## Renal Supportive Care Research methodological challenges

Dr Fliss Murtagh FRCP PhD MRCGP MD Cicely Saunders Institute King's College London





## **Disclosure of Interests**

- Clinical Academic at the Cicely Saunders Institute, King's College London. Research and service development grants:
- National Institute for Health Research, UK research grants:
  - C-CHANGE: RP-PG-1210-12015
  - C-CHANGE development: RP-DG-0709-10162
  - Non cancer place of death: SDO-FR-08-1813-257
- NHS Kidney Care, Department of Health, UK previous service development grant:



• The Advanced Renal Care project (2010-12)



#### What to research? Domains of quality care National Consensus Project for Quality Palliative Care 2004

- 1. Structure and processes of care
- 2. Physical aspects of care physical outcomes
- 3. Psychological and psychiatric aspects of care psychological outcomes
- 4. Social aspects of care social outcomes
  - Family communication and care
  - Post-bereavement care
- 5. Spiritual, religious and existential aspects of care
- Care of the imminently dying patient end of life outcomes
- 7. Ethical and legal aspects of care ethical outcomes All outcomes need to be patient- (or family-)centred





#### The purpose of research

- To build new knowledge in order to improve care and outcomes
  - Effectiveness research compares how different treatments or interventions work
    - Simple interventions/Complex interventions
  - Epidemiological research patterns, causes, and effects of disease conditions in defined population
  - Health services research how people access health care services, how much care costs, and what happens to patients as a result of this care



## Where do the gaps lie?

- Limited understanding of population, their needs, evolution of needs over time
- Little or no evidence of comparative effectiveness of interventions, especially more complex (e.g. models of care)
- No evidence of cost-effectiveness
- Few attempts to assess the acceptability (patient-centeredness) of interventions
  - informed by patient priorities and preferences

INTER-CONTINENTAL



Overview

- Routine clinical data
- PopulationRCTs
- Other designs
- Complex interventions



#### Routine collection of outcomes data

- Not discussed but important
- Needs to include outcomes, as well as process measures
- Use for quality improvement
- If collected systematically can underpin research
  - Routine clinical data for research





INTER-CONTINENTAL

#### Defining and identifying the study population

- Defining conservative care population
- Reporting who is not in the study
- Research in relation to end of life
  - Death is only known retrospectively
  - Study all? Identify the sickest? Use prognostic indicators to stratify and select?
- Recruiting widely increases clinical relevance but maximises resource requirements





## **Difficulties with RCTs**

- Difficult to implement in advanced illness/end of life
- Narrow "window of equipoise"
- May not be ethical e.g. dialysis v conservative RCT
- Findings poorly applicable to typical patients difference between efficacy and effectiveness e.g. in ESRD near end of life - impaired capacity
- Translational research gaps (Phase 2 and 3)
  - (Phase 1 bench to bedside)
  - Phase 2 effectiveness, acceptability
  - Phase 3 wider policy & public health implications



## **RCTs** important

- Current RCT approaches
  - Interim analysis often helpful
- Adopting adaptive approaches
  - Introduce new treatment strategies as they evolve
  - Unequal randomisation weight to
    - Interventions most likely to work
    - Uncovering important but clinically small differences
    - Focusing on clinically important subgroups
- Pragmatic clinical trials
  - Broadly inclusive ('real world')
  - Patient outcomes (QoL)
  - Patient/family involvement



## Adapting and refining RCTs

- Fast track RCT
- Use of mixed methods
  - 30/100 Cochrane RCTs associated QUAL (Lewin BMJ 2009)
    - Importance of theoretical basis
    - Justification and integration
  - Cognitive interviewing
  - Longitudinal dimensions understanding natural course first to inform timing of outcomes





## Other designs

- Quasi-experimental
  - Controlled trial without randomisation
  - Confounding a major issue pre-post testing (tests done before data collection to try and identify confounding factors within participants)
- 'Before and after' design
  - Change over time a major issue, repeated measures to offset
- Geographical comparisons
- Cohort, cross-sectional, retrospective studies

INTER-CONTINENTAL

#### **Tackling complex interventions**

- Harder to understand and evaluate
- Much harder to build robust evidence

   what components work and why?
- Needs different methods:
  - careful modelling and description of intervention
  - different research designs
  - usually multiple research outcomes
- Issues of generalizability
  - Local adaptation is critical, but only possible if nature/interaction of components understood

INTER-CONTINENTAI



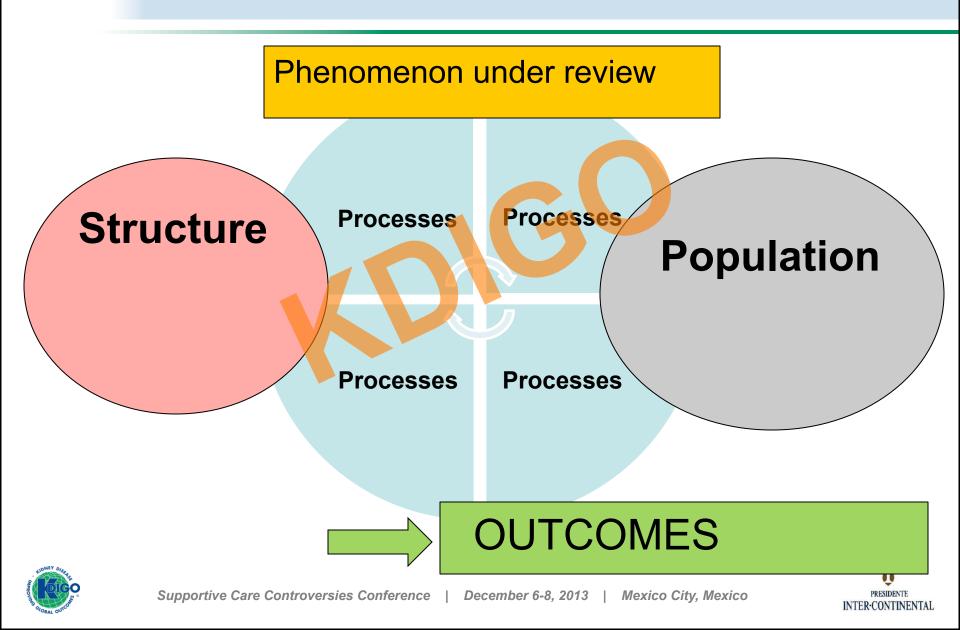
Supportive Care Controversies Conference | December 6-8, 2013 | Mexico City, Mexico

## Defining the intervention

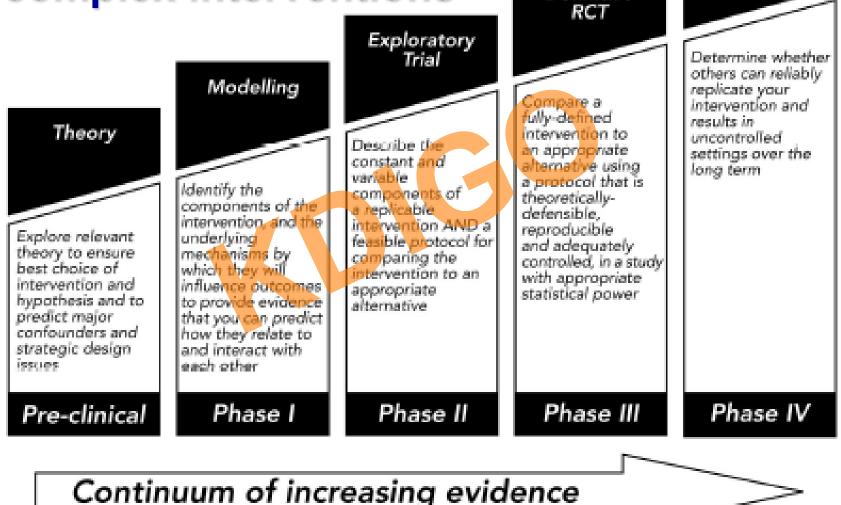
- In any non drug trial, defining the intervention may be challenging
- For example:
  - Renal end of life care service
  - Patient educational programme
  - Family caregiver support service
- Without careful definition, there is no real possibility of:
  - Replicability
  - Identification of what is working (or not) and why



#### Simplified model of an intervention



# Framework for evaluation of complex interventions





PRESIDENTE INTER·CONTINENTAL

Long-term Implementation

Definitive

#### Standard trials Complex intervention evaluations

Pre-clinical work	Theory – develop best choice of intervention and hypotheses
Phase I – first in man (volunteers)	Modelling – understand components of intervention and underlying mechanisms to influence outcomes
Phase II – first in patients	Exploratory trial – describe the constant and variable components and work out feasibility of trial
Phase III – full scale evaluation	Definitive RCT – compare intervention with alternative
Phase IV – post marketing	Long term implementation – can others reliable replicate and longer term





#### Tackling the challenges

- Define the intervention: structure, process, intended outcomes (all components)
- Conceptualise how components might interact (theoretical modelling)
- Consider the behaviours required by those delivering or receiving the intervention
- Consider the groups involved in the intervention
- How much local modification of intervention is required i.e how standardised
- Select primary and secondary outcomes very carefully (patient informed)
- Select ways to monitor how intervention does or doesn't

INTER-CONTINENTAL





## Cohort study

- longitudinal study used to analyse risk factors
- follows a group of people and uses correlations to determine the absolute risk of disease/event (e.g. developing depression, having dialysis, death)
  - also observational over time
- Especially useful when little is known about course of illness over time
- Can inform
  - Patient-centred outcomes
  - Timing of outcome measurement
  - Response shift
  - Relationship of outcomes to death





## Use of proxy data

- Widely used in palliative care research
- Missing data
  - frequently missing not at random but due to changing health status
- Proxies families, other care-givers, professionals
  - May be poor correlation (not helpful)
  - May be consistent bias (can be addressed)



#### Research burden

- Crucial
  - Detailed piloting
  - Use of cognitive interviewing
  - Avoid collecting more data just because it can be collected !
  - Inverse relationship between research burden and amount of missing data
  - How much missing data is too much?





#### Attrition and follow up

- 'Front end' support for study participation
- Recruitment of iller patients possible but needs additional time and resource
- Resonant connections with participants to maximise longitudinal follow up
- Patient/family carer dyads





#### Conclusions

- The importance of matching Method  $\rightarrow$  Outcomes to research question
- Methodological challenges but some solutions emerging
- Need to think "outside the box"
- Collaborative research is paramount
- Programme work is important
- Adapted RCTs, other methods, and mixed methods have much to offer



