Hypertension and sleep apnea in CKD stage 5

KDIGO Controversies Conference: Blood Pressure in Chronic Kidney Disease

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# Objectives

- To review the mechanisms of sleep apnea in ESRD
- To outline clinical implications of sympathetic over-activity
- To review the interactions between salt/ volume overload and sympathetic overactivity
- To determine potential management implications in the ESRD patient population

# Sleep Apnea

ESRD patients – but prevalence is highly variable

- General population (2-4%)
- ◆ ESRD (up to 50% → dependent on the "methods of ascertainment")
- Over-representation of obstructive and central sleep apnea
- SNS over-activity → classical/important contributor of cardiovascular morbid events
- Salt + volume overload → critical pathogenetic element of sleep apnea in ESRD

# Sleep Apnea with Intermittent Hemodialysis: Time for a Wake-Up Call!

Table 2. Sleep parameters of HD and population control samples<sup>a</sup>

HD Population $(n = 46)$	Matched Controls $(n = 137)$	Рь
$319.5 \pm 106.3$	378.9 ± 67.3	< 0.001
$78.1 \pm 15.3$	$81.3 \pm 10.4$	NS
$5.0 \pm 3.4$	$5.5 \pm 3.65$	NS
$57.6 \pm 14.3$	$58.4 \pm 11.5$	NS
$23.4 \pm 12.2$	$14.3 \pm 10.7$	< 0.001
13.6 ± 8.2	$21.7 \pm 6.2$	< 0.001
$25.1 \pm 14.6$	$17.1 \pm 8.0$	<0.001
$27.2 \pm 19.3$	$15.2 \pm 14.9$	< 0.001
$7.2 \pm 20.8$	$1.84 \pm 8.4$	< 0.001
$83.6 \pm 7.1$	$86.7 \pm 5.3$	< 0.01
$81.2 \pm 9.7$	$85.9 \pm 6.4$	< 0.001
$9.0 \pm 4.7$	$8.0 \pm 4.3$	NS
	$(n = 46)$ $319.5 \pm 106.3$ $78.1 \pm 15.3$ $5.0 \pm 3.4$ $57.6 \pm 14.3$ $23.4 \pm 12.2$ $13.6 \pm 8.2$ $25.1 \pm 14.6$ $27.2 \pm 19.3$ $7.2 \pm 20.8$ $83.6 \pm 7.1$ $81.2 \pm 9.7$	$\begin{array}{c} (n = 46) & (n = 137) \\ \hline 319.5 \pm 106.3 & 378.9 \pm 67.3 \\ 78.1 \pm 15.3 & 81.3 \pm 10.4 \\ 5.0 \pm 3.4 & 5.5 \pm 3.65 \\ 57.6 \pm 14.3 & 58.4 \pm 11.5 \\ 23.4 \pm 12.2 & 14.3 \pm 10.7 \\ \hline 13.6 \pm 8.2 & 21.7 \pm 6.2 \\ 25.1 \pm 14.6 & 17.1 \pm 8.0 \\ 27.2 \pm 19.3 & 15.2 \pm 14.9 \\ 7.2 \pm 20.8 & 1.84 \pm 8.4 \\ 83.6 \pm 7.1 & 86.7 \pm 5.3 \\ 81.2 \pm 9.7 & 85.9 \pm 6.4 \\ \end{array}$

"Data are means ± SD. NREM, non-rapid eye movement; REM, rapid eye movement.

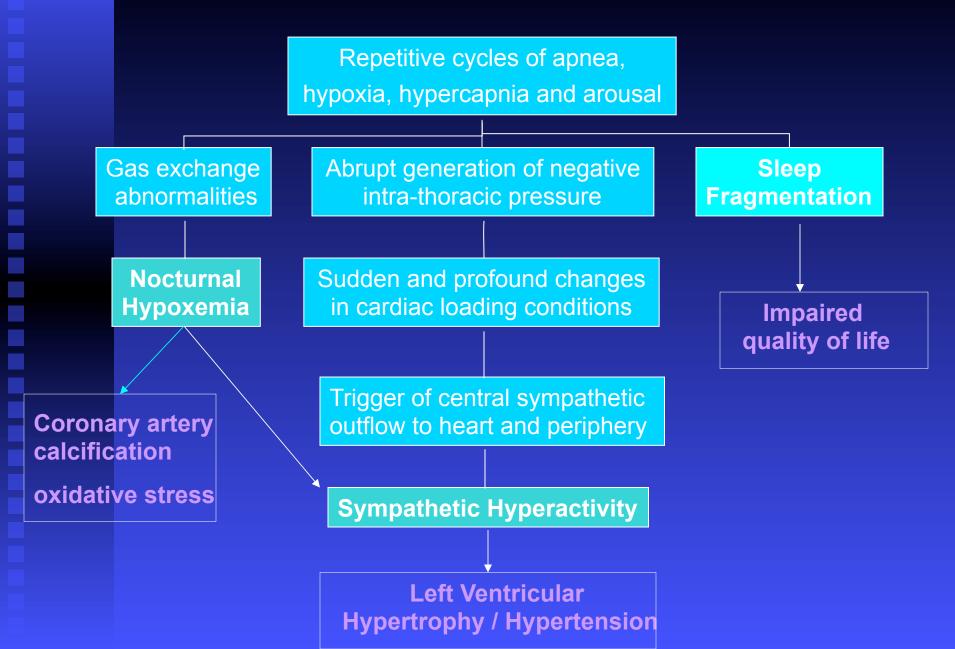
 ${}^{\rm b}{\rm NS} = P > 0.05.$ 

\*Log-log transformation used for test of group differences.

<sup>d</sup>Log transformation used for test of group differences.

"The percentage of total sleep time with an oxygen saturation of <90%.

## "Classical Model" - Sleep Apnea

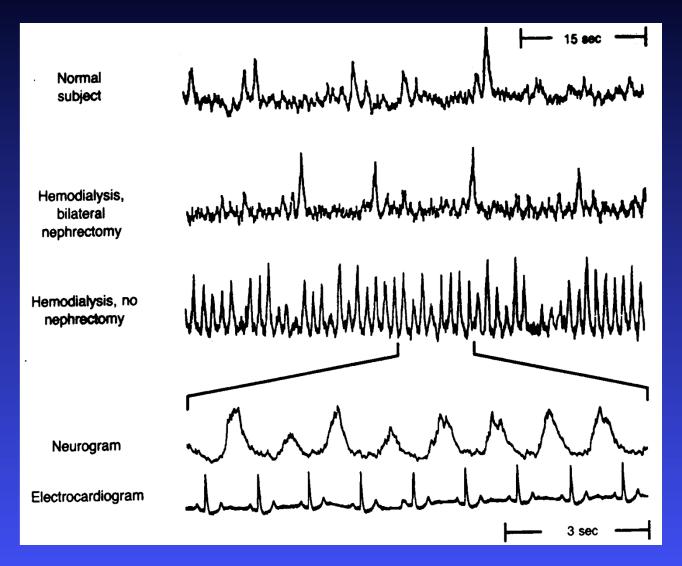


<u>SNS over-activity</u>→ <u>Hypertension</u> <u>Cardiac Failure</u> Sudden Cardiac Death

# Mechanisms of SNS overactivity

Renal (Inc. production) Sympathetic nerve traffic is increased • BUT  $\rightarrow$  renal transplantation does not correct elevated MSNA Renal (Dec. elimination) ♦ Dec. clearance Recall – t1/2 is short Simply increasing clearance will not be sufficient

### Sympathetic over-activity in ESRD



Converse et al, NEJM 1993

# Adrenergic modulation $\rightarrow$ cardiac apoptosis

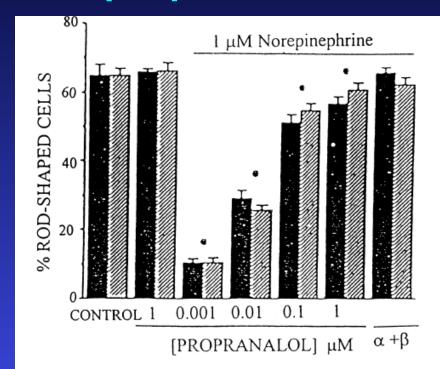


Fig. 1. Norepinephrine (1  $\mu$ M) decreased the viability of adult cardiac myocytes in culture for 48 (black bars) or 72 h (hatched bars). The toxic effect was fully inhibited by the  $\beta$ -AR antagonist propranolol in a dose-dependent manner. Likewise, propranolol plus the  $\alpha$ -adrenergic antagonist phentolamine (10  $\mu$ M) completely blocked the effect of norepinephrine. From Mann et al. [12] with permission. (\*P<0.05 vs. control).

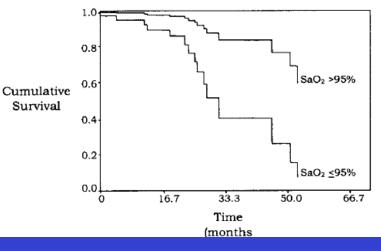
#### Nocturnal Hypoxemia Predicts Incident Cardiovascular Complications in Dialysis Patients

CARMINE ZOCCALI, FRANCESCA MALLAMACI, and GIOVANNI TRIPEPI CNR, Centre of Clinical Physiology and Division of Nephrology, Ospedali Riuniti, Reggio Calabria, Italy.

*Table 4.* Multivariate predictors of fatal and nonfatal cardiovascular events

Parameter	Units of Increase	Hazard Ratio (95% CI)	Р
Age Average nocturnal	1 yr 1%	1.07 (1.02 to 1.13) 0.77 (0.62 to 0.95)	0.007 0.01
SaO <sub>2</sub> Cholesterol	1 mmol/L	0.49 (0.25 to 0.97)	0.04

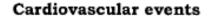
Fatal and non fatal cardiovascular events

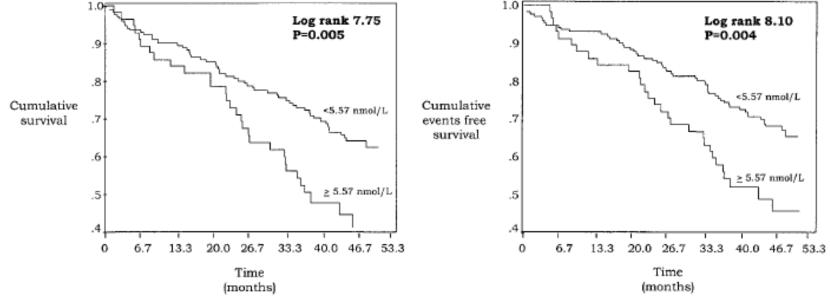


Zoccali et al – JASN 2002

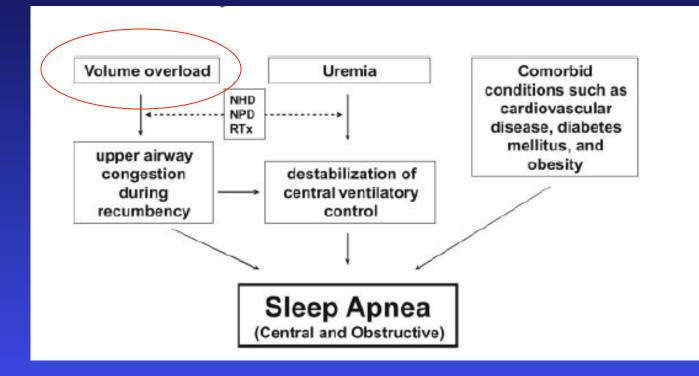
#### Plasma Norepinephrine Predicts Survival and Incident Cardiovascular Events in Patients With End-Stage Renal Disease

All cause death



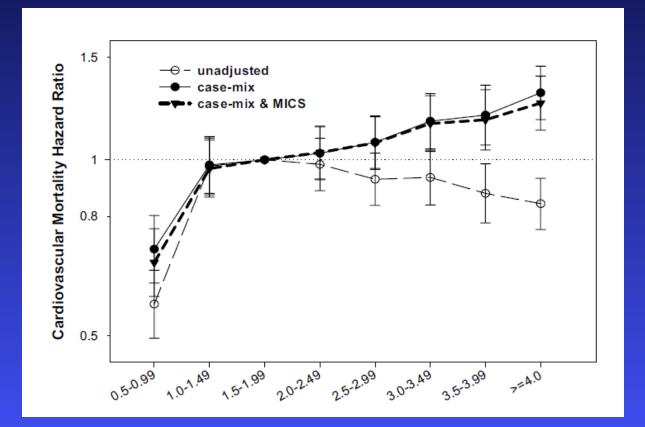


# Pathophysiologic Explanations of SA in ESRD



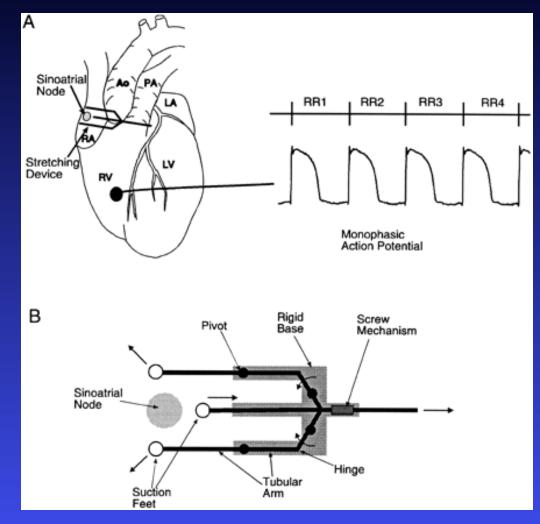
#### Fluid Retention Is Associated With Cardiovascular Mortality in Patients Undergoing Long-Term Hemodialysis

Kamyar Kalantar-Zadeh, MD, MPH, PhD; Deborah L. Regidor, MPH, PhD; Csaba P. Kovesdy, MD; David Van Wyck, MD; Suphamai Bunnapradist, MD; Tamara B. Horwich, MD; Gregg C. Fonarow, MD



Are there other stimuli for SNS over-activity in uremia? YES! → Salt / Volume overload Cardiac Changes in cardiac dimensions will lead to impairments in SNS/vagal balance ◆ Rapid ultrafiltration → SNS overactivity Sleep Apnea

## Mechanical Stretch: HRV alterations



Horner et al - Circ 1996

Power spectral analysis of heart rate variability

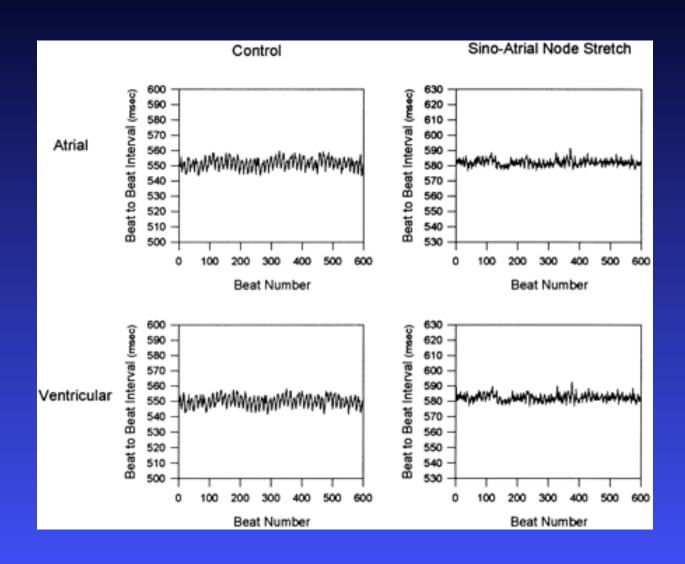
Non-invasive measurement

- Capable of assessing dynamic changes in the autonomic control of heart rate
- Identification of superimposed oscillations which contribute to variations in heart rate

# Heart rate variability in normal animals and humans Interpretation of PSA of HRV: ◆LF (0.05 – 0.15 Hz) : SNS ♦ HF (> 0.15 Hz) : PNS Administration of atropine or other agents virtually abolished the HF component of HRV

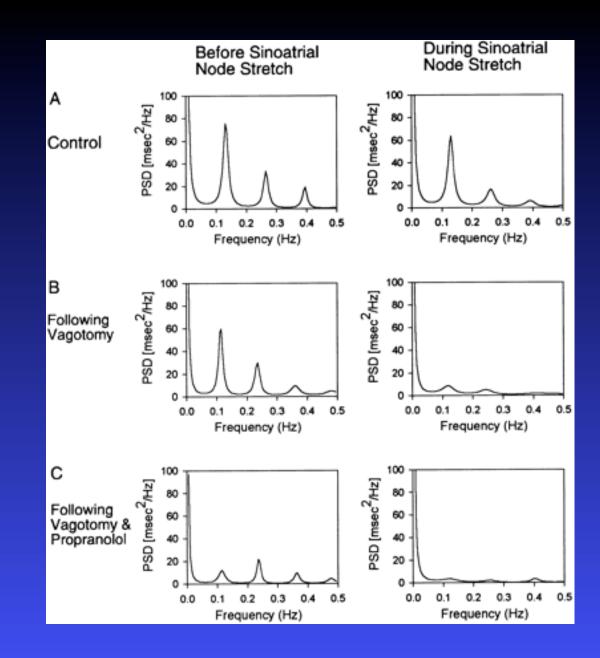
 In dogs, an increase in LF power was observed during baroreceptor unloading with NTG and was prevented by prior bilateral stellectomy





Horner et al - Circ 1996





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# Implications

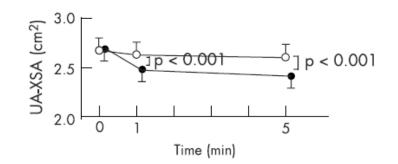
Recurrent Stretch / Increase in left atrium:

 Volume / Salt overload
 May lead to sustained SNS overactivity

#### SLEEP-DISORDERED BREATHING

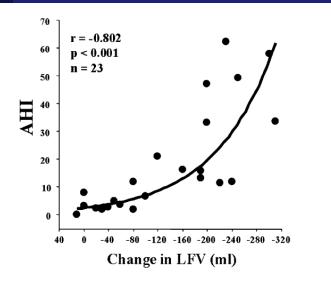
Alterations in upper airway cross-sectional area in response to lower body positive pressure in healthy subjects

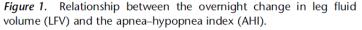
Table 2         Influence of lower body positive pressure (LBPP) on physiological variables				
	Baseline	1 min	5 min	p Value for time $\times$ treatment interaction
Leg fluid volume (l)				
Control	4.67 (0.18)	4.67 (0.18)	4.66 (0.18)	
LBPP	4.57 (0.19)*	4.41 (0.19)*†	4.43 (0.19)*†	< 0.001
Change in neck circumference (%)				
Control	_	-0.01 (0.02)	0.00 (0.05)	
LBPP	-	0.33 (0.05)*†	0.28 (0.06)*†	< 0.001

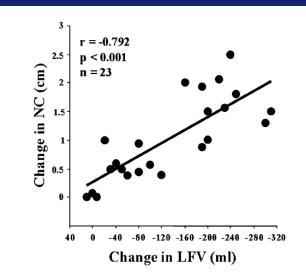


**Figure 2** Grouped data showing changes in upper airway cross-sectional area (UA-XSA) in response to lower body positive pressure (LBPP); p<0.001 for time×treatment interaction (two-way repeated measures ANOVA). Compared with the control period, UA-XSA decreased significantly at both 1 and 5 min after applying LBPP. p Values shown in plots are adjusted for multiple comparisons by Tukey test.

#### Relationship between Overnight Rostral Fluid Shift and Obstructive Sleep Apnea in Nonobese Men





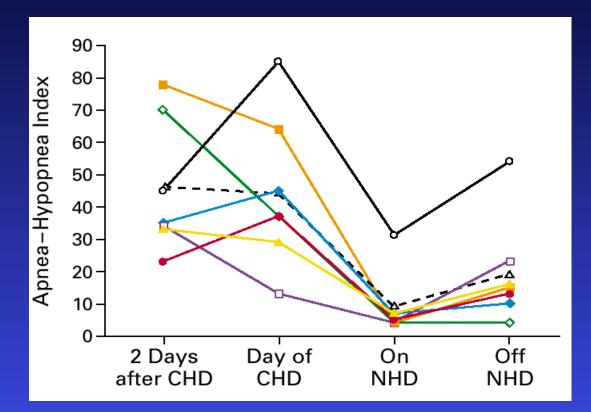


*Figure 2.* Relationship between the overnight change in LFV and the change in neck circumference (NC).

Bradley et al – Am J Resp Crit Care Med 2009

### Correction of Sleep Apnea with NHD

The first 14 patients of the Nocturnal Hemodialysis project in Toronto. 8 patients had sleep apnea (AHI>15/hr)

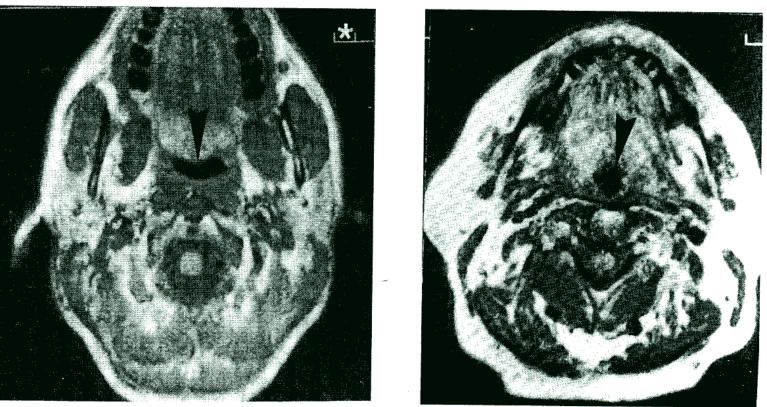


AHI decreased from 46±19 to 9±9 p=0.006 Minimum  $O_2$  sat increased from 89.2±1.8 to 94.1±1.6 p=0.005

# Why would NHD correct sleep apnea?

ECF volume vs. Uremia or Both?

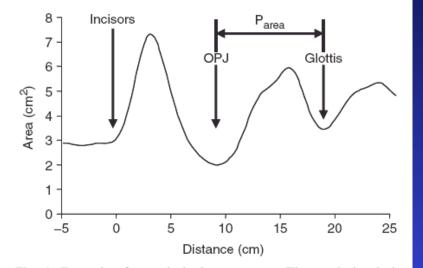
# ECF volume overload: upper airway edema?



#### **Normal Subject**

Sleep Apnea

Nocturnal haemodialysis increases pharyngeal size in patients with sleep apnoea and end-stage renal disease



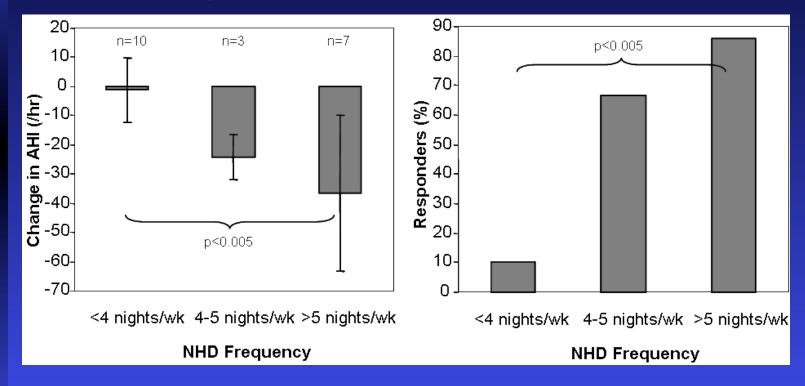
**Fig. 1.** Example of a typical pharyngogram. The vertical axis is cross-sectional area and the horizontal axis is the distance into the airway, with 0.0 cm corresponding to the position of the incisor teeth. Mean pharyngeal cross-sectional area is calculated between the oro-pharyngeal junction (OPJ) and the glottis.

NHD  $\rightarrow$  Increases In pharyngeal size

#### $3.17 \pm 0.68$ to $3.86 \pm 0.67$ cm<sup>2</sup>

Beecroft et al – NDT 2008

# The Impact of Nocturnal Hemodialysis on Sleep Apnea is Dose-dependent



Beecroft J et al ATS 2006

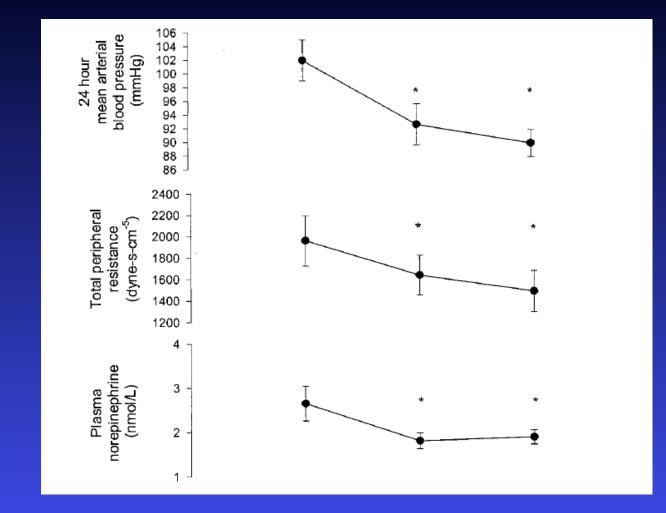
## Effects of NHD on HRV during sleep

	Patients (n=9)			Normal Subjects (n=10)
Variables	CHD1	CHD2	NHD	Control
TST (hours)	5.4 ± 0.2	5.5 ± 0.2	5.3 ± 0.2	5.8 ± 0.2
AHI (per hour)	$29.2 \pm 9.9^3$	$30.2 \pm 9.8^3$	$7.2 \pm 3.3^{1,2}$	4.6 ± 1.8
%TST SaO <sub>2</sub> < 90%	$15.4 \pm 7.2^{3}$	$12.5 \pm 7.5^3$	$3.5 \pm 3.4^{1,2}$	0.2 ± 0.2
RR intervals (ms)	$829 \pm 35^3$	$795 \pm 29^{3}$	$912 \pm 48^{1,2}$	978 ± 35
LF	202 ± 94	85 ± 36	356 ± 151	4788 ± 2648
HF	$100 \pm 44^{3}$	48 ± 15 <sup>3</sup>	712 ± 256 <sup>1,2</sup>	6726 ± 4555
LF/LF+HF	0.60 ± 0.08	0.59 ± 0.10	0.39 ± 0.06	0.42 ± 0.04
HF/LF+HF	$0.14 \pm 0.02^3$	$0.17 \pm 0.05^3$	$0.32 \pm 0.07^{1,2}$	0.42 ± 0.05
LF/HF	2.17 ± 0.54 <sup>3</sup>	3.57 ± 1.81 <sup>3</sup>	$0.75 \pm 0.22^{1}$	0.71 ± 0.11

<sup>1</sup> p<0.05 compared with CHD1, <sup>2</sup> p<0.05 compared with CHD2, <sup>3</sup>p<0.05 compared with normal

Chan et al – KI 2005

# Short term vascular effects of NHD



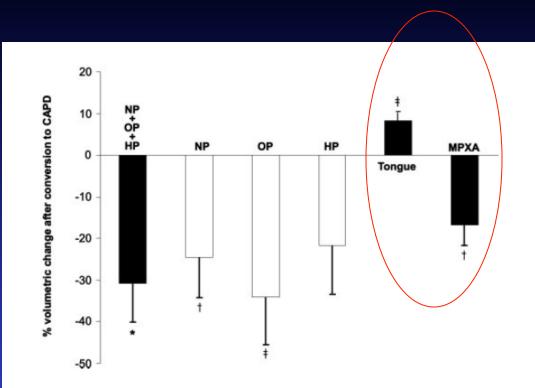
Chan et al Hypertension 2003

Improvement in Sleep Apnea during Nocturnal Peritoneal Dialysis Is Associated with Reduced Airway Congestion and Better Uremic Clearance

*Table 2.* Polysomnographic data while on NPD or CAPD (n = 38)

	On NPD	On CAPD	Р
Total sleep time (h)	$5.0 \pm 1.60$	5.55 ± 1.41	NS
Sleep efficiency <sup>a</sup>	$57.4 \pm 14.4$	$62.8 \pm 12.7$	NS
Stage of sleep (% of total sleep time)			
rapid eye movement	$18.2 \pm 10.5$	$16.7 \pm 8.6$	NS
stage 1, 2	$78.8 \pm 22.1$	$79.3 \pm 20.0$	NS
slow wave (stage 3, 4)	<u>1.9 ± 3.2</u>	4.0 ± 3.6	NS
AHI (no./h)	$9.6 \pm 2.74$	$21.5 \pm 4.15$	< 0.001
subjects with AHI $> 15$ ( $n$ , %)	8 (21.1%)	16 (42.1%)	0.008
subjects with AHI $> 10 (n, \%)$	10 (26.3%)	19 (50%)	0.004
subjects with $AHI > 5$ ( <i>n</i> , %)	11 (28.9%)	22 (57.9%)	0.001
Duration with oxygen saturation $< 90\%$ (min)	$64.3 \pm 15.8$	$94.8 \pm 20.5$	0.025
Arousals (no./h)	$16.6 \pm 9.8$	$26.6 \pm 16.4$	< 0.001
Periodic leg movement (no./h)	$0.5 \pm 0.21$	$1.4 \pm 0.35$	0.007

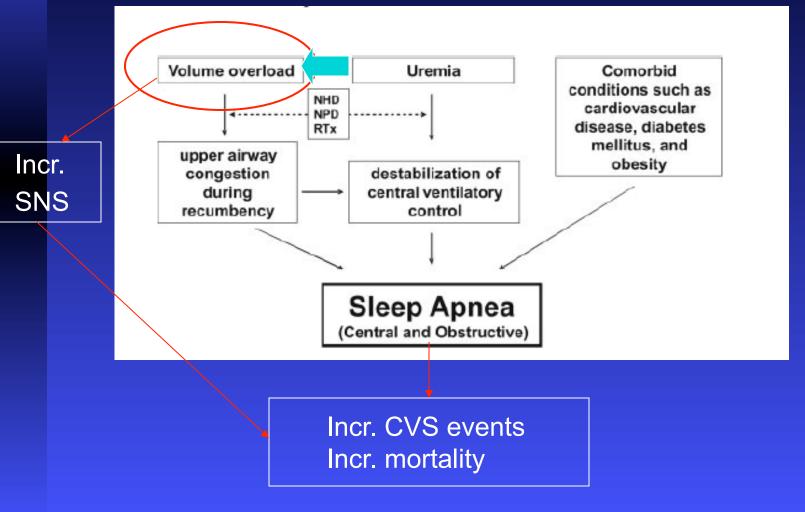
<sup>a</sup>Ratio of total sleep time to total time in bed. Data are presented as mean ( $\pm$  SD). AHI, apnea-hypopnea index (*i.e.*, average number of episodes of apnea and hypopnea per hour of sleep).



*Figure 5.* Percentage volumetric change in the various anatomic sites of the upper airway after conversion to CAPD (n = 14). \*P = 0.004,  $^{+}P = 0.04$ ,  $^{+}P = 0.02$  *versus* values obtained during NPD. NP, nasopharynx; OP, oropharynx; HP, hypopharynx; MPXA, minimal pharyngeal cross-sectional area. Error bars are mean  $\pm$  SEM.



# Summary



# Acknowledgment

- Home hemodialysis units
  - ◆ TGH , HRRH
- Human Cardiovascular Physiology Group
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  - ♦ S Verma
  - ♦ H Messner
- Genomics
  - Peter Liu
- E-Health Group
  - A Jadad, P Rossos, J Granton, R Owens, A Easty, P Milgrim
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- CIHR, HSFO, BUL Medicine, PSI
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