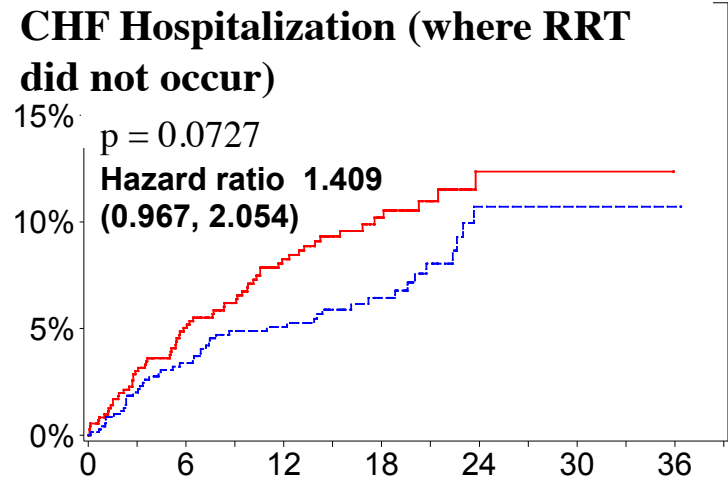
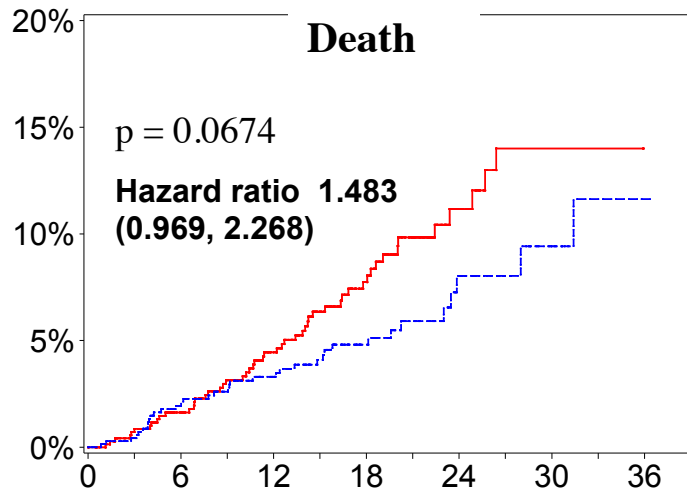
# Kaplan-Meier Plot of the Time to the Primary Composite Event between Randomization and Termination: ITT Population



At risk	715	587	457	270	101	55	0
	717	594	499	293	107	44	3

Primary Composite Endpoint:  
Death, MI, CHF hosp (no RRT) and/or stroke

# Components of the Primary Endpoint



Randomized Treatment    — Hemoglobin Target 13.5 g/dL    - - - Hemoglobin Target 11.3 g/dL

# CHOIR Outcomes: Mortality and CV Morbidity

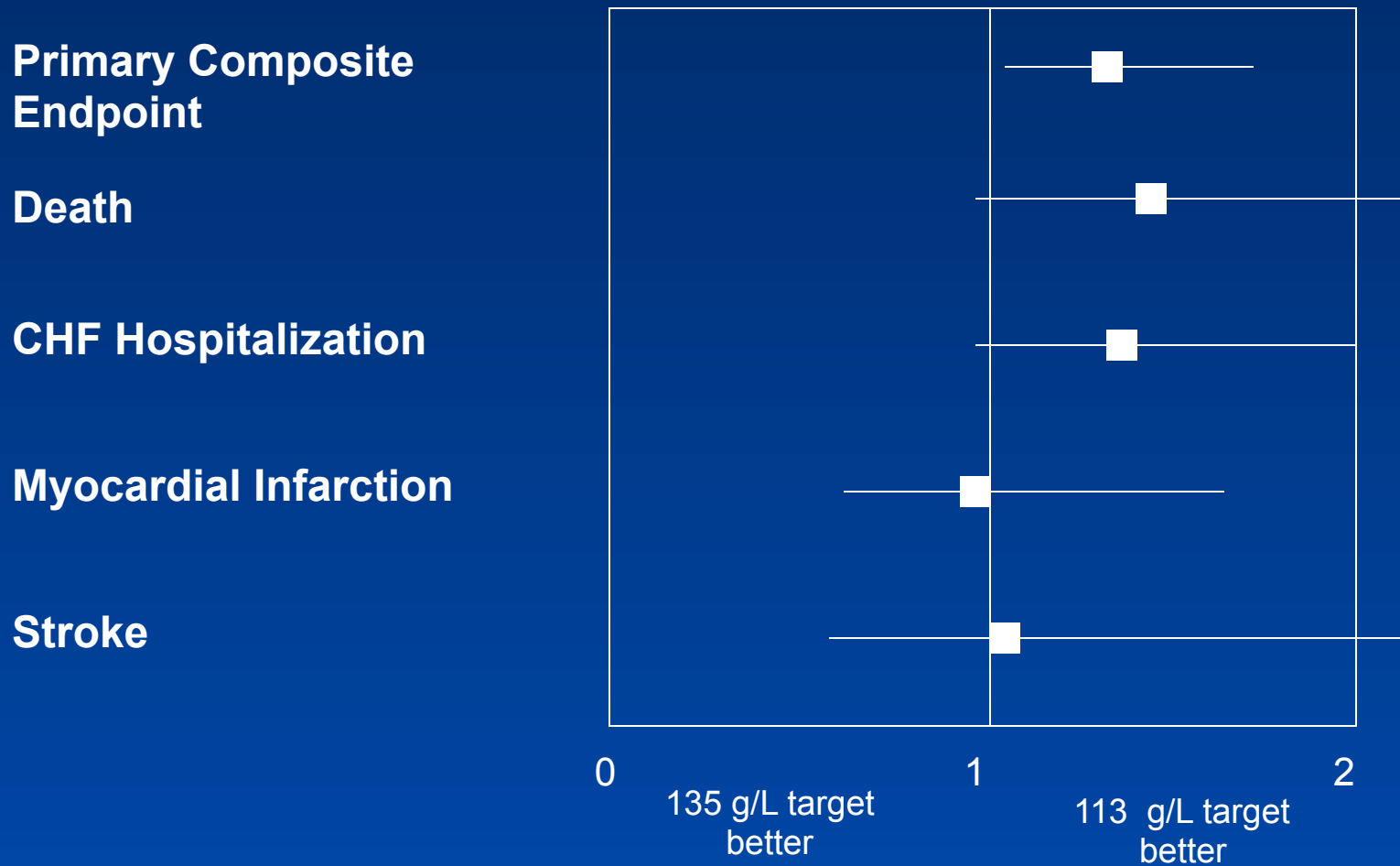
Endpoint	# Events		HR (95% CI)	p-value
	Hb 135	Hb 113		
<b>Composite Primary</b>	<b>125</b>	<b>97</b>	<b>1.337 (1.025, 1.743)*</b>	<b>0.0312</b>
Secondary				
All-cause death	52	36	1.483 (0.969, 2.268)	0.0674
CV death	26	22		?
MI	18	20	0.915 (0.484, 1.720)	0.78
Stroke	12	12	1.010 (0.454, 2.249)	0.9803
Heart Failure	64	47	1.409 (0.967, 2.054)	0.0727
Time to ESRD	?	?	1.186 (0.941, 1.495)	?
Cardiovascular hospitalization	?	?	1.225 (1.0131, 1.448)	
Composite primary event rate	17.5%	13.5%		
KM – 3yr event rate	29.5%	24.9%		

\* Time for KM curves to separate: ~ 6-8 months

Singh A et al. *NEJM* 2006

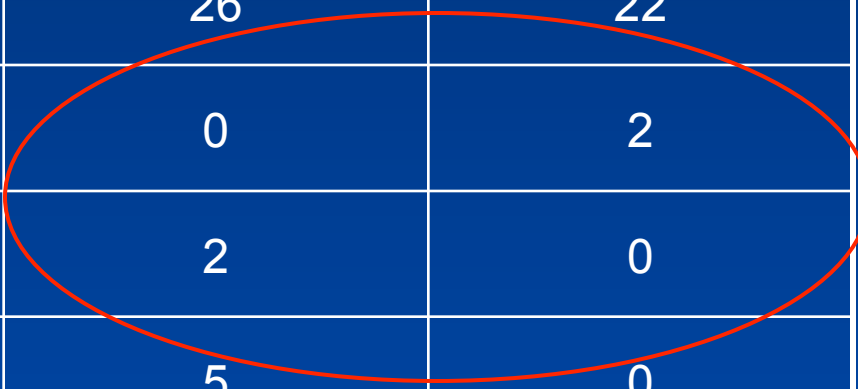


# CHOIR Results

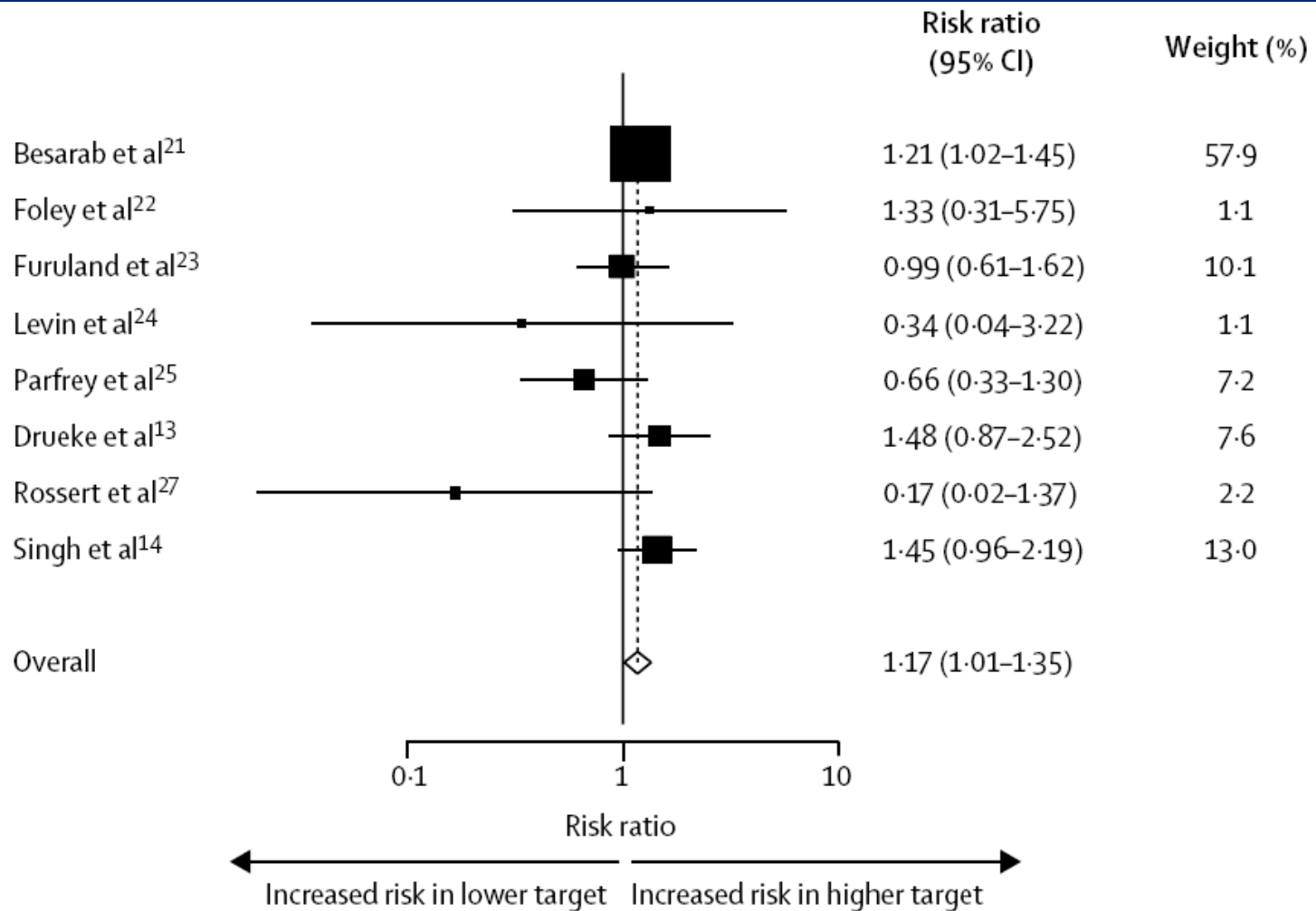


# Cause of Death in CHOIR

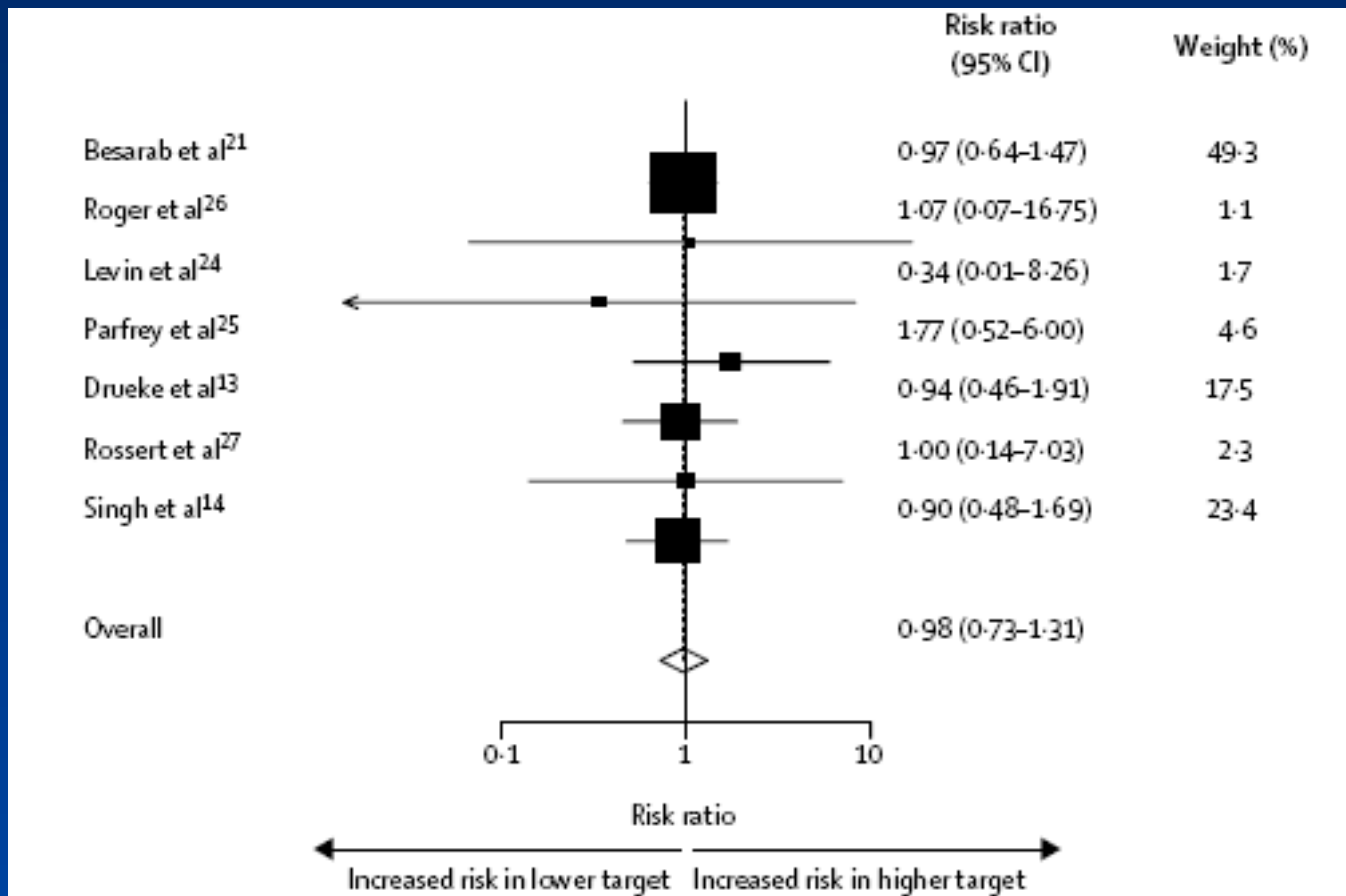
	Hb 135 g/L	Hb 113 g/L
<b>Deaths</b>	52	36
Causes		
Cardiovascular	26	22
Thrombotic	0	2
ESRD	2	0
Sepsis	5	0
Other	19	12



# Metaanalysis: Mortality



# Metaanalysis: MI



# TREAT: Trial to Reduce Cardiovascular Events with Aranesp<sup>®</sup> (Darbepoetin alfa) Therapy

## Hypothesis:

Treatment of anemia with darbepoetin alfa reduces the risk of mortality and nonfatal cardiovascular events in patients with CKD and type 2 diabetes

- Study Population**
- Hemoglobin  $\leq 11$  g/dL
  - GFR 20-60 mL/min
  - Type 2 DM

N = 2000

Darbepoetin alfa Group (Target Hemoglobin 13 g/dL)

**Design** – randomized (1:1), double blind, controlled

N = 2000

Control Group

Event-driven: 1200 patients



# CHOIR vs. TREAT: Subject Exposure

Study	N	Median (Pt-months)	Total (Pt-years)	Events
<b>TREAT*</b>	<b>3225</b>	<b>13</b>	<b>3346.6</b>	<b>362</b>
<b>CHOIR</b>	1432	16	~1900**	222

**TREAT almost 2x greater overall exposure to study drug than CHOIR**

\* Based on 01-Mar-2007 Oracle Clinical Database

\*\* Crude estimate: 1432 patients x (16 months / 12 months/year) = 1900 patient-years

## Haemoglobin targets: we were wrong, time to move on

page 381

Anaemia occurs in nearly all patients with moderate-to-severe chronic kidney disease. The most widely used treatment options are erythropoiesis-stimulating agents (eg, Epogen, Procrit, and Aranesp), with an

economic burden of US\$10 billion in sales worldwide in 2006, and \$2 billion Medicare expenditure for dialysis patients in 2006 in the USA alone.<sup>1</sup> Administration of erythropoietin rapidly increases haemoglobin

www.thelancet.com Vol 369 February 3, 2007

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Why do some still recommend the continuation of existing trials of haemoglobin targets?<sup>9-12</sup> What justification could there be for ethics committees, and for the relevant steering committees and data and safety monitoring committees, to continue randomisation or treatment in haemoglobin target trials? One such trial is the Trial to Reduce cardiovascular Events with Aranesp Therapy (TREAT), due to enrol

frey, M.D., Hans-Henrik Parving, M.D., Guiseppe Remuzzi, M.D., Ajay K. Singh, M.D., Scott D. Solomon, M.D., and Robert Toto, M.D.

# Unanswered Question in Anemia Rx

- What Targets?
- Which Patients?



## FDA Issues New Warnings on Anemia Drugs

FDA Issues New Warnings for Doctors to More Carefully Prescribe Widely Used Anemia Drugs



Red blood cells are shown in this graphic. Federal health officials issued stern new warnings Friday for doctors to more carefully prescribe widely used anemia drugs that can increase the risk of death and other serious problems in patients with cancer and kidney disease. At issue are drugs sold under the brand names Procrit, Epogen and Aranesp. These drugs are genetically engineered versions of a natural protein, erythropoietin, that increases the number of red blood cells.

**WASHINGTON Mar 9, 2007 (AP)—**

Federal health officials issued stern new warnings Friday for doctors to more carefully prescribe widely used anemia drugs that can increase the risk of death and other serious problems in patients with cancer and kidney disease.

At issue are drugs sold under the brand names Procrit, Epogen and Aranesp. These drugs are genetically engineered versions of a natural protein, erythropoietin, that increases the number of red blood cells.

# Uncertainty in the Treatment of Anemia in Chronic Kidney Disease

Marc A. Pfeffer, MD, PhD, FACC,\* Scott D. Solomon, MD,\*

Peter Ivanovich, MD,<sup>†</sup> Ajay K. Singh, MD,\* John J. V. McMurray, MD<sup>‡</sup>

\*Department of Medicine, Brigham and Women's Hospital, Boston, MA; <sup>†</sup>Department of Medicine, Jesse Brown Veterans Administration and Northwestern University, Chicago, IL; <sup>‡</sup>Department of Cardiology, Western Infirmary, Glasgow, UK

*Anemia is a readily identifiable surrogate associated with ...high rates of adverse clinical outcomes. Because ESP can raise hematocrit, it is imperative to definitively determine the risk: benefit ratios of these available therapies.... To accept a benefit based on the existing data may be exposing patients to an expensive therapy that is either ineffective or may even contribute to adverse outcome. On the other hand, to accept harm based on existing data may deny patients the ability to improve their prognosis as well as quality of life.*

# RED-HF Trial: Hypothesis and Study Design

## Hypothesis:

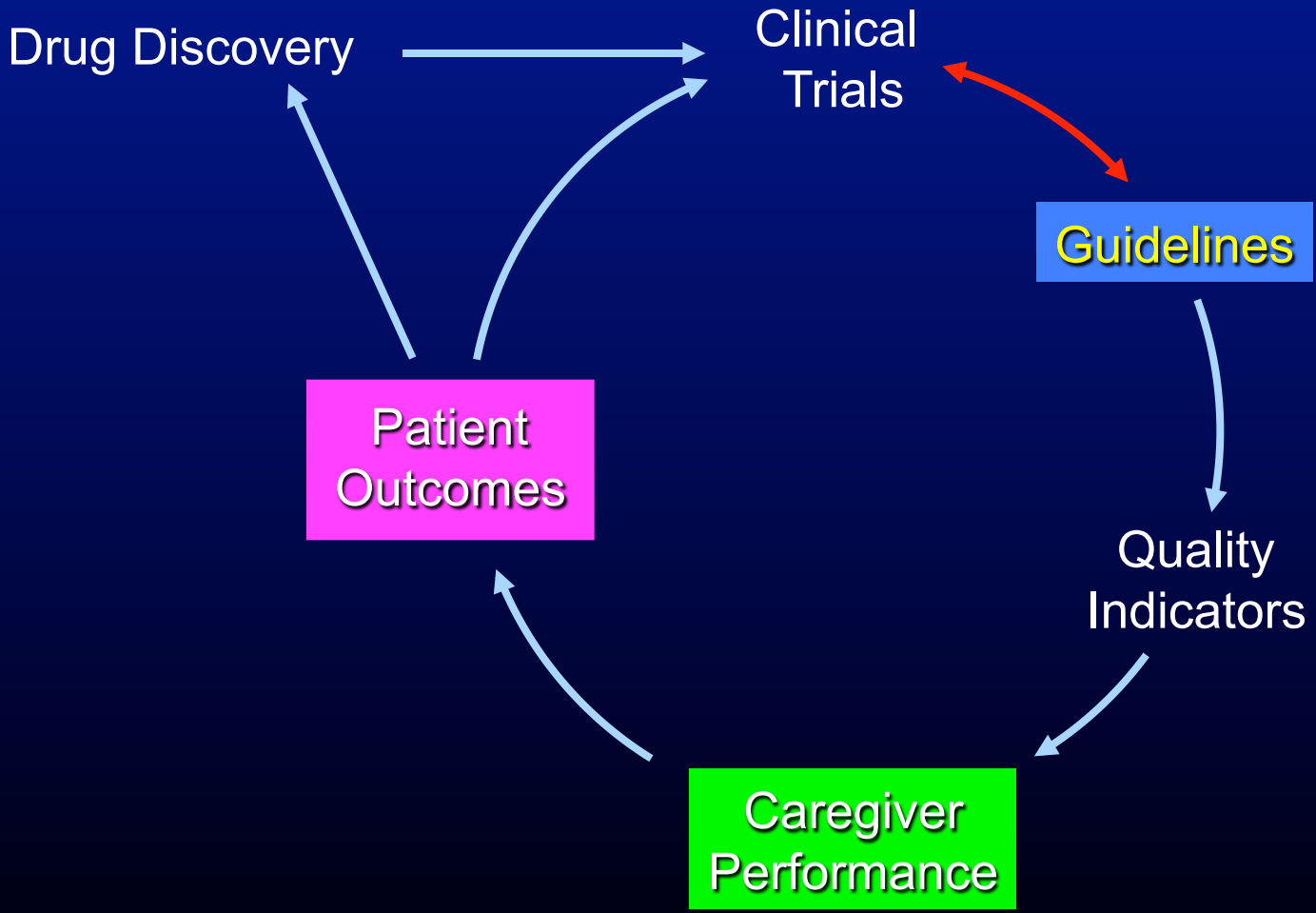
Treatment of anemia with darbepoetin alfa in subjects with symptomatic left ventricular systolic dysfunction and anemia decreases the risk of all-cause mortality or hospital admission for worsening HF

## Study Population

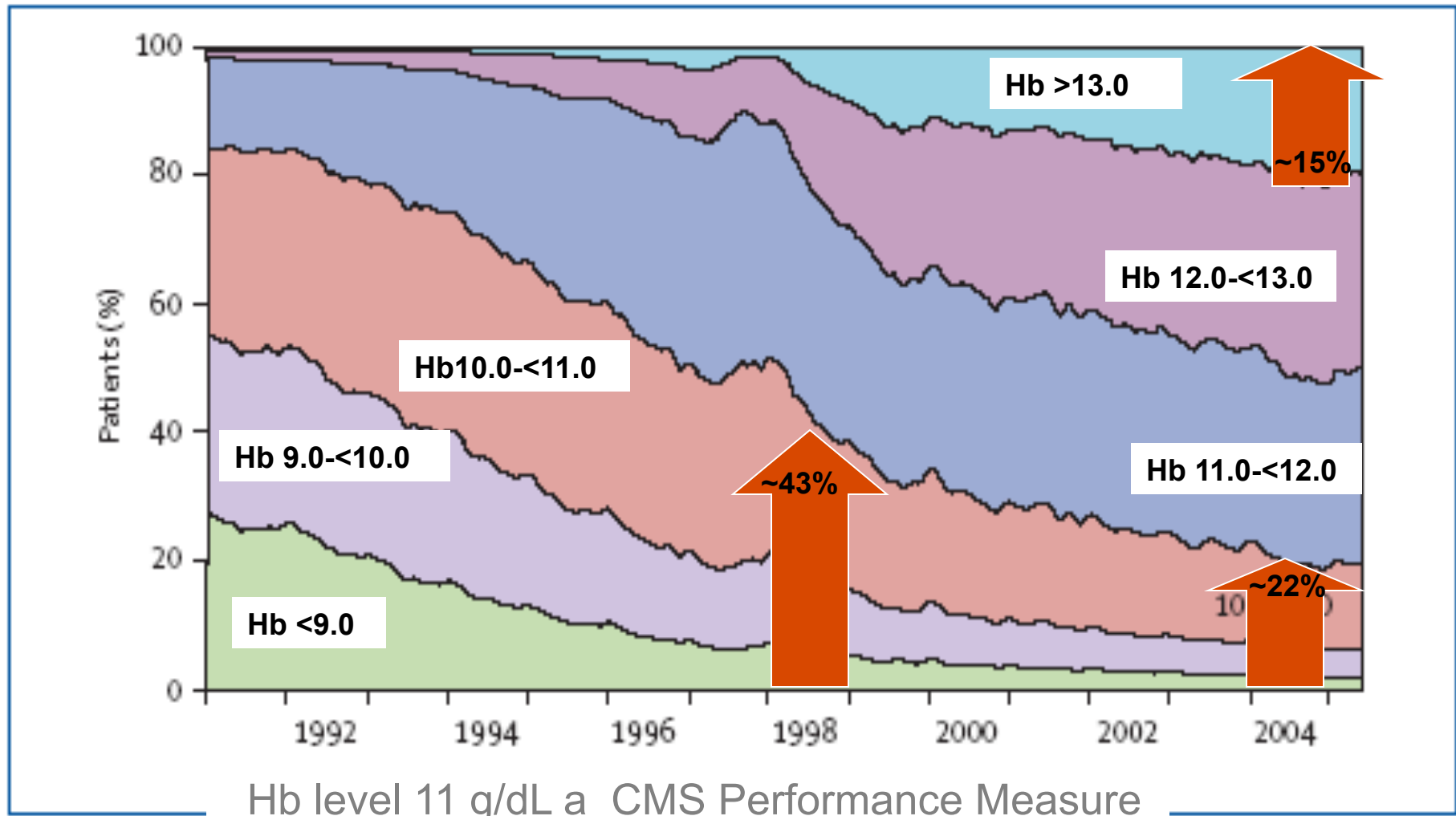
- Hb 9 to 12 g/dL
- LVEF  $\leq$  35%
- NYHA Class II to IV



# Randomized Controlled Trials Play A Critical Role in Advancing Patient Care Through Guidelines



# Monthly Hemoglobin (Hb) of US Dialysis Patients



# Randomized Controlled Trials Have Driven the Evolution of Guidelines in Cardiology

## ACC/AHA Guidelines for Management of Acute MI: Beta Blockade

- 1990 – Beta blockers are first recommend for targeted patients (reflex tachycardia, systolic hypertension, persistent angina, no signs of heart failure)<sup>1</sup>
- 1996 – Guidelines include 'non ST MI' patients in the highest level recommendation<sup>2</sup>
- 1999 – Patients with 'moderate LV failure' are moved from the class III (potentially harmful) to the class IIb (potentially useful) level recommendation<sup>3</sup>
- 2001 – Beta blockers are a highest-level recommendation for all post-MI patients<sup>4</sup>

<sup>1</sup> Gunnar RM, et al. *Circulation* 1990;82(2):664-707

<sup>2</sup> Ryan TJ, et al. *Circulation* 1996;94(9):2341-2350

<sup>3</sup> Ryan TJ, et al. *Circulation* 1999;100(9):1016-30

<sup>4</sup> Smith CC, et al. *Circulation* 2001;104(13):1577-9

ACC = American College of Cardiology

AHA = American Heart Association

MI = myocardial infarction

LV = left ventricular

# Negative Results From Randomized Controlled Trials Evolve The Practice of Medicine

- Secondary prevention of cardiovascular disease with estrogens<sup>1</sup>
- Prophylaxis against ventricular dysrhythmia in the peri-myocardial infarction setting with lidocaine<sup>2</sup>
- Prophylaxis against pre-eclampsia with calcium supplementation<sup>3</sup>

<sup>1</sup> Hulley S, et al. *JAMA* 1998;280(7):605-613.

<sup>2</sup> Sadowski ZP, et al. *American Heart Journal* 1999;137(5):792-798.

<sup>3</sup> Levine RJ, et al. *NEJM* 1997;337(2):69-76.

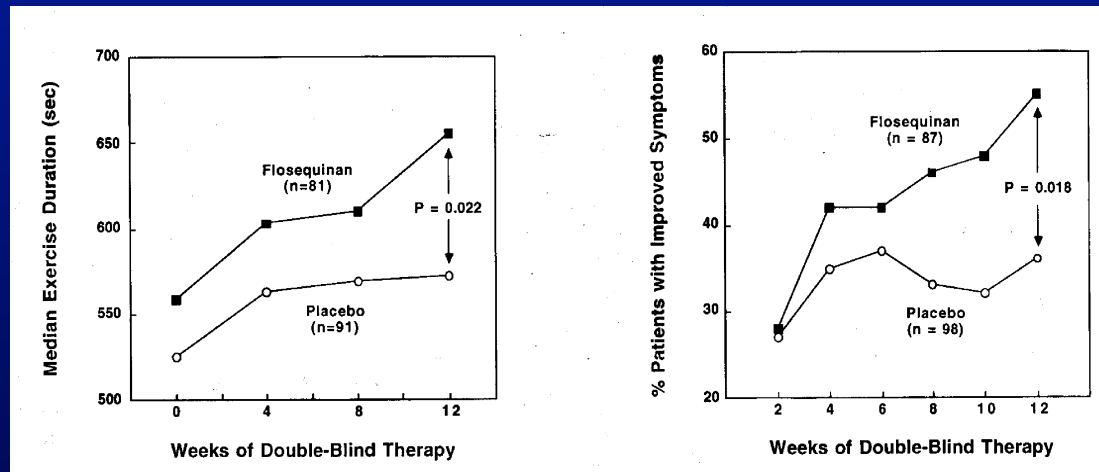
# Patients who are deficient in X do not necessarily benefit from repleting X

- Hormone Replacement Therapy
  - Reduced Estrogen associated with increased risk of
    - Heart Disease
    - Bone Loss
  - Observational Suggested Benefits of HRT
  - Randomized Trials suggested harm with HRT
- Thyroid Replacement
  - Just enough – good
  - Too much - bad



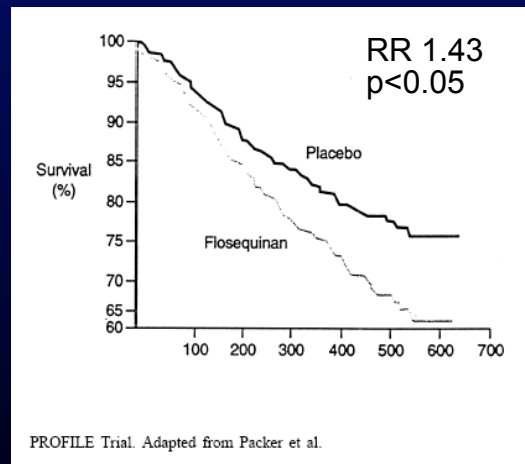
# Beneficial Impact on HRQOL Does Not Always Extrapolate to Other Health Outcomes

Improvement in: Exercise duration<sup>1</sup>



Heart failure symptoms<sup>1</sup>

Decreased survival<sup>2</sup>



HRQOL = health-related quality of life

<sup>1</sup> Packer M, et al. JACC 1993; 22(1):65-72.

<sup>2</sup> Packer M, et al. (abstract) Circulation 1993;88(Suppl):I-301.

<sup>2</sup> Van Veldhuisen DJ, et al. International Journal of Cardiology 2001;80(1):19-27.

# Anemia Management Guidelines State that Additional Data Are Needed

- National Kidney Foundation<sup>1</sup>:
  - "Additional studies are needed to clarify the relationship between Hgb/Hct and outcomes in CKD patients, particularly those with heart disease."
- European Best Practice Guidelines:
  - "Prospective data suggesting mortality can be diminished by increasing the Hb concentration are, as yet, lacking."<sup>2</sup>
  - "...no prospective data have yet shown an improvement in survival in any single group of patients treated with erythropoiesis-stimulating agents."<sup>3</sup>

<sup>1</sup> *Am J Kid Dis* 2001;1(Suppl 1):S182-S238.

<sup>2</sup> *Nephrol Dial Transpl* 1999;14(Suppl 5):11-13.

<sup>3</sup> *Nephrol Dial Transplant* 2004;19(Suppl 2):ii6-ii15.

# Conclusions

- Anemia is a risk factor for adverse outcome in patients with CKD and CVD
- Correction of anemia with ESPs may offer benefits to some patients in some clinical circumstances, although degree of correction is hotly debated
- Nevertheless, the potential for harm has been demonstrated with anemia correction in the CKD population
- We should be cautious until we have results from ongoing major clinical trials in anemia correction to reduce CV risk



The definition of equipoise

# Trials of Anemia Targets in CKD

- **CHOIR study**
  - 1432 subjects recruited, diabetic and nondiabetic CKD patients
  - Epoetin-alfa
  - 130 centers, US only
  - Hb 13.5 g/dL vs 11.3 g/dL
  - Study stopped by Data and Safety and Monitoring Board
- **CREATE study**
  - Approximately 603 subjects
  - Epoetin-beta
  - 100 centers, 22 countries
  - Study reported data at European Renal Association/European Dialysis and Transplant Association conference
- **TREAT study**
  - 4000 subjects with CKD and type 2 diabetes
  - Darbepoietin
  - 700 centers, 26 countries
  - Placebo-controlled with rescue arm: Hb 9.0 g/dL vs 13.0 g/dL
  - Enrollment under way

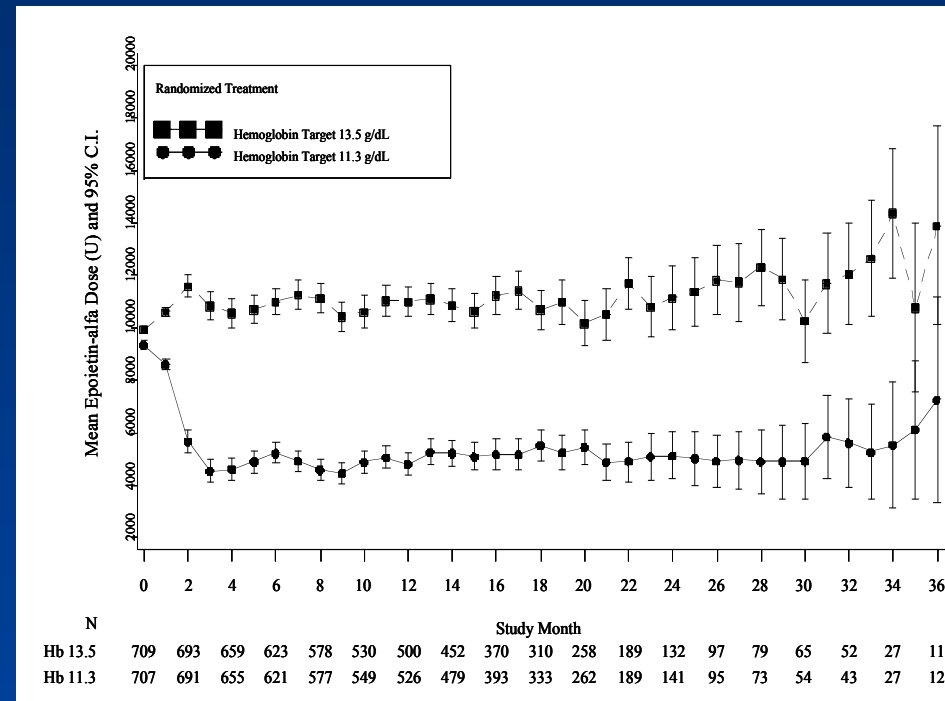
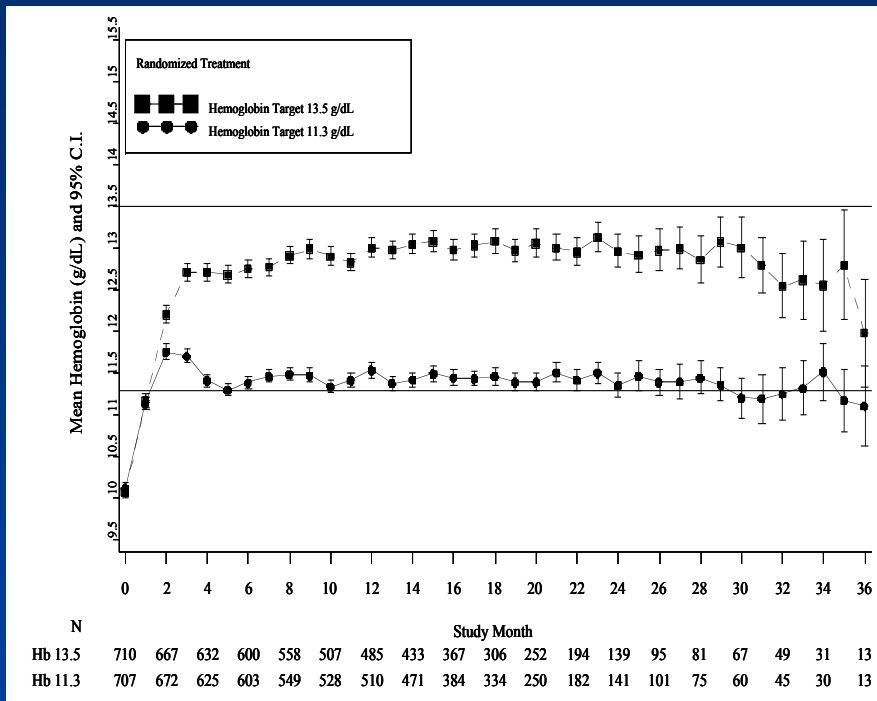
# CHOIR Study Design

- Open label, Randomized Controlled Trial
- 130 sites randomized 1432 subjects in US
- 3 years duration
  - Median f/u 16 months
- Study population
  - Hb < 11 g/dl
  - Age  $\geq$  18
  - Steady-state GFR  $\geq$  15 ml/min and  $\leq$  50 ml/min
- Primary Endpoint: Composite event
  - Death
  - Myocardial infarction
  - Stroke
  - CHF hospitalization (excluding RRT)

# Baseline Characteristics

	Group A Hb 13.5 g/dL	Group B Hb 11.3 g/dL
Age	66	66.3
Gender (male) %	43.8	45.9
Race (Black) %	28.6	29.3
Ethnicity (Hispanic) %	12.5	13.5
Smoking %	47.5	44.6
BMI	30.4	30.4
Hematocrit (%)	31.4	31.4
Transferrin Saturation (%)	25.2	24.6
Creatinine Clearance (mL/min)	36.	37.1
Etiology of CKD		
Diabetes %	46.8	50.8
Hypertension %	29.9	27.5

# Hemoglobin and Epoetin alfa over Time





# CHOIR: QOL

- 3 instruments
  - LASA
  - KDQ
  - SF-36
- Limitations
  - Open label
  - Subjective

# CHOIR QOL: LASA

## Quality of Life

### LINEAR ANALOG SCALE ASSESSMENT (LASA)

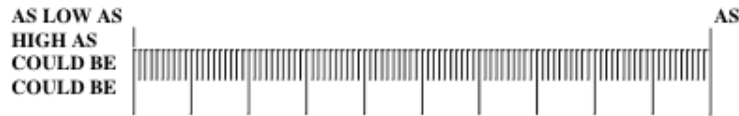
Three questions about how you felt during this past week are listed below. Please place a **VERTICAL** mark on the line to indicate your answer. The position of the mark, somewhere between the two extremes, should reflect how you feel.

Date of Assessment

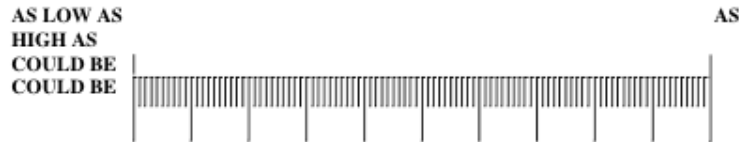
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MONTH DAY YEAR

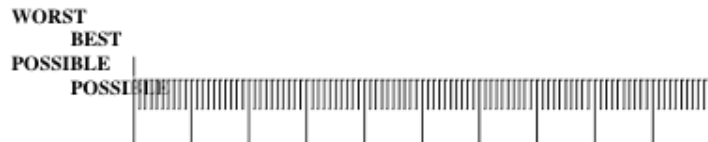
HOW WOULD YOU RATE YOUR ENERGY LEVEL DURING THE PAST WEEK?



HOW WOULD YOU RATE YOUR ABILITY TO DO YOUR DAILY ACTIVITIES OVER THE PAST WEEK?



HOW WOULD YOU RATE YOUR OVERALL QUALITY OF LIFE DURING THE PAST WEEK?



## Longitudinal Analysis

### High vs. Low

	Difference	P value
Energy Level	0.0798	0.350
Ability in DL	0.1356	0.233
Overall QOL	-0.001	0.991

# CHOIR KDQ: Fatigue

Week	High Hb 13.5 g/ dL	Low Hb 12.3 g/dL	Difference betw' n gp	P value	N at risk (High,Lo w)
0					663,656
24	0.9	0.8	0.1		456,447
48	0.9	0.8	0.1		364,389
72	0.7	0.7	0.0		54,76
96	0.7	0.5	0.2		62,79
120	0.6	0.5	0.1		9,11
144	0.7	0.2	-0.9		3,7
<b>Longitudinal Analysis</b>					
Final	0.6	0.6	0.0	0.664	536,536
	<b>High Gp</b>	<b>Low Gp</b>	<b>Difference</b>	<b>P value</b>	
Estimate	0.0275	0.0248	0.0027	0.527	
SD	0.0031	0.003			

# CHOIR QOL: Vitality

Week	High Hb !3.5 g/dL	Low Hb 12.3 g/dL	Difference betw' n gp	P value	N at risk (High,Lo w)
0					684,676
24	14.9	12.1	2.8		493,481
48	13.9	10.9	3.0		395,416
72	7.8	10.6	-2.8		55,78
96	11.4	8.5	2.9		71,83
120	4.1	5.0	0.9		9,11
144	-13.3	13.1	26.4		3,7
<b>Longitudinal Analysis</b>					
Final	10.0	8.2		0.577	579,577
	<b>High Gp</b>	<b>Low Gp</b>	<b>Difference</b>	<b>P value</b>	
Estimate	0.3778	0.3527	0.0251	0.701	
SD	0.0468	0.0455			

# TREAT: Trial to Reduce Cardiovascular Events with Aranesp<sup>®</sup> (Darbepoetin alfa) Therapy

## Hypothesis:

Treatment of anemia with Aranesp<sup>®</sup> reduces the risk of mortality and nonfatal cardiovascular events in patients with CKD and type 2 diabetes

### Study Population

- Hemoglobin  $\leq 11$  g/dL
- GFR 20-60 mL/min
- Type 2 DM

N = 2000

Aranesp<sup>®</sup> Group (Target Hemoglobin 13 g/dL)

**Design** – randomized (1:1), double blind, controlled

N = 2000

Control Group

Event-driven: 1200 patients



# RED-HF Trial: Hypothesis and Study Design

## Hypothesis:

Treatment of anemia with darbepoetin alfa in subjects with symptomatic left ventricular systolic dysfunction and anemia decreases the risk of all-cause mortality or hospital admission for worsening HF

## Study Population

- Hb 9 to 12 g/dL
- LVEF  $\leq$  35%
- NYHA Class II to IV



# FDA Black Box Warning

## March 9 2007

### WARNINGS: Erythropoiesis-Stimulating Agents

Use the lowest dose of ESA that will gradually increase the hemoglobin concentration to the lowest level sufficient to avoid the need for red blood cell transfusion (see DOSAGE AND ADMINISTRATION).

ESAs increased the risk for death and for serious cardiovascular events when administered to target a hemoglobin of greater than 12 g/dL (see WARNINGS: Increased Mortality, Serious Cardiovascular and Thromboembolic Events).

### Cancer Patients: Use of ESAs

- Shortened overall survival and increased deaths attributed to disease progression at 4 months in patients with metastatic breast cancer receiving chemotherapy when administered to target a hemoglobin of greater than 12 g/dL,
- Increased the risk of death when administered to target a hemoglobin of 12 g/dL in patients with active malignant disease receiving neither chemotherapy nor radiation therapy. ESAs are not indicated in this population.